# Degrees of undecidability of in Term Rewriting 

Jörg Endrullis, Herman Geuvers, Hans Zantema<br>Radboud University Nijmegen, Technical University Eindhoven, Free University Amsterdam, The Netherlands

CSL 2009
7-11 September 2009
Coimbra, Portugal

## Overview

- Term Rewriting Systems (TRS): definitions and properties
- Overview of results
- The arithmetic and analytical hierarchy
- Technical details
- Relating Turing Machines to TRSs
- Classification of properties of Turing Machines
- Weak Church-Rosser
- Church-Rosser
- Dependency Pair Problems


## Term Rewriting Systems (TRS): definitions and properties

- A Signature $\Sigma$ is a finite set of symbols $f$ each having a fixed arity.
- The set $\operatorname{Ter}(\Sigma, \mathcal{X})$ of terms is the smallest set satisfying:
- $\mathcal{X} \subseteq \operatorname{Ter}(\Sigma, \mathcal{X})$, and
- $f\left(t_{1}, \ldots, t_{n}\right) \in \operatorname{Ter}(\Sigma, \mathcal{X})$ if $f \in \Sigma$ with arity $n$ and $\forall i: t_{i} \in \operatorname{Ter}(\Sigma, \mathcal{X})$.
- A term rewriting system (TRS) over $\Sigma, \mathcal{X}$ is a finite set $R$ of pairs $\langle\ell, r\rangle \in \operatorname{Ter}(\Sigma, \mathcal{X})$, called rewrite rules usually written as $\ell \rightarrow r$ for which
- the left-hand side $\ell$ is not a variable ( $\ell \notin \mathcal{X}$ )
- all variables in the right-hand side $r$ occur in $\ell$ $(\operatorname{Var}(r) \subseteq \operatorname{Var}(\ell))$.


## Term Rewriting Systems (TRS): definitions and properties

For terms $s, t \in \operatorname{Ter}(\Sigma, \mathcal{X})$ we write $s \rightarrow_{R} t$ if there exists a rule $\ell \rightarrow r \in R$, a substitution $\sigma$ and a context ('term with a hole') $C$ such that $s \equiv C[\ell \sigma]$ and $t \equiv C[r \sigma]$
$\rightarrow \rightarrow_{R}$ is the rewrite relation induced by $R$,
$\downarrow \leftrightarrow_{R}$ denotes the symmetric, reflexive closure of $\rightarrow_{R}$.
$\checkmark \rightarrow_{R}^{+}$denotes the transitive closure of $\rightarrow_{R}$.

- $\rightarrow_{R}^{*}$ denotes the reflexive, transitive closure of $\rightarrow_{R}$.


## Basic TRS properties

- $R$ is strongly normalizing (or terminating) on $t$, denoted $\mathrm{SN}_{R}(t)$, if every rewrite sequence starting from $t$ is finite.
- $R$ is confluent (or Church-Rosser) on $t$, denoted $\mathrm{CR}_{R}(t)$, if every pair of finite coinitial reductions starting from $t$ can be extended to a common reduct, that is, $\forall t_{1}, t_{2} . t_{1} \leftarrow^{*} t \rightarrow^{*} t_{2} \Rightarrow \exists d . t_{1} \rightarrow^{*} d \leftarrow^{*} t_{2}$.
- $R$ is weakly confluent (or weakly Church-Rosser) on $t$, denoted $\mathrm{WCR}_{R}(t)$, if every pair of coinitial rewrite steps starting from $t$ can be joined, that is,

$$
\forall t_{1}, t_{2} . t_{1} \leftarrow t \longrightarrow t_{2} \Rightarrow \exists d . t_{1} \rightarrow^{*} d \leftarrow^{*} t_{2} .
$$

$R$ is strongly normalizing $\left(\mathrm{SN}_{R}\right)$, confluent $\left(\mathrm{CR}_{R}\right)$ or weakly confluent $\left(\mathrm{WCR}_{R}\right)$ if the respective property holds on all terms $t \in \operatorname{Ter}(\Sigma, \mathcal{X})$.

## TRS properties

Church-Rosser and Weak Church-Rosser are usually also considered on the ground terms only (ground = closed; no free variables).

- $R$ is ground Church-Rosser, denoted $\operatorname{grCR}_{R}$, if every pair of finite coinitial reductions starting from any ground $t$ can be extended to a common reduct, that is, $\forall t, t_{1}, t_{2}$ ground. $t_{1} \leftarrow^{*} t \rightarrow^{*} t_{2} \Rightarrow \exists d . t_{1} \rightarrow^{*} d \leftarrow^{*} t_{2}$.
- $R$ is ground weakly Church-Rosser, denoted $\operatorname{grWCR} R$, if every pair of coinitial rewrite steps starting from a ground $t$ can be joined, that is,
$\forall t, t_{1}, t_{2}$ ground. $t_{1} \leftarrow t \longrightarrow t_{2} \Rightarrow \exists d . t_{1} \rightarrow^{*} d \leftarrow^{*} t_{2}$.


## Undecidability of TRS properties

All interesting properties about TRSs are undecidable, but how undecidable?

## Undecidability of TRS properties

All interesting properties about TRSs are undecidable, but how undecidable?

|  | SN | WN | CR | grCR | WCR | grWCR | DP | DP ${ }^{\text {min }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| uniform | $\Pi_{2}^{0}$ | $\Pi_{2}^{0}$ | $\Pi_{2}^{0}$ | $\Pi_{2}^{0}$ | $\Sigma_{1}^{0}$ | $\Pi_{2}^{0}$ | $\Pi_{1}^{1}$ | $\Pi_{2}^{0}$ |
| single term | $\Sigma_{1}^{0}$ | $\Sigma_{1}^{0}$ | $\Pi_{2}^{0}$ | $\Pi_{2}^{0}$ | $\Sigma_{1}^{0}$ | $\Sigma_{1}^{0}$ | $\Pi_{1}^{1}$ | - |

Existing work: Huet and Lankford (1978)
Independent (but published earlier): J.G Simonsen (2009)
New Contributions in red

## The Arithmetic Hierarchy



REC $=$ class of decidable problems (over the natural numbers), $\Sigma_{1}^{0}:=\exists \mathrm{REC}, \Pi_{1}^{0}:=\forall \mathrm{REC}, \Sigma_{2}^{0}:=\exists \forall$ REC, $\Pi_{2}^{0}:=\forall \exists$ REC, etc.

## The Arithmetic Hierarchy



REC = class of decidable problems (over the natural numbers), $\Sigma_{1}^{0}:=\exists$ REC, $\Pi_{1}^{0}:=\forall$ REC, $\Sigma_{2}^{0}:=\exists \forall$ REC, $\Pi_{2}^{0}:=\forall \exists$ REC, etc.
$\Delta_{n}^{0}:=\Sigma_{n}^{0} \bigcap \Pi_{n}^{0}$.
$\Sigma_{n}^{0}=\left\{A \mid \bar{A} \in \Pi_{n}^{0}\right\}, \Pi_{n}^{0}=\left\{A \mid \bar{A} \in \Sigma_{n}^{0}\right\}$

## Examples

We leave encodings implicit, so we say e.g.

- $t \rightarrow^{*} q:=\exists\left\langle s_{1}, \ldots, s_{n}\right\rangle\left(t \longrightarrow_{R} s_{1} \longrightarrow_{R} \ldots \longrightarrow_{R} s_{n}=q\right)$ is in $\Sigma_{0}^{1}$.
- $T(M,\langle\vec{x}\rangle, u, v):=m$ is a Turing Machine $M, u$ is the computation of $M$ on $\vec{x}$ whose end result is $v$ is in REC. Kleene's $T$-predicate.
- TOTAL $(M):=\forall x \exists u, v T(m,\langle x\rangle, u, v)$ is in $\Pi_{2}^{0}$.


## Properties of the classes in the Arithmetic Hierarchy

Any formula is equivalent to a formula in prenex normal form

- $\mathrm{Q} x(\varphi) \otimes \mathrm{Qy}(\psi) \Longleftrightarrow \mathrm{Q} x \mathrm{Q} y(\varphi \otimes \psi)$, for $\otimes \in\{\wedge, \vee\}$, $\mathrm{Q} \in\{\forall, \exists\}$.
- $\mathrm{Q} x(\varphi) \rightarrow \mathrm{Q} y(\psi) \Longleftrightarrow \overline{\mathrm{Q}} \times \mathrm{Q} y(\varphi \rightarrow \psi)$, for $\mathrm{Q} \in\{\forall, \exists\}$.

$$
\Longleftrightarrow \mathrm{Q} y \overline{\mathrm{Q}} \times(\varphi \rightarrow \psi) .
$$

## Properties of the classes in the Arithmetic Hierarchy

Any formula is equivalent to a formula in prenex normal form

- $\mathrm{Q} x(\varphi) \otimes \mathrm{Qy}(\psi) \Longleftrightarrow \mathrm{Q} \times \mathrm{Q} y(\varphi \otimes \psi)$, for $\otimes \in\{\wedge, \vee\}$, $\mathrm{Q} \in\{\forall, \exists\}$.
- $\mathrm{Q} x(\varphi) \rightarrow \mathrm{Q} y(\psi) \Longleftrightarrow \overline{\mathrm{Q}} \times \mathrm{Q} y(\varphi \rightarrow \psi)$, for $\mathrm{Q} \in\{\forall, \exists\}$.

$$
\Longleftrightarrow \mathrm{Q} y \overline{\mathrm{Q}} \times(\varphi \rightarrow \psi) .
$$

Compression of quantifiers of the same type. Symbolically:

- $\forall \forall \mapsto \forall$ and $\exists \exists \mapsto \exists$ $\forall x \forall y(P(x, y)) \Longleftrightarrow \forall z\left(P\left((z)_{1},(z)_{2}\right)\right)$
A bounded quantifier is no quantifier:
- $\forall x<n$ REC $=$ REC,
- $\exists x<n$ REC $=$ REC


## The Arithmetic Hierarchy



Theorem $\Sigma_{i}^{0} \subsetneq \Delta_{i+1}^{0} \subsetneq \Sigma_{n+1}^{0}$ and $\Pi_{i}^{0} \subsetneq \Delta_{i+1}^{0} \subsetneq \Pi_{n+1}^{0}$

## The Arithmetic Hierarchy



Theorem $\Sigma_{i}^{0} \subsetneq \Delta_{i+1}^{0} \subsetneq \Sigma_{n+1}^{0}$ and $\Pi_{i}^{0} \subsetneq \Delta_{i+1}^{0} \subsetneq \Pi_{n+1}^{0}$

$$
\begin{aligned}
& \operatorname{BlankTape}(M):=\exists u, v T(M,\langle \rangle, u, v) \in \Sigma_{1}^{0} \backslash \Pi_{1}^{0} \\
& \operatorname{TOTAL}(M):=\forall x \exists u, v T(M,\langle x\rangle, u, v) \in \Pi_{2}^{0} \backslash \Sigma_{2}^{0}
\end{aligned}
$$

## Above the arithmetical hierarchy: analytical hierarchy

All properties definable in first order arithmetic reside in the arithmetical hierarchy.
If we want to quantify over functions from $\mathbb{N}$ to $\mathbb{N}$ (infinite sequences of numbers), we end up in the analytical hierarchy.
Function variables are usually $\alpha, \beta$, etc.
Example:

$$
\exists \alpha \forall i\left(\alpha(i) \rightarrow_{R} \alpha(i+1)\right)
$$

## The Analytic Hierarchy


$\Sigma_{1}^{1}:=\exists \alpha \forall x$ REC, $\Pi_{1}^{1}:=\forall \alpha \exists x$ REC, $\Sigma_{2}^{1}:=\exists \beta \forall \alpha \exists x$ REC, etc.
$\Delta_{n}^{1}:=\Sigma_{n}^{1} \bigcap \Pi_{n}^{1}$.

## The Analytic Hierarchy


$\Sigma_{1}^{1}:=\exists \alpha \forall x$ REC, $\Pi_{1}^{1}:=\forall \alpha \exists x$ REC, $\Sigma_{2}^{1}:=\exists \beta \forall \alpha \exists x$ REC, etc.
$\Delta_{n}^{1}:=\Sigma_{n}^{1} \bigcap \Pi_{n}^{1}$.
$\Sigma_{n+1}^{1}=\exists^{1} \alpha \Pi_{n}^{1}, \Pi_{n+1}^{1}=\exists \beta \Sigma_{n}^{1}$
$\Sigma_{n}^{1}=\left\{A \mid \bar{A} \in \Pi_{n}^{1}\right\}, \Pi_{n}^{1}=\left\{A \mid \bar{A} \in \Sigma_{n}^{1}\right\}$.
Theorem $\Sigma_{i}^{1} \subsetneq \Delta_{i+1}^{1} \subsetneq \Sigma_{n+1}^{1}$ and $\Pi_{i}^{1} \subsetneq \Delta_{i+1}^{1} \subsetneq \Pi_{n+1}^{1}$
WF $(M):=$ " $M$ defines a well-founded relation $>_{M}$ " $\in \Pi_{1}^{1} \backslash \Sigma_{1}^{1}$

## Properties of the classes in the Analytic Hierarchy

We have quantifiers over numbers $\forall, \exists$ and over functions $\forall^{1}, \exists^{1}$. A number of quantifiers of the same type can be compressed into one.

- $\forall^{1} \forall^{1} \mapsto \forall^{1}$ and $\exists^{1} \exists^{1} \mapsto \exists^{1}$
$\forall 1$ subsumes $\forall$.
- $\forall^{1} \forall \mapsto \forall^{1}$ and $\exists^{1} \exists \mapsto \exists^{1}$
$\forall^{1}$ moves outside over $\exists$ and $\exists^{1}$ moves outside over $\forall$.
- $\exists \forall^{1} \mapsto \forall^{1} \exists$ and $\forall \exists^{1} \mapsto \exists^{1} \forall$
- The standard form of an element of the analytic hierarchy is $Q_{1}^{1} Q_{2}^{1} \ldots Q_{n}^{1} Q$ with swopping quantifiers and $Q$ opposite to $Q_{n}^{1}$.


## Proving that a property is essentially $\Pi_{2}^{0}$ (and not "lower")

A total recursive function $f$ many-one reduces problem $A$ to problem $B$ if

$$
A(x) \Longleftrightarrow B(f(x)), \text { for all } x
$$

So "if we want to decide $A(x)$, we only have to decide $B(x)$ ".
$A \ll_{m} B \quad(A$ is many-one reducible to $B)$
in case such an $f$ exists.

## Proving that a property is essentially $\Pi_{2}^{0}$ (and not "lower")

 A total recursive function $f$ many-one reduces problem $A$ to problem $B$ if$$
A(x) \Longleftrightarrow B(f(x)), \text { for all } x
$$

So "if we want to decide $A(x)$, we only have to decide $B(x)$ ".

$$
A<_{m} B \quad(A \text { is many-one reducible to } B)
$$

in case such an $f$ exists.
Definition
$B$ is called $\Pi_{2}^{0}$-complete if $B \in \Pi_{2}^{0}$ and forall $A \in \Pi_{2}^{0}, A \ll_{m} B$.
If $B$ is $\Pi_{2}^{0}$-complete, it can't be lower in the hierarchy.

## Proving that a property is essentially $\Pi_{2}^{0}$ (and not "lower")

 A total recursive function $f$ many-one reduces problem $A$ to problem $B$ if$$
A(x) \Longleftrightarrow B(f(x)), \text { for all } x
$$

So "if we want to decide $A(x)$, we only have to decide $B(x)$ ".

$$
A \ll_{m} B \quad(A \text { is many-one reducible to } B)
$$

in case such an $f$ exists.
Definition
$B$ is called $\Pi_{2}^{0}$-complete if $B \in \Pi_{2}^{0}$ and forall $A \in \Pi_{2}^{0}, A \ll_{m} B$.
If $B$ is $\Pi_{2}^{0}$-complete, it can't be lower in the hierarchy.
Theorem
BlankTape $(M)$ is $\Sigma_{1}^{0}$-complete,
$\operatorname{TOTAL}(M)$ is $\Pi_{2}^{0}$-complete,
WF $(M)$ is $\Pi_{1}^{1}$-complete.
To prove that WCR is $\Sigma_{1}^{0}$-complete:
Reduce it to BlankTape

## From Turing Machines to TRSs

Translating a Turing machine $M=\left(Q, \Sigma, q_{0}, \delta\right)$ to a $\operatorname{TRS} R_{M}$ Function symbols:
$\begin{array}{lll}a \in \Sigma & \mapsto & \text { unary function } a(-) \\ q \in Q & \mapsto & \text { binary function } q(-,-)\end{array}$

## From Turing Machines to TRSs

Translating a Turing machine $M=\left(Q, \Sigma, q_{0}, \delta\right)$ to a TRS $R_{M}$ Function symbols:

```
a\in\Sigma \mapsto unary function a(-)
q\inQ \mapsto binary function q(-,-)
    extra:
    constant }\triangleright\mathrm{ (representing "infinitely many" blanks)
```


## From Turing Machines to TRSs

Translating a Turing machine $M=\left(Q, \Sigma, q_{0}, \delta\right)$ to a $\operatorname{TRS} R_{M}$ Function symbols:

```
\(a \in \Sigma \quad \mapsto \quad\) unary function \(a(-)\)
\(q \in Q \quad \mapsto \quad\) binary function \(q(-,-)\)
    extra:
    constant \(\triangleright\) (representing "infinitely many" blanks)
```

Configurations:
Right of the reading head: $a b a a \square \square \ldots$ translates to $a(b(a(a(\triangleright))))$
Left of the reading head: . . $\square \square a b a a$ translates to $a(a(b(a(\triangleright))))$

## From Turing Machines to TRSs

Translating a Turing machine $M=\left(Q, \Sigma, q_{0}, \delta\right)$ to a $\operatorname{TRS} R_{M}$ Function symbols:
$a \in \Sigma \quad \mapsto \quad$ unary function $a(-)$
$q \in Q \quad \mapsto \quad$ binary function $q(-,-)$
extra:
constant $\triangleright$ (representing "infinitely many" blanks)
Configurations:
Right of the reading head: $a b a a \square \square \ldots$ translates to $a(b(a(a(\triangleright))))$
Left of the reading head: . . $\square \square a b a a$ translates to $a(a(b(a(\triangleright))))$ Tape content $\ldots \square w \underline{a} v \square \ldots$ in state $q$ becomes $q\left(w^{R}, a(v)\right)$ ( $q$ is reading $a$, the first symbol of $a v$ )

## Encoding a Turing Machine $M$ as a $\operatorname{TRS} R_{M}$

Translating the transition function $\delta$ :

$$
\begin{array}{rll}
q(x, f(y)) & \longrightarrow q^{\prime}\left(f^{\prime}(x), y\right) & \text { if } \delta(q, f)=\left(q^{\prime}, f^{\prime}, R\right) \\
q(g(x), f(y)) & \longrightarrow q^{\prime}\left(x, g\left(f^{\prime}(y)\right)\right) & \text { if } \delta(q, f)=\left(q^{\prime}, f^{\prime}, L\right)
\end{array}
$$

## Encoding a Turing Machine $M$ as a $\operatorname{TRS} R_{M}$

Translating the transition function $\delta$ :

$$
\begin{array}{rll}
q(x, f(y)) & \longrightarrow q^{\prime}\left(f^{\prime}(x), y\right) & \text { if } \delta(q, f)=\left(q^{\prime}, f^{\prime}, R\right) \\
q(g(x), f(y)) & \longrightarrow q^{\prime}\left(x, g\left(f^{\prime}(y)\right)\right) & \text { if } \delta(q, f)=\left(q^{\prime}, f^{\prime}, L\right)
\end{array}
$$

And special rewrite rules for dealing with the left-/rightmost blank:

$$
\begin{array}{rlll}
q(\triangleright, f(y)) & \longrightarrow q^{\prime}\left(\triangleright, \square\left(f^{\prime}(y)\right)\right) & \text { if } \delta(q, f)=\left(q^{\prime}, f^{\prime}, L\right) \\
q(x, \triangleright) & \longrightarrow q^{\prime}\left(f^{\prime}(x), \triangleright\right) & \text { if } \delta(q, \square)=\left(q^{\prime}, f^{\prime}, R\right) \\
q(g(x), \triangleright) & \longrightarrow q^{\prime}\left(x, g\left(f^{\prime}(\triangleright)\right)\right) & \text { if } \delta(q, \square)=\left(q^{\prime}, f^{\prime}, L\right) \\
q(\triangleright, \triangleright) & \longrightarrow q^{\prime}\left(\triangleright, \square\left(f^{\prime}(\triangleright)\right)\right) & \text { if } \delta(q, \square)=\left(q^{\prime}, f^{\prime}, L\right)
\end{array}
$$

## $\Sigma_{1}^{0}$-completeness of WCR

WCR is in $\Sigma_{1}^{0}$ : By the Critical Pairs Lemma, $\mathrm{WCR}_{R}$ holds if and only if all critical pairs of $R$ are convergent.
A Turing machine can compute on the input of a TRS $R$ all (finitely many) critical pairs, and on the input of a TRS $R$ and a term $t$ all (finitely many) one step reducts of $t$.

## $\Sigma_{1}^{0}$-completeness of WCR

WCR is in $\Sigma_{1}^{0}$ : By the Critical Pairs Lemma, $\mathrm{WCR}_{R}$ holds if and only if all critical pairs of $R$ are convergent.
A Turing machine can compute on the input of a TRS $R$ all (finitely many) critical pairs, and on the input of a TRS $R$ and a term $t$ all (finitely many) one step reducts of $t$.
So it suffices to show that the following is in $\Sigma_{1}^{0}$ :
Decide on the input of a TRS $S, n \in \mathbb{N}$ and terms $t_{1}, s_{1}, \ldots, t_{n}, s_{n}$ whether for every $i=1, \ldots, n$ the terms $t_{i}$ and $s_{i}$ have a common reduct.

This property can easily be described by a $\Sigma_{1}^{0}$ formula.

## $\Sigma_{1}^{0}$-completeness of WCR

WCR is $\Sigma_{1}^{0}$-hard: We define TRS $S$ to consist of the rules of $R_{\mathrm{M}}$ extended by the following:

$$
\text { run } \rightarrow \mathrm{T} \quad \text { run } \rightarrow q_{0}(\triangleright, \triangleright)
$$

$q(x, f(y)) \rightarrow \mathrm{T} \quad$ for every $f \in \Gamma$ such that $\delta(q, f)$ is undefined.
The only critical pair is $T \leftarrow$ run $\rightarrow q_{0}(\triangleright, \triangleright)$. We have:

$$
q_{0}(\triangleright, \triangleright) \rightarrow_{S}^{*} \mathrm{~T} \text { if and only if } \mathrm{M} \text { halts on the blank tape. }
$$

So:
$\mathrm{WCR}(S)$ if and only if M halts on the blank tape.

## $\Pi_{2}^{0}$-completeness of CR

CR is in $\Pi_{2}^{0}$ :
$\mathrm{CR}_{R} \Longleftrightarrow \forall t \in \mathbb{N} . \forall r_{1}, r_{2} \in \mathbb{N} . \exists r_{1}^{\prime}, r_{2}^{\prime} \in \mathbb{N}$.
( $\left(\left(t\right.\right.$ is a term) and ( $r_{1}, r_{2}$ are reductions)

$$
\text { and } \left.t \equiv \operatorname{first}\left(r_{1}\right) \equiv \operatorname{first}\left(r_{2}\right)\right)
$$

$\Rightarrow\left(\left(r_{1}^{\prime}\right.\right.$ and $r_{2}^{\prime}$ are reductions $)$
and $\left(\operatorname{last}\left(r_{1}\right) \equiv \operatorname{first}\left(r_{1}^{\prime}\right)\right)$ and $\left(\operatorname{last}\left(r_{2}\right) \equiv \operatorname{first}\left(r_{2}^{\prime}\right)\right)$.
and $\left.\left.\left(\operatorname{last}\left(r_{1}^{\prime}\right) \equiv \operatorname{last}\left(r_{2}^{\prime}\right)\right)\right)\right)$

## $\Pi_{2}^{0}$-hardness of CR

We change the TRS $R_{M}$ in such a way that
$M$ halts on all inputs $\Longleftrightarrow R_{M}$ is CR

## $\Pi_{2}^{0}$-hardness of $C R$

We change the TRS $R_{M}$ in such a way that

$$
M \text { halts on all inputs } \Longleftrightarrow R_{M} \text { is } \mathrm{CR}
$$

Idea: use an extension of $R_{\mathrm{M}}$ with the following rules:

$$
\begin{aligned}
\operatorname{run}(x, y) & \rightarrow \mathrm{T} \\
\operatorname{run}(x, y) & \rightarrow q_{0}(x, y)
\end{aligned}
$$

$$
q(x, f(y)) \rightarrow \mathrm{T} \quad \text { for every } f \in \Gamma \text { with } \delta(q, f) \text { undefined }
$$

Then it seems that
$\mathrm{CR}\left(R_{M}^{+}\right) \Longleftrightarrow$ the Turing machine M halts on all configurations.

## $\Pi_{2}^{0}$-hardness of $C R$

We change the TRS $R_{M}$ in such a way that
$M$ halts on all inputs $\Longleftrightarrow R_{M}$ is CR
Idea: use an extension of $R_{\mathrm{M}}$ with the following rules:

$$
\begin{aligned}
\operatorname{run}(x, y) & \rightarrow \mathrm{T} \\
\operatorname{run}(x, y) & \rightarrow q_{0}(x, y)
\end{aligned}
$$

$$
q(x, f(y)) \rightarrow \mathrm{T} \quad \text { for every } f \in \Gamma \text { with } \delta(q, f) \text { undefined }
$$

Then it seems that
$\mathrm{CR}\left(R_{M}^{+}\right) \Longleftrightarrow$ the Turing machine M halts on all configurations.
However, we only have $\Longrightarrow$. With $\Longleftarrow$ a problem arises if $s$ and $t$ contain variables.

## $\Pi_{2}^{0}$-hardness of $C R$

For a Turing machines M we define the $\operatorname{TRS} S_{\mathrm{M}}$ as $R_{M}$ extended with

$$
\begin{align*}
\operatorname{run}(x, \triangleright) & \rightarrow \mathrm{T}  \tag{1}\\
\operatorname{run}(\triangleright, y) & \rightarrow q_{0}(\triangleright, y)  \tag{2}\\
q(x, f(y)) & \rightarrow \mathrm{T} \\
\operatorname{run}(x, S(y)) & \rightarrow \operatorname{run}(S(x), y) \\
\operatorname{run}(S(x), y) & \rightarrow \operatorname{run}(x, S(y)) .
\end{align*}
$$

## $\Pi_{2}^{0}$-hardness of $C R$

For a Turing machines M we define the $\operatorname{TRS} S_{M}$ as $R_{M}$ extended with

$$
\begin{align*}
\operatorname{run}(x, \triangleright) & \rightarrow \mathrm{T}  \tag{1}\\
\operatorname{run}(\triangleright, y) & \rightarrow q_{0}(\triangleright, y)  \tag{2}\\
q(x, f(y)) & \rightarrow \mathrm{T} \\
\operatorname{run}(x, S(y)) & \rightarrow \operatorname{run}(S(x), y) \quad \text { if } \delta(q, f) \text { undefined } \\
\operatorname{run}(S(x), y) & \rightarrow \operatorname{run}(x, S(y)) .
\end{align*}
$$

Then the only cause for non-confluence can be ( $t_{1}, t_{2}$ are ground terms)

$$
q_{0}\left(\triangleright, s_{1}\right) \leftarrow_{(2)} \operatorname{run}\left(s_{1}, \triangleright\right) \leftarrow_{(4)}^{*} \operatorname{run}\left(t_{1}, t_{2}\right) \rightarrow_{(5)}^{*} \operatorname{run}\left(s_{1}, \triangleright\right) \rightarrow_{(1)} \top
$$

Thus we can prove
$\mathrm{CR}\left(S_{M}\right) \Longleftrightarrow$ the Turing machine $M$ halts on all inputs.

## Dependency Pair problems for TRSs

- For relations $\rightarrow_{R}, \rightarrow_{S}$ we write $\rightarrow_{R} / \rightarrow_{S}$ for $\rightarrow_{S}^{*} \cdot \rightarrow_{R}$.
- $\rightarrow_{R, \epsilon}$ denotes $R$-reduction, but only at the top of a term.
- Write $\operatorname{SN}\left(R_{\text {top }} / S\right)$ instead of $\operatorname{SN}\left(\rightarrow_{R, \epsilon} / \rightarrow s\right)$.


## Dependency Pair problems for TRSs

- For relations $\rightarrow_{R}, \rightarrow_{S}$ we write $\rightarrow_{R} / \rightarrow_{S}$ for $\rightarrow_{S}^{*} \cdot \rightarrow_{R}$.
- $\rightarrow_{R, \epsilon}$ denotes $R$-reduction, but only at the top of a term.
- Write $\operatorname{SN}\left(R_{\text {top }} / S\right)$ instead of $\operatorname{SN}\left(\rightarrow_{R, \epsilon} / \rightarrow s\right)$.
$\mathrm{SN}\left(R_{\mathrm{top}} / S\right)$ is the finiteness of the dependency pair problem for $\{R, S\}$.
So $\operatorname{SN}\left(R_{\text {top }} / S\right)$ means that every infinite $\rightarrow_{R, \epsilon} \cup \rightarrow_{S}$ reduction, contains only finitely many $\rightarrow_{R, \epsilon}$ steps.


## Dependency Pair problems for TRSs

- For relations $\rightarrow_{R}, \rightarrow_{S}$ we write $\rightarrow_{R} / \rightarrow_{S}$ for $\rightarrow_{S}^{*} \cdot \rightarrow_{R}$.
- $\rightarrow_{R, \epsilon}$ denotes $R$-reduction, but only at the top of a term.
- Write $\operatorname{SN}\left(R_{\text {top }} / S\right)$ instead of $\operatorname{SN}\left(\rightarrow_{R, \epsilon} / \rightarrow s\right)$.
$\mathrm{SN}\left(R_{\mathrm{top}} / S\right)$ is the finiteness of the dependency pair problem for $\{R, S\}$.
So $\mathrm{SN}\left(R_{\text {top }} / S\right)$ means that every infinite $\rightarrow_{R, \epsilon} \cup \rightarrow_{S}$ reduction, contains only finitely many $\rightarrow_{R, \epsilon}$ steps. Motivation: There a simple syntactic construction DP such that for any TRS $S$ we have

$$
\mathrm{SN}\left(\mathrm{DP}(S)_{\mathrm{top}} / S\right) \Longleftrightarrow \mathrm{SN}(S)
$$

## Dependency pair problems

The dependency pair problem $\{R, S\}$ is finite if $\mathrm{SN}\left(R_{\text {top }} / S\right)$.

$$
\mathrm{SN}\left(R_{\mathrm{top}} / S\right):=\rightarrow_{S}^{*} \cdot \rightarrow_{R, \epsilon} \text { is } \mathrm{SN}
$$

This seems a "standard" SN-for-TRS problem, so should be $\Pi_{2}^{0} \ldots$

## Dependency pair problems

The dependency pair problem $\{R, S\}$ is finite if $\operatorname{SN}\left(R_{\text {top }} / S\right)$.

$$
\mathrm{SN}\left(R_{\mathrm{top}} / S\right):=\rightarrow_{S}^{*} \cdot \rightarrow_{R, \epsilon} \text { is } \mathrm{SN}
$$

This seems a "standard" SN-for-TRS problem, so should be $\Pi_{2}^{0} \ldots$ But: $\rightarrow_{S}^{*} \cdot \rightarrow_{R, \epsilon}$ is not finitely branching.

## Example

$f(x) \longrightarrow s g(f(x))$
$g(x) \longrightarrow_{R}$ a
Finite DP problem, but $\rightarrow_{S}^{*} \cdot \rightarrow_{R, \epsilon}$ is not finitely branching:
$f(x) \rightarrow_{S}^{*} g^{n}\left((f(x)) \rightarrow_{R, \epsilon}\right.$ a.

## SN for non-finitely branching systems (ARSs)

$$
\mathrm{SN}_{R}(a):=\forall \alpha: \mathbb{N} \rightarrow \mathbb{N}\left(\alpha(0)=a \Longrightarrow \exists i \neg\left(\alpha(i) \longrightarrow_{R} \alpha(i+1)\right)\right)
$$

"There is no infinite reduction starting from $a$ ". This is a $\Pi_{1}^{1}$-statement, so finiteness of DP problems is in the class $\Pi_{1}^{1}$.

## SN for non-finitely branching systems (ARSs)

$$
\mathrm{SN}_{R}(a):=\forall \alpha: \mathbb{N} \rightarrow \mathbb{N}\left(\alpha(0)=a \Longrightarrow \exists i \neg\left(\alpha(i) \longrightarrow_{R} \alpha(i+1)\right)\right)
$$

"There is no infinite reduction starting from $a$ ".
This is a $\Pi_{1}^{1}$-statement, so finiteness of DP problems is in the class $\Pi_{1}^{1}$.
Is it $\Pi_{1}^{1}$-complete?
Yes: we prove

$$
\mathrm{WF}\left(>_{M}\right) \Longleftrightarrow \mathrm{SN}\left(S_{\mathrm{top}}^{M} / S^{M}\right)
$$

for a suitable $S_{M}$ constructed from $M$. This reduces $\operatorname{WF}\left(>_{M}\right)$ to $\operatorname{SN}\left(S_{\text {top }}^{M} / S^{M}\right)$, thus showing $\Pi_{1}^{1}$-hardness of dependency pair problems.

## DP is $\Pi_{1}^{1}$-complete

We now reduce well-foundedness of $>_{M}$ to $\operatorname{SN}\left(S_{\text {top }}^{M} / S^{M}\right)$ and thus obtain that DP is $\Pi_{1}^{1}$-complete.

## DP is $\Pi_{1}^{1}$-complete

We now reduce well-foundedness of $>_{M}$ to $\operatorname{SN}\left(S_{\text {top }}^{M} / S^{M}\right)$ and thus obtain that DP is $\Pi_{1}^{1}$-complete.
IDEA: We define a TRS $S^{M}$ such that
$S^{M}$ has an infinite reduction iff $\neg \mathrm{WF}\left(>_{M}\right)$, and this reduction "keeps coming back to the top level".

## DP is $\Pi_{1}^{1}$-complete

We now reduce well-foundedness of $>_{M}$ to $\operatorname{SN}\left(S_{\text {top }}^{M} / S^{M}\right)$ and thus obtain that DP is $\Pi_{1}^{1}$-complete.
IDEA: We define a TRS $S^{M}$ such that
$S^{M}$ has an infinite reduction iff $\neg \mathrm{WF}\left(>_{M}\right)$,
and this reduction "keeps coming back to the top level".
We want to mimick a computation that

1. arbitrarily picks a number $n_{1}$
2. arbitrarily picks a number $n_{2}$
3. checks if $n_{1}>_{M} n_{2}$, if "no" stops, if "yes" replaces $n_{1}$ by $n_{2}$ and continues with (2)
Notation: we write $\bar{n}$ to denote $S^{n}(0(\triangleright))$

## DP is $\Pi_{1}^{1}$-complete

First we add

$$
q(x, 0(y)) \longrightarrow \mathrm{T} \text { if } \delta(q, 0)=\text { undefined }
$$

so that we have

$$
n>_{M} p \text { iff } q_{0}(\bar{n}, \bar{p}) \rightarrow_{R}^{*} T
$$

## DP is $\Pi_{1}^{1}$-complete

First we add

$$
q(x, 0(y)) \longrightarrow \mathrm{T} \text { if } \delta(q, 0)=\text { undefined }
$$

so that we have

$$
n>_{M} p \text { iff } q_{0}(\bar{n}, \bar{p}) \rightarrow_{R}^{*} T
$$

Then (but this is too simple ...): to pick arbitrary numbers we introduce the following TRS

$$
\begin{aligned}
& \text { pick } \longrightarrow S(\text { pick }) \\
& \text { pick } \longrightarrow 0(\triangleright)
\end{aligned}
$$

and we add

$$
\operatorname{try}(\mathrm{T}, x, y) \longrightarrow \operatorname{try}(q(x, y), y, \text { pick })
$$

## DP is $\Pi_{1}^{1}$-complete

The intention is to have

$$
\begin{aligned}
\operatorname{try}(\mathrm{T}, \text { pick, pick }) & \rightarrow^{*} \\
\operatorname{try}\left(\mathrm{~T}, \bar{n}_{1}, \bar{n}_{2}\right) & \rightarrow^{*} \operatorname{try}\left(q_{0}\left(\bar{n}_{1}, \bar{n}_{2}\right), \bar{n}_{2}, \text { pick }\right) \longrightarrow \\
\operatorname{try}\left(\mathrm{T}, \bar{n}_{2}, \bar{n}_{3}\right) & \rightarrow^{*} \operatorname{try}\left(q_{0}\left(\bar{n}_{2}, \bar{n}_{3}\right), \bar{n}_{3}, \text { pick }\right) \longrightarrow \ldots
\end{aligned}
$$

only if there is an infinite descending sequence $n_{1}>_{M} n_{2}>_{M} n_{3} \ldots$

## DP is $\Pi_{1}^{1}$-complete

The intention is to have

$$
\begin{aligned}
\operatorname{try}(\mathrm{T}, \text { pick, pick }) & \rightarrow^{*} \\
\operatorname{try}\left(\mathrm{~T}, \bar{n}_{1}, \bar{n}_{2}\right) & \rightarrow^{*} \operatorname{try}\left(q_{0}\left(\bar{n}_{1}, \bar{n}_{2}\right), \bar{n}_{2}, \text { pick }\right) \longrightarrow \\
\operatorname{try}\left(\mathrm{T}, \bar{n}_{2}, \bar{n}_{3}\right) & \rightarrow^{*} \operatorname{try}\left(q_{0}\left(\bar{n}_{2}, \bar{n}_{3}\right), \bar{n}_{3}, \text { pick }\right) \longrightarrow \ldots
\end{aligned}
$$

only if there is an infinite descending sequence $n_{1}>_{M} n_{2}>_{M} n_{3} \ldots$ However we also have:

$$
\begin{aligned}
\operatorname{try}(\mathrm{T}, \text { pick, pick }) & \rightarrow^{*} \\
\operatorname{try}\left(q_{0}(\text { pick, pick }), \text { pick, pick }\right) & \rightarrow^{*} \operatorname{try}\left(q_{0}\left(\bar{n}_{1}, \bar{n}_{2}\right), \text { pick, pick }\right) \rightarrow^{*} \\
\operatorname{try}(\mathrm{~T}, \text { pick, pick }) & \rightarrow^{*} \ldots
\end{aligned}
$$

if $n_{1}>_{M} n_{2}$

## DP is $\Pi_{1}^{1}$-complete

The intention is to have

$$
\begin{aligned}
\operatorname{try}(\mathrm{T}, \text { pick, pick }) & \rightarrow^{*} \\
\operatorname{try}\left(\mathrm{~T}, \bar{n}_{1}, \bar{n}_{2}\right) & \rightarrow^{*} \operatorname{try}\left(q_{0}\left(\bar{n}_{1}, \bar{n}_{2}\right), \bar{n}_{2}, \text { pick }\right) \longrightarrow \\
\operatorname{try}\left(\mathrm{T}, \bar{n}_{2}, \bar{n}_{3}\right) & \rightarrow^{*} \operatorname{try}\left(q_{0}\left(\bar{n}_{2}, \bar{n}_{3}\right), \bar{n}_{3}, \text { pick }\right) \longrightarrow \ldots
\end{aligned}
$$

only if there is an infinite descending sequence $n_{1}>_{M} n_{2}>_{M} n_{3} \ldots$ However we also have:

$$
\begin{aligned}
\operatorname{try}(\mathrm{T}, \text { pick, pick }) & \rightarrow^{*} \\
\operatorname{try}\left(q_{0}(\text { pick, pick }), \text { pick, pick }\right) & \rightarrow^{*} \operatorname{try}\left(q_{0}\left(\bar{n}_{1}, \bar{n}_{2}\right), \text { pick, pick }\right) \rightarrow^{*} \\
\operatorname{try}(\mathrm{~T}, \text { pick, pick }) & \rightarrow^{*} \ldots
\end{aligned}
$$

if $n_{1}>_{M} n_{2}$
Problem: $\operatorname{try}(\mathrm{T}, u, s)$ should only reduce if $u$ and $s$ represent a number.

## DP is $\Pi_{1}^{1}$-complete

To pick arbitrary numbers we introduce the following TRS

$$
\begin{aligned}
\text { pick } & \longrightarrow c(\text { pick }) \\
\text { pick } & \longrightarrow \text { ok }(0(\triangleright)) \\
c(\operatorname{ok}(x)) & \longrightarrow o k(S(x))
\end{aligned}
$$

Then pick $\rightarrow^{*} c^{n}($ pick $) \longrightarrow c^{n}(\operatorname{ok}(0(\triangleright))) \longrightarrow \operatorname{ok}\left(\mathrm{S}^{n}(0(\triangleright))\right) \equiv \bar{n}$

## DP is $\Pi_{1}^{1}$-complete

To pick arbitrary numbers we introduce the following TRS

$$
\begin{aligned}
\text { pick } & \longrightarrow c(\text { pick }) \\
\text { pick } & \longrightarrow \text { ok }(0(\triangleright)) \\
c(\operatorname{ok}(x)) & \longrightarrow \text { ok }(\mathrm{S}(x))
\end{aligned}
$$

Then pick $\rightarrow^{*} c^{n}($ pick $) \longrightarrow c^{n}(\operatorname{ok}(0(\triangleright))) \longrightarrow \operatorname{ok}\left(\mathrm{S}^{n}(0(\triangleright))\right) \equiv \bar{n}$ Lemma pick $\rightarrow^{*}$ ok $(t) \Longleftrightarrow \exists n\left(t=\mathrm{S}^{n}(0(\triangleright))\right)$

## DP is $\Pi_{1}^{1}$-complete

Finally we add the following rewrite rule

$$
\operatorname{try}(\mathrm{T}, \mathrm{ok}(x), \operatorname{ok}(y)) \longrightarrow \operatorname{try}\left(q_{0}(x, y), \text { ok }(y), \text { pick }\right)
$$

Then: the term $\operatorname{try}(T$, pick, pick $)$ is $\operatorname{SN}\left(R_{\text {top }} / S\right)$ iff $>_{M}$ is well-founded.
Proof: The only infinite reduction that is possible is of the form

$$
\begin{aligned}
\operatorname{try}(\mathrm{T}, \text { pick, pick }) & \rightarrow^{*} \\
\operatorname{try}\left(\mathrm{~T}, \text { ok }\left(\bar{n}_{1}\right), \text { ok }\left(\bar{n}_{2}\right)\right) & \rightarrow^{*} \operatorname{try}\left(q_{0}\left(\bar{n}_{1}, \bar{n}_{2}\right), \text { ok }\left(\bar{n}_{2}\right), \text { pick }\right) \longrightarrow \\
\operatorname{try}\left(\mathrm{T}, \text { ok }\left(\bar{n}_{2}\right), \text { ok }\left(\bar{n}_{3}\right)\right) & \rightarrow^{*} \operatorname{try}\left(q_{0}\left(\bar{n}_{2}, \bar{n}_{3}\right), \text { ok }\left(\bar{n}_{3}\right), \text { pick }\right) \longrightarrow
\end{aligned}
$$

if $n_{1}>_{M} n_{2}>_{M} n_{3} \ldots$

## Remarks / Conclusions / Future work

Remarks

- In DP ${ }^{\text {min }}$, we restrict $\rightarrow_{S}^{*} \cdot \rightarrow_{R, \epsilon}$ to terms that are $\mathrm{SN}(S)$.
$\mathrm{DP}^{\mathrm{min}}$ is $\Pi_{2}^{0}$-complete (see paper).
- $\mathrm{SN}^{\omega}(R)$ is $\Pi_{1}^{1}$-complete (see paper).

Future work:

- Characterize "all" properties of TRSs, distinguishing between "ground terms" and "all terms": UN, ....
- Characterize $\mathrm{WN}^{\omega}(R)$.
$\mathrm{WN}^{\omega}(R):=\forall t \exists \alpha(\ldots) \Longleftrightarrow \exists \alpha \forall t(\ldots) \in \Pi_{1}^{1}$.
- Extend to infinite terms. $\mathrm{SN}_{\infty}^{\omega}(R):=\forall \beta \forall \alpha(\ldots) \in \Pi_{1}^{1}$. $\mathrm{WN}_{\infty}^{\omega}(R):=\forall \beta \exists \alpha(\ldots) \in \Sigma_{2}^{1}$.
- Make the generalizations to $\mathrm{SN}^{\infty}, \mathrm{WN}^{\infty}$ precise, for reduction of all countable ordinal length.
- Study the proof-theoretic complexity of productivity

