

Normalisation for general constructive propositional logic

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Constructive Logic

Constructively the meaning of a connective is fixed by explaining what a proof is that involves the connective. Basically, this explains the introduction rule(s) for each connective, from which the elimination rules follow (Prawitz) By analysing constructive proofs we then also get

- consistency (from proof normalization),
- decidability (from the subformula property),
- Curry-Howard proofs-as-terms (and propositions-as-types) allowing to study normalization as term-reduction



Our work / Overview of the talk

- Derive constructive natural deduction rules for a connective from its truth table definition.
 - Gives natural deduction rules for a connective "in isolation"
 - Also gives constructive rules for connectives that haven't been studied constructively so far, like nand and if-then-else.
- Curry-Howard: give proof-terms for natural deductions
- Define proof-normalization as term-reduction
 ⇒ A general notion of detour conversion (cut-elimination) and
 permutation conversion for these constructive connectives.
- Weak normalization
- Strong normalization



Standard form for natural deduction rules

$$\frac{\Gamma \vdash A_1 \quad \dots \quad \Gamma \vdash A_n \qquad \Gamma, B_1 \vdash D \quad \dots \quad \Gamma, B_m \vdash D}{\Gamma \vdash D}$$

If the conclusion of a rule is $\Gamma \vdash D$, then the hypotheses of the rule can be of one of two forms:

- **1** Γ , $B \vdash D$. We are given extra data B to prove D from Γ . We call B a Case.
- Q Γ ⊢ A. instead of proving D from Γ, we now need to prove A from Γ. We call A a Lemma.

One obvious advantage: we don't have to give Γ explicitly, as it can be retrieved:

$$\vdash A_1 \quad \ldots \quad \vdash A_n \qquad B_1 \vdash D \quad \ldots \quad B_m \vdash D$$



Constructive natural deduction rules from truth tables

Let *c* be an *n*-ary connective *c* with truth table t_c . Each row of t_c gives rise to an elimination rule or an introduction rule for *c*. (We write $\varphi = c(A_1, \ldots, A_n)$.)

This is the constructive introduction rule; there is also a classical introduction rule, which we don't discuss now.



Definition of the logics

Given a set of connectives $C := \{c_1, \ldots, c_n\}$, the constructive natural deduction systems for C, IPC_C, has the following rules.

• The axiom rule

$$\frac{1}{\Gamma \vdash A} \text{ axiom } (\text{if } A \in \Gamma)$$

- The constructive introduction rules for the connectives in *C*, as derived from the truth table.
- The elimination rules for the connectives in C, as derived from the truth table.



Examples

Derivation rules for \land (3 elim rules and one intro rule):

$$\frac{A \quad B \quad | A \land B}{0 \quad 0 \quad 0} \\
0 \quad 1 \quad 0 \\
1 \quad 0 \quad 0 \\
1 \quad 1 \quad 1$$

$$\frac{\vdash A \land B \quad A \vdash D \quad B \vdash D}{\vdash D} \land -el_{a} \qquad \frac{\vdash A \land B \quad A \vdash D \quad \vdash B}{\vdash D} \land -el_{b} \\
\frac{\vdash A \land B \quad \vdash A \quad B \vdash D}{\vdash D} \land -el_{c} \qquad \frac{\vdash A \quad \vdash B}{\vdash A \land B} \land -in$$

- These rules can be shown to be equivalent to the well-known derivation rules.
- These rules can be optimized to 3 rules.



Rules for \neg : 1 elimination rule and 1 introduction rule.

 $\begin{array}{c|c} A & \neg A \\ \hline 0 & 1 \\ 1 & 0 \end{array}$

Derivation rules:

$$\frac{\vdash \neg A \vdash A}{\vdash D} \neg -\text{el} \qquad \frac{A \vdash \neg A}{\vdash \neg A} \neg -\text{in}$$

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Natural Deduction and Truth Tables Detour-conversion and permutation conversion The Curry-Howard isomorphism Normalization

The well-known constructive connectives

The optimised rules for \wedge,\neg , \vee,\rightarrow,\top and \perp we obtain are:

$$\frac{\vdash A \qquad \vdash B}{\vdash A \land B} \land -\text{in} \qquad \frac{\vdash A \land B}{\vdash A} \land -\text{el}_{1} \qquad \frac{\vdash A \land B}{\vdash B} \land -\text{el}_{2}$$

$$\frac{\vdash A \lor B \qquad A \vdash D \qquad B \vdash D}{\vdash D} \lor -\text{el} \qquad \frac{\vdash A}{\vdash A \lor B} \lor -\text{in}_{1} \qquad \frac{\vdash B}{\vdash A \lor B} \lor -\text{in}_{2}$$

$$\frac{\vdash A \rightarrow B \qquad \vdash A}{\vdash B} \rightarrow -\text{el} \qquad \frac{\vdash B}{\vdash A \rightarrow B} \rightarrow -\text{in}_{1} \qquad \frac{A \vdash A \rightarrow B}{\vdash A \rightarrow B} \rightarrow -\text{in}_{2}$$

$$\frac{\vdash \neg A \qquad \vdash A}{\vdash D} \neg -\text{el} \qquad \frac{A \vdash \neg A}{\vdash \neg A} \neg -\text{in} \qquad \frac{\vdash \top}{\vdash T} \top -\text{in} \qquad \frac{\vdash \bot}{\vdash D} \bot -\text{el}$$



Sheffer stroke or NAND connective [I]

The truth table for nand(A, B), which we write as $A \uparrow B$ is as follows.

Α	В	$A \uparrow B$
0	0	1
0	1	1
1	0	1
1	1	0

From this we derive the following optimized rules.

$$\frac{A \vdash A \uparrow B}{\vdash A \uparrow B} \uparrow \text{-inl} \qquad \frac{B \vdash A \uparrow B}{\vdash A \uparrow B} \uparrow \text{-inr} \qquad \frac{\vdash A \uparrow B \quad \vdash A \quad \vdash B}{\vdash D} \uparrow \text{-el}$$



Sheffer stroke or NAND connective [II]

The usual connectives can be defined in terms of nand.

This gives rise to an embedding $(-)^{\uparrow}$ of intuitionistic proposition logic \vdash_i into the nand-logic \vdash_{\uparrow} .

PROPOSITION For A a formula in proposition logic,

$$\vdash_i \neg \neg A \quad \iff \quad \vdash_{\uparrow} (A)^{\uparrow}.$$

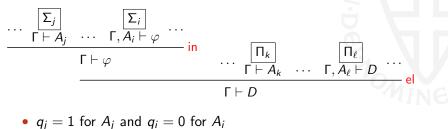


Detours (cuts) in constructive logic

Remember that the rules for c arise from rows in the truth table t_c :

$$\begin{array}{c|cccc} A_1 & \dots & A_n & c(A_1, \dots, A_n) \\ \hline p_1 & \dots & p_n & 0 \\ q_1 & \dots & q_n & 1 \end{array}$$

DEFINITION A detour convertibility is a pattern of the following form, where $\varphi = c(A_1, \ldots, A_n)$.

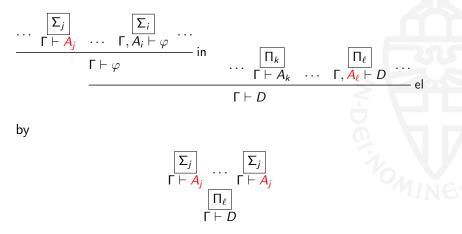


•
$$p_k = 1$$
 for A_k and $p_\ell = 0$ for A_ℓ
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Eliminating a detour (detour conversion) (I)

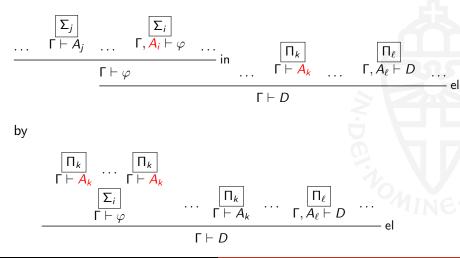
The *elimination of a detour* is defined by replacing the deduction pattern by another one. If $A_j = A_\ell$ (for some j, ℓ), replace





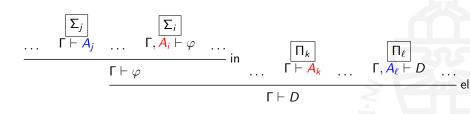
Eliminating a detour (detour conversion) (II)

If
$$A_i = A_k$$
 (for some i, k), replace





Observation

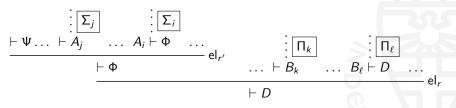


- There can be several "matching" (i, k) or (j, ℓ) pairs.
- So: detour conversion ("β-rule") is non-deterministic in general.



Permutation convertibility: Definition

Let c and c' be connectives of arity n and n', with elimination rules r and r' respectively. A permutation convertibility in a derivation is a pattern of the following form, where $\Phi = c(B_1, \ldots, B_n)$, $\Psi = c'(A_1, \ldots, A_{n'})$.



- A_j ranges over all propositions that have a 1 in the truth table of c'; A_i ranges over all propositions that have a 0,
- B_k ranges over all propositions that have a 1 in the truth table of c; B_ℓ ranges over all propositions that have a 0.



Permutation conversion

The permutation conversion is defined by replacing the derivation pattern on the previous slide by

$$\frac{\vdash \Psi \dots \vdash A_{j}}{\vdash D} \qquad \qquad \frac{ \begin{array}{c} \vdots \boxed{\Sigma_{i}} \\ A_{i} \vdash \Phi \\ \dots \\ A_{i} \vdash B_{k} \\ \dots \\ A_{i} \vdash D \\ \end{array}}{\begin{array}{c} \vdots \boxed{\Pi_{\ell}} \\ \dots \\ A_{i} \in B_{k} \\ \dots \\ A_{i}, B_{\ell} \vdash D \\ \dots \\ el_{r'} \end{array}} el_{r'}$$

This gives rise to copying of sub-derivations: for every A_i we copy the sub-derivations Π_1, \ldots, Π_n .



Curry-Howard proofs-as-terms

We define rules for the judgment $\Gamma \vdash t : A$, where

- A is a formula,
- Γ is a set of declarations {x₁ : A₁,..., x_m : A_m}, where the A_i are formulas and the x_i are term-variables,
- *t* is a proof-term:

$$t ::= x \mid \{\overline{t} ; \overline{\lambda x : A \cdot t}\}_r \mid t \cdot_r [\overline{t} ; \overline{\lambda x : A \cdot t}]$$

where x ranges over variables and r ranges over the rules. For a connective $c \in C$, r an introduction rule for c and r' an elimination rule for c, we have

- an introduction term $\{\overline{t}; \overline{\lambda x : A.t}\}_r$
- an elimination term $t \cdot_{r'} [\overline{t}; \overline{\lambda x : A.t}]$



Curry-Howard typing rules

Let $\Phi = c(A_1, \ldots, A_n)$ and r a rule for c.

$$\frac{\overline{\Gamma \vdash x_i : A_i}}{\Gamma \vdash x_i : A_i} \quad \text{if } x_i : A_i \in \Gamma$$

$$\frac{\dots \Gamma \vdash p_j : A_j \dots \dots \Gamma, y_i : A_i \vdash q_i : \Phi \dots}{\Gamma \vdash \{\overline{p} ; \overline{\lambda y : A.q}\}_r : \Phi} \quad \text{in}$$

$$\frac{\Gamma \vdash t : \Phi \quad \dots \Gamma \vdash p_k : A_k \dots \dots \Gamma, y_\ell : A_\ell \vdash q_\ell : D}{\Gamma \vdash t \cdot_r [\overline{p} ; \overline{\lambda y : A.q}] : D} \quad \text{el}$$

Here, \overline{p} is the sequence of terms $p_1, \ldots, p_{m'}$ for all the 1-entries in rule r of the truth table, and $\overline{\lambda y} : A.q$ is the sequence of terms $\lambda y_1 : A_1.q_1, \ldots, \lambda y_m : A_m.q_m$ for all the 0-entries in r.



Reductions on terms for detours

Term reduction rules that correspond to detour conversions.

- For simplicity we write the "matching cases" as last term of the sequence.
- For the $A_j = A_\ell$ case, that is, $p_j : A_j$ and $y_\ell : A_\ell$ with $A_j = A_\ell$: $\{\overline{p, p_j}; \overline{\lambda x. q}\} \cdot [\overline{s}; \overline{\lambda y. r, \lambda y_\ell . r_\ell}] \longrightarrow_a r_\ell[y_\ell := p_j]$
- For the $A_i = A_k$ case, that is, $x_i : A_i$ and $s_k : A_k$ with $A_i = A_k$:

 $\{\overline{p} ; \overline{\lambda x.q, \lambda x_i.q_i}\} \cdot [\overline{s, s_k} ; \overline{\lambda y.r}] \longrightarrow_a q_i[x_i := s_k] \cdot [\overline{s, s_k} ; \overline{\lambda y.r}]$

 $\overline{p, p_j}$ should be understood as a sequence $p_1, \ldots, p_j, \ldots, p_{m'}$, where the p_j that matches the r_ℓ in $\overline{\lambda y. r}, \lambda y_\ell . r_\ell$ has been singled out.

NB There is always (at least one) matching case, because intro/elim rules comes from different lines in the truth table.

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Reductions on terms for permutations

We add the following reduction rules for permutation conversions.

$$(t \cdot_{r} [\overline{p}; \overline{\lambda x.q}]) \cdot_{r'} [\overline{s}; \overline{\lambda y.r}] \longrightarrow_{b} t \cdot_{r} [\overline{p}; \overline{\lambda x.(q \cdot_{r'} [\overline{s}; \overline{\lambda y.r}])}]$$

Here, $\lambda x.(q \cdot [\overline{s}; \overline{\lambda y.r}])$ should be understood as a sequence $\lambda x_1.q_1, \ldots, \lambda x_m.q_m$ where each q_j is replaced by $q_j \cdot r' [\overline{s}; \overline{\lambda y.r}]$.



Optimized reductions on optimized terms

- On optimized terms, one can also, in a canonical way, define detour conversion →_a and permutation conversion →_b.
- Detour reduction on optimised terms translates to (multi-step) detour reduction on the full terms.
- So, strong normalization on optimised terms follows from strong normalization on full terms.
- Other well-known rules, like the general elimination rules studied by Schroeder-Heister and Von Plato, can similarly be translated to our full rules.





THEOREM The reduction \longrightarrow_b is strongly normalizing

 $(t \cdot_{r} [\overline{p}; \overline{\lambda x.q}]) \cdot_{r'} [\overline{s}; \overline{\lambda y.r}] \longrightarrow_{b} t \cdot_{r} [\overline{p}; \lambda x.(q \cdot_{r'} [\overline{s}; \overline{\lambda y.r}])]$

PROOF The measure |-| decreases with every reduction step.

$$\begin{aligned} |x| &:= 1\\ |\{\overline{p} ; \overline{\lambda y.q}\}| &:= \Sigma |p_i| + \Sigma |q_j|\\ |t \cdot [\overline{s} ; \overline{\lambda y.u}]| &:= |t|(2 + \Sigma |s_k| + \Sigma |u_\ell|) \end{aligned}$$



Normalization

THEOREM The reduction \longrightarrow_a is strongly normalizing.

 $\{\overline{p,p_j} ; \overline{\lambda x.q}\} \cdot [\overline{s} ; \overline{\lambda y.r, \lambda y_{\ell}.r_{\ell}}] \longrightarrow_a r_{\ell}[y_{\ell} := p_j]$

(for the $A_j = A_\ell$ case, $p_j : A_j$ and $y_\ell : A_\ell$ with $A_j = A_\ell$)

 $\{\overline{p} ; \overline{\lambda x.q, \lambda x_i.q_i}\} \cdot [\overline{s, s_k} ; \overline{\lambda y.r}] \longrightarrow_a q_i[x_i := s_k] \cdot [\overline{s, s_k} ; \overline{\lambda y.r}]$

(for the $A_i = A_k$ case, $x_i : A_i$ and $s_k : A_k$ with $A_i = A_k$)

 Proof We adapt the saturated sets method of Tait.

COROLLARY the combination \longrightarrow_{ab} is weakly normalizing. Basically: take the \longrightarrow_b -normal-form and then contract the innermost \longrightarrow_a -redex of highest rank. (This generalizes the Gandy-Turing SN proof for simple type theory, $\lambda \rightarrow$.)



Strong Normalization

New: we have obtained a proof of Strong Normalization for general $\mathsf{IPC}_\mathcal{C}.$

Rough outline of the proof (generalizing a proof of SN for IPC by Philippe De Groote):

- Define a "double negation" translation from $\mathsf{IPC}_{\mathcal{C}}$ formulas to $\lambda \to \text{-types}.$
- Define a reduction preserving "CPS" translation from IPC_C terms to λ →-parallel.
 (λ → extended with [M₁,..., M_n] : A if M_i : A for 1 ≤ i ≤ n.)
- Prove SN for $\lambda \rightarrow$ -parallel.



$\lambda \rightarrow -parallel$

- Types: $\sigma ::= o \mid (\sigma \rightarrow \sigma)$
- Terms: $M ::= x \mid (M M) \mid (\lambda x.M) \mid [M_1, \dots, M_n] \ (n > 1).$
- Typing rules

$\Gamma \vdash M : A \rightarrow B$	$\Gamma \vdash N : A$	$\Gamma, x : A \vdash M : B$
$\Gamma \vdash M N$: <i>B</i>	$\Gamma \vdash \lambda x.M : A \rightarrow B$
$(x:A)\in \Gamma$	$\Gamma \vdash M_1 : A$	$\ldots \Gamma \vdash M_n : A$
$\Gamma \vdash x : A$	Γ⊢[<i>M</i> ₁	$[1,\ldots,M_n]:A$

• Reduction rules: $(\lambda x.M) \ N \longrightarrow_{\beta} M[x := N]$ plus

 $[M_1,\ldots,M_n] \mathrel{\mathbb{N}} \longrightarrow_{\beta} [M_1 \mathrel{\mathbb{N}},\ldots,M_n \mathrel{\mathbb{N}}]$

SN can be proved by adapting the well-known Tait proof.



Translating formulas to types (outline)

Abbreviate $\neg A := A \rightarrow o$.

- For a proposition letter, $\widehat{A} := \neg \neg A$.
- For $\Phi = c(A_1, \dots, A_n)$ with elimination rules r_1, \dots, r_t

$$\widehat{\Phi} := \neg (E_1 \to \cdots \to E_t \to o),$$

where

$$E_{s} := \widehat{A_{k_{1}}} o \ldots o \widehat{A_{k_{m}}} o
eg \widehat{A_{l_{1}}} o \ldots o
eg \widehat{A_{l_{n-m}}} o o$$

with the A_k the 1-entries and the A_l are the 0-entries in the truth table.

For example

$$\widehat{A \wedge B} = \neg (\neg \neg \widehat{A} \rightarrow \neg \neg \widehat{B} \rightarrow o)$$

$$\widehat{A \vee B} = \neg ((\neg \widehat{A} \to \neg \widehat{B} \to o) \to o)$$



Translating proof-terms to $\lambda \rightarrow$ -parallel terms (outline)

Let $\Phi = c(A_1, \ldots, A_n)$ have elimination rules r_1, \ldots, r_t .

- $\widehat{x} := \lambda h.x h.$
- Elimination term:

$$M \cdot_{r_s} \widehat{[N]}; \overline{\lambda x.Q}] := \lambda h.\widehat{M} \left(\lambda g_1 \dots g_t \cdot g_s \overline{\widehat{N}}(\overline{\lambda x.\widehat{Q} h}) \right)$$

Introduction term

$$\{\overline{N}; \widehat{\lambda y.M}\}_r := \lambda h.h e_1^h \dots e_t^h,$$

where e_s^h is the possibly parallel term containing

- $\overline{\lambda f}.f_{\ell} \widehat{N_j}$ for ℓ in rule r_s and j with $A_j = A_{\ell}$.
- $\overline{\lambda f}.(\lambda y_i.\widehat{M}_i h)$ for k in rule r_s and i with $A_i = A_k$.



Translating proof-terms to $\lambda \rightarrow$ -parallel terms (outline)

Using the translation \widehat{M} we define a second translation \widehat{M} . (This is a generalization of the CPS translation $\overline{\overline{M}}$ of Plotkin, that De Groote also uses.)

We can prove

• If
$$M \longrightarrow_b N$$
, then $\widehat{\widehat{M}} = \widehat{\widehat{N}}$

• If
$$\widehat{M} \subset K$$
 (\widehat{M} is a subterm of K), then

From this we can derive Strong Normalization.





- Simple general way to derive constructive deduction rules for (new) connectives.
- Study connectives "in isolation". (Without other connectives.)
- General definition of detour conversion and permutation conversion.
- General Curry-Howard proofs-as-terms interpretation.
- General Strong Normalization proof.



Future work and Related

- Meaning of the new connectives as inductive data types.
- Study conditions for the set of rules to be Church-Rosser.
- General definition of classical detour/permutation conversion
- Relation with other well-known term calculi for classical logic: subtraction logic (Crolard), $\lambda \mu$ (Parigot), $\bar{\lambda} \mu \tilde{\mu}$ (Curien, Herbelin).

Related work:

- Roy Dyckhoff, Peter Milne, Jan von Plato and Sara Negri, Peter Schroeder-Heister, ...
- "Harmony" in logic (following Prawitz)





