

How To Ensure That Your Papers Are Widely Cited

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Outline

1 Evaluation Based Research Funding

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- 2 Citation Analysis for Computer Science?

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- 6 Conclusions

The Quest for Excellence

“All research at the Radboud University Nijmegen is leading. In external research assessments it is rated at least very good (4) on the criteria quality, productivity, vitality and relevance.”

Strategic Plan Radboud University Nijmegen 2005-2009

Defining Productivity

“The committee assesses academic productivity by relating the output (the number of publications in total and in each category) to the input of human resources.”

Assessment of Research Quality, Protocol 1998, VSNU

Productivity Definition of Committee Steels

$$Productivity = \frac{Output}{Input}$$

where

- *Output* = number of academic publications, with weight 2 for PhD theses and books
- *Input* = full time equivalents in research, not counting PhD students

1 research monograph of 760pp = 2 journal papers of 2pp

1 postdoc = 2.5 faculty

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- Shirking of responsibility

Citation Analysis for Computer Science?

There is *some* correlation between (perceived) quality of researchers and the number of citation to their work. E.g.,

- All Dutch groups that were rated excellent on one or more criteria in previous assessment have representative in Top50 of most cited Dutch Computer Scientists according to Citeseer.
- All researchers in Top16 working in Dutch institute belonged to group that was rated excellent on one or more criteria.

Less correlation between Citeseer list and outcome last assessment.

Practical Problems (apart from Conceptual Ones)

- Citeseer and Google Scholar full of junk and mistakes; criteria for inclusion unclear
- ISI incomplete

E.g., work Lynch, Segala & Vaandrager on *Hybrid I/O Automata* cited 96× in Citeseer, 215× in Google Scholar and 20× in ISI

For many CS researchers coverage ISI better than Citeseer

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- Citations to papers in obscure workshop?
No!? Still proceedings of such workshops are often published as LNCS

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- Should all citations have equal weight?
- How to handle different citation behavior in different subfields?

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- Hirsch index?
A scientist has *h-index* h if h of his/her N_p papers have at least h citations each, and the other $(N_p - h)$ papers have no more than h citations each.
Difficult to compare individuals with different scientific age

Typical Arguments Used in Discussion

- *“True quality insensitive for precise definition”*
A genius will surface irrespective of precise definition.
But for most of us, choice of definition determines score 5, 4 or 3, i.e. life or death according to RU strategic plan.
- *“CS discipline should grow up”*
Grow up to become what? Physicists?
What if Dutch CS grows up and rest of the world doesn't?

CWTS Study

Goal:

Methodological study aimed at development of bibliometric indicators of research performance in various subfields of Computer Science

Basic approach:

Extension of ISI database with publications from LNCS, ACM and (selection of) IEEE. Analyze citations from extended database to publications of Dutch Computer Scientists from period 1996-2001 that are included in database.

Crown indicator:

CPP/FCS_m, where CPP is the average number of citations per paper and FCS_m is the Average Field Citation Score.

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- 6 Small fraction of papers responsible for most citations; average CPP is about 5; 30% of papers not cited.
- 7 Correlation between QANU quality ratings and citation indicators weak.

Should We Use Citation Analysis At All?

Yes! In fact, we already do. ISI, Citeseer and Google Scholar are used widely for evaluation purposes and help to improve quality of evaluations

Browsing for one hour through citations to publications of researcher (or group) provides a lot of insight!

Vision

Google Scholar like system that allows one to

- Enter set of target (cited) publications of individual or group
- Specify well-defined set of source (citing) publications, e.g., LNCS, ACM, IEEE, Elsevier,...
- Browse on-line through citing papers, both in and outside well-defined set
- Quantitative information *only* as catalyst for thought
- Open!

Qualitative versus Quantitative

Assuming that evaluation committee does a proper job (!), qualitative citation analysis avoids some problems quantitative approach

- Goal displacement
- Manipulation of own citation scores

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- 9 Communicate with other researchers about your work

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- 6 As consequences of research assessments are getting so big, their quality should improve accordingly; evaluation committees should properly justify their judgment
- 7 Quantitative information only as catalyst for thought; emphasis on qualitative citation analysis