

# avoiding state with infinite contexts

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Freek Wiedijk

Radboud University Nijmegen

The Netherlands

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## trusting a proof checker

proof checking of mathematics

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*fully* verified for correctness by a computer:

- **Gödel's first incompleteness theorem**  
nqthm (1986), Coq (2003), HOL Light (2005)
- **Jordan curve theorem**  
HOL Light (2005), Mizar (2005)
- **prime number theorem**  
Isabelle (2004), HOL Light (2008)
- **four color theorem**  
Coq (2004)

## the de Bruijn criterion

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all software has bugs . . .

why trust a proof checker?

N.G. de Bruijn, 1968:

[...] This is one of the reasons for keeping AUTOMATH as primitive as possible. [...]

*two approaches:*

- **small independent checker(s)**  
e.g.: Ivy system for Otter/Prover9
- **small proof checking kernel** inside the system  
LCF architecture

Robin Milner, 1972

## systems and kernels

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source sizes in  $10^3$  lines of code

			kernel	system	thms
	HOL Light	ocaml	0.7	30	69
	Isabelle	sml	5	160	40
	Twelf	sml	6	70	—
	ProofPower	sml	7	90	42
	Coq	ocaml	14	180	39
	Mizar	pascal		80	45
	ACL2	lisp		170	12
	PVS	lisp		280	15

## proving the kernel correct

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- **Coq in Coq**

Bruno Barras, 1997–1999

executable Coq model of the Coq logic

two different systems (the real system versus the extracted code)

extracted code not yet used for serious proof development

- **HOL in HOL**

John Harrison, 2006

HOL model of the actual HOL Light kernel source

not yet the full code (no type polymorphism, no definitions)

no *systematic* relation between HOL model and executable code

## kernels and state

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to make proving a kernel feasible:

- code should be as ‘mathematical’ as possible
- for current technology:  
kernel should be programmed in a **purely functional** language  
Lisp, ML, Haskell, Coq

current practice:

- kernels always have a **state**:  
**definitions** from the formalization that already have been processed
- corresponds to a **context** in the formal treatment of the logic

$$\Gamma \vdash M : A$$

# undo for HOL

## abstract datatypes of the HOL Light kernel

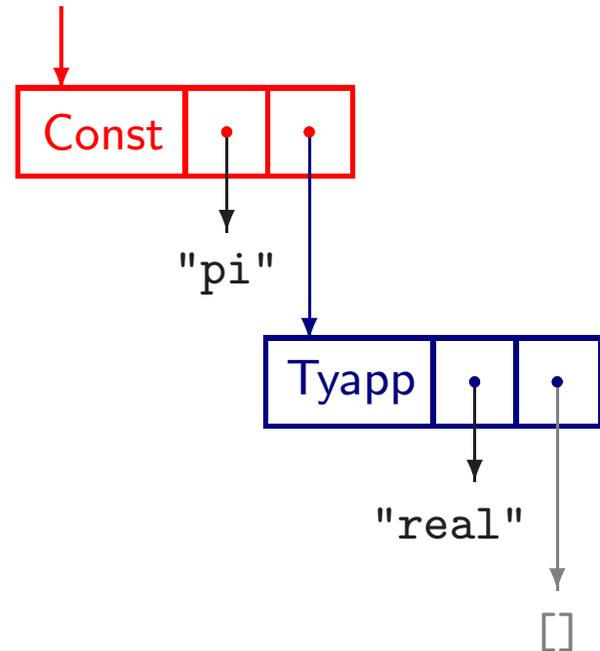
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```
type hol_type = private
| Tyvar of string
| Tyapp of string * hol_type list
```

```
type term = private
| Var of string * hol_type
| Const of string * hol_type
| Comb of term * term
| Abs of term * term
```

```
type thm = private
| Sequent of term list * term
```

```
# 'pi';;
val it : term = 'pi'
#
```



## the problem with undoing definitions

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*hypothetical HOL Light session:*

```
# let X0 = new_definition 'X = 0';;
val ( X0 ) : thm = |- X = 0
# undo_definition "X";;
val it : unit = ()
# let X1 = new_definition 'X = 1';;
val ( X1 ) : thm = |- X = 1
# TRANS (SYM X0) X1;;
val it : thm = |- 0 = 1
#
```

undoing definitions can change the meaning of existing thms

**inconsistent!**

⇒ *HOL Light does not support 'undo'*

## putting the definitions in the names

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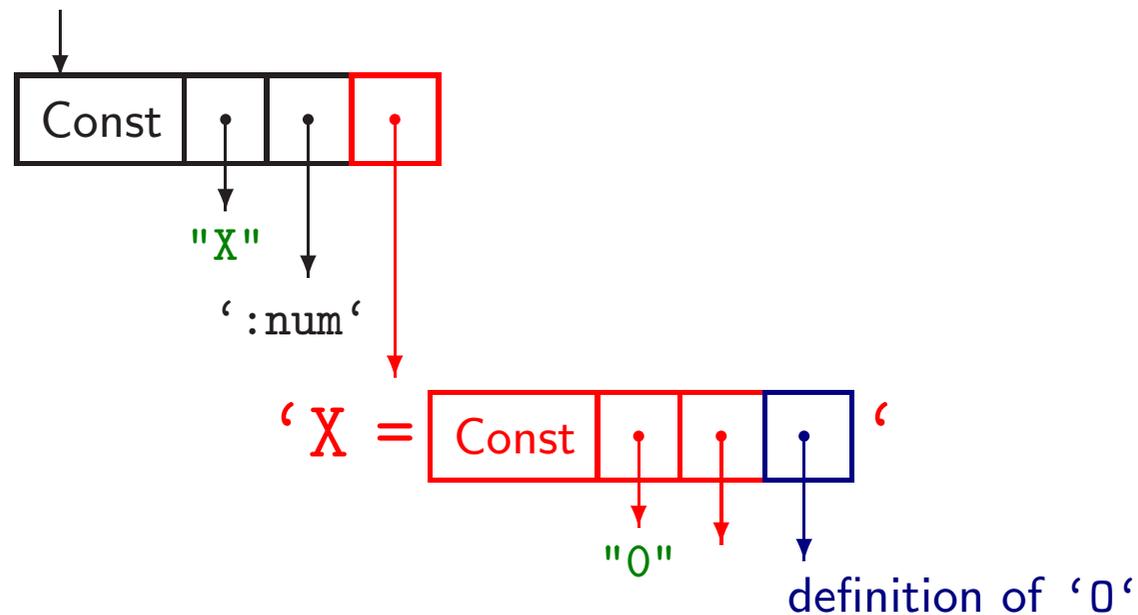
- **current HOL Light**

names of constants: pair of a **string** and a type

- **stateless HOL Light**

names of constants: pair of the **traditional name** and **the definition**

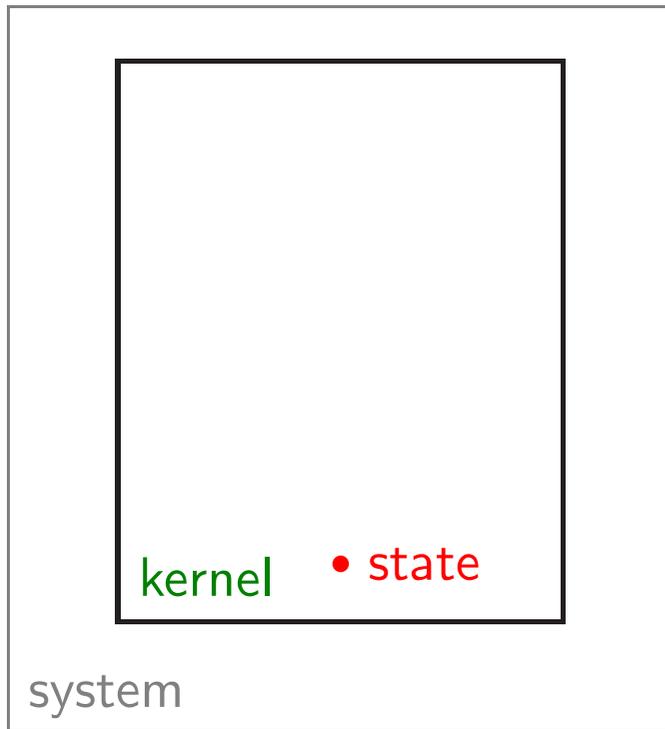
comparing equal definitions by **pointer comparison** is cheap



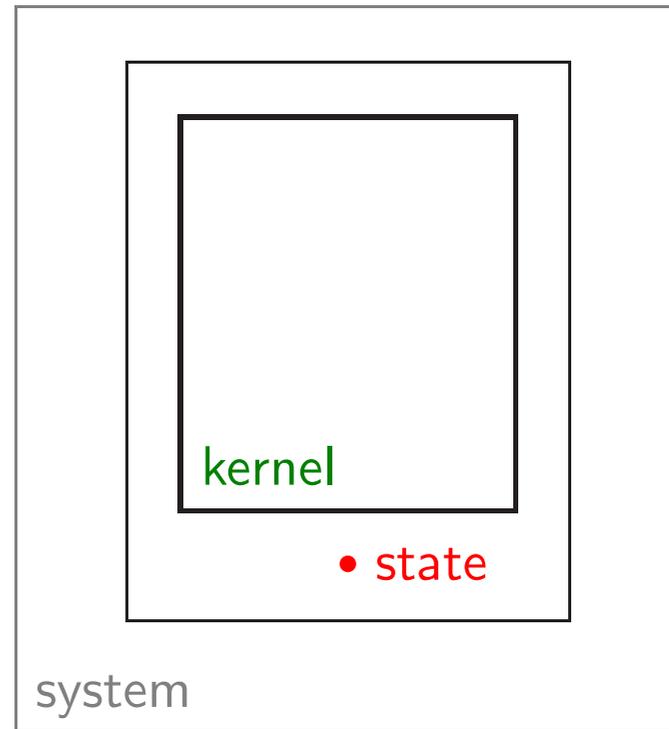
# nested kernels

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current HOL Light



stateless HOL Light



about 10% slower

# type theory without explicit contexts

## first order logic and contexts

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### first order logic

$$\frac{A \vdash P(x)}{A \vdash \forall x P(x)} \forall I$$
$$\frac{A \vdash \forall x P(x)}{\vdash A \rightarrow \forall x P(x)} \rightarrow I$$

'sea' of free variables

$$\frac{A \vdash_{\{x\}} P(x)}{A \vdash_{\{\}} \forall x P(x)} \forall I$$
$$\frac{A \vdash_{\{\}} \forall x P(x)}{\vdash_{\{\}} A \rightarrow \forall x P(x)} \rightarrow I$$

$$\vdash_{\{\}} (\forall x P(x)) \rightarrow (\exists x P(x)) ?$$

### type theory

$$\frac{H : A, x : D \vdash M_1 : P(x)}{H : A \vdash M_2 : \Pi x : D. P(x)} \lambda$$
$$\frac{H : A \vdash M_2 : \Pi x : D. P(x)}{\vdash M_3 : A \rightarrow \Pi x : D. P(x)} \lambda$$

'free' variables in the context

## merging all contexts into an infinite context

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category of contexts for a given type theory

- **objects:**

$$\Gamma$$

$\Gamma$  is a finite or countably infinite context

- **morphisms:**

$$\Gamma \xrightarrow{f} \Gamma'$$

$f$  is an injection mapping the variables from  $\Gamma$  to variables from  $\Gamma'$

this category has pushouts:

every two contexts can be combined into a bigger context

direct limit of all contexts:

$$\Gamma_\infty$$

the system  $\Gamma_\infty$

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$\Gamma_\infty$ : system equivalent to the PTS rules but without explicit contexts  
(we reuse the name of the infinite context for the system)

PTS = Pure Type System

we write

$$M : A$$

to morally mean

$$\Gamma_\infty \vdash M : A$$

$\Gamma_\infty$  **preterms**:

$$A ::= s \mid x_i^A \mid x_i \mid AA \mid \lambda x_i:A.A \mid \Pi x_i:A.A$$

two kind of variables: **free variables**  $x_i^A$  and **bound variables**  $x_i$   
superscript  $A$  of  $x_i^A$  may be *any* preterm

## two of the six $\Gamma_\infty$ rules

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### PTS rules

$$\frac{\Gamma \vdash A : s}{\Gamma, x_i : A \vdash x_i : A}$$

$$\frac{\Gamma \vdash A : s_1 \quad \Gamma, x_i : A \vdash B : s_2}{\Gamma \vdash \Pi x_i : A. B : s_3}$$

### equivalent $\Gamma_\infty$ rules

$$\frac{A : s}{x_i^A : A}$$

$$\frac{A : s_1 \quad B : s_2}{\Pi x_i : A. B[x_j^A := x_i] : s_3}$$

binding a variable in  $\Gamma_\infty$ : replace a **free variable** by a **bound variable**

## correspondence theorems

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derivable PTS judgment  $\longleftrightarrow$  derivable  $\Gamma_\infty$  judgment

from left to right:

**alpha convert** the judgement to separate free from bound variables  
then: remove the context

from right to left:

**topological sort** of the free variables in the  $\Gamma_\infty$  judgement  
then: put them in that order in the context

## implementing $\Gamma_\infty$ for LF

the datatypes of the kernel

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```
type preterm =  
  | Star  
  | Ref of int  
  | Var of string * preterm  
  | Const of string * preterm * preterm list           axioms used  
  | App of preterm * preterm  
  | Bind of int * preterm * preterm                   0 =  $\lambda$ , 1 =  $\Pi$   
  
type term = private  
  | Box  
  | In of preterm * term  
  
type red = private  
  | Red of preterm * preterm
```

## difference of this approach with other kernels

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most purely functional kernels:

```
App : preterm * preterm -> preterm
typecheck : state -> (preterm -> term)
extend_state : string * preterm -> state -> state
```

mutually inconsistent terms (from mutually inconsistent states) possible  
internally inconsistent state *not* possible

our approach:

*function application in LCF style*

```
app : term * term -> term
```

mutually inconsistent terms *not* possible

## comparing kernel sizes

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<b>current HOL Light</b>		<b>all lines</b>	<b>content</b>
kernel	<code>fusion.ml</code>	669	394
<b>stateless HOL Light</b>			
kernel	<code>core.ml</code>	404	330
state	<code>state.ml</code>	95	71
<b><math>\Gamma_\infty</math> for LF</b>			
kernel		214	166
convertibility		64	49
typechecker		29	25

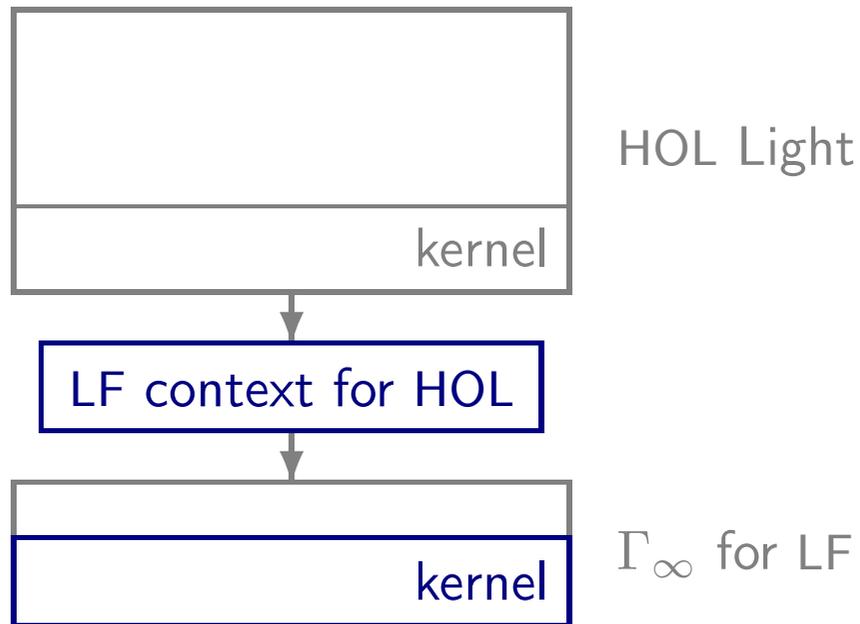
# outlook

future work

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*but does this scale?*

**experiment:**



how much slower than current HOL Light? 100 times?  $\infty$  times?