

Security Reductions of the Second Round SHA-3 Candidates

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October 26, 2010

- 1 NIST's SHA-3 Hash Function Competition
- 2 Security Notions of Hash Functions
- 3 Classification of Security Reductions
- 4 Conclusions

NIST's SHA-3 Hash Function Competition

2004: Attacks by Wang et al. exposed vulnerabilities in several widely employed hash functions (incl. MD5 and SHA-1)

2007: US NIST launched a public competition for the design of a new hash function: SHA-3

Dec 2008: NIST announced 51 first round candidates

Jul 2009: NIST announced 14 second round candidates

End of 2010: NIST will announce 4 - 6 finalists

± 2012: NIST will announce new hash function

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SHA-3 must be efficient and secure

NIST's Security Requirements

Hash function must provide message digests of 224, 256, 384 and 512 bits

- (i) At least one variant must support HMAC and randomized hashing

For all n -bit digest values, the hash function must provide

- (ii) preimage resistance
 - (iii) second preimage resistance
 - (iv) collision resistance
 - (v) resistance to the length-extension attack
- (vi) For any $m \leq n$, the hash function specified by taking a fixed subset of m bits of the function's output is required to satisfy properties (ii)-(v) with n replaced by m

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-
- (vii) Additionally, we analyze the indistinguishability

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Security in the Ideal Model

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Assumption: design is built on one or more **ideal** underlying primitives

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pre/sec/col security

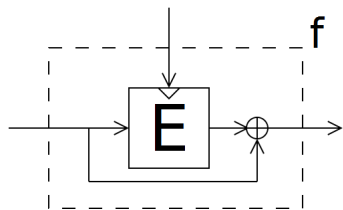
Advantage of an adversary (with query access to these primitives) in finding preimages, second preimages or collisions

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- Davies-Meyer construction
- Preimage and collision resistant if E is assumed to be an ideal block cipher

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Indifferentiability (indiff)

Advantage of a distinguisher to differentiate \mathcal{H} from a RO

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$\text{Adv}_{\mathcal{H}}^{\text{col}}$: advantage of a collision finding adversary for \mathcal{H}

$\text{Pr}_{RO}^{\text{col}}$: success probability of finding collision for \mathcal{H} generically

$\text{Adv}_{\mathcal{H}}^{\text{indiff}}$: indifferentiability bound of \mathcal{H}

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$\text{Pr}_{RO}^{\text{col}}$: success probability of finding collision for \mathcal{H} generically

$\text{Adv}_{\mathcal{H}}^{\text{indiff}}$: indifferentiability bound of \mathcal{H}

$$\text{Adv}_{\mathcal{H}}^{\text{col}} \leq \text{Pr}_{RO}^{\text{col}} + \text{Adv}_{\mathcal{H}}^{\text{indiff}}$$

(formal proof in the full version of the paper)

Security in the Standard Model

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Generic collision security of \mathcal{H} (gcol)

Advantage of an *efficient* adversary in finding collisions for \mathcal{H}

Security in the Standard Model

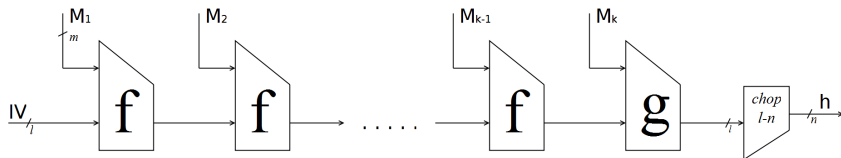
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Strengthened Merkle-Damgård

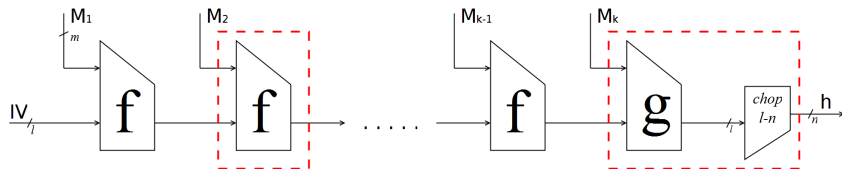
- Strengthened Merkle-Damgård *preserves* collision resistance: collisions for the hash function imply collisions for the compression function
- Extension: *all* SHA-3 candidates with a suffix-free padding preserve collision resistance

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- All SHA-3 candidates follow this 'generalized Merkle-Damgård design', where g may equal f , and the chopping is optional

Security in the Standard Model



- All SHA-3 candidates follow this ‘generalized Merkle-Damgård design’, where g may equal f , and the chopping is optional
- Generalized MD with suffix-free padding preserves collision-resistance
 - Collisions for this design imply collisions for f or $chop_{l-n} \circ g$
- Formal proof in the full version of the paper

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



Security Comparison

	padding	
	sf	pf
BLAKE	✓	✓
BMW	✓	✗
CubeHash	✗	✗
ECHO	✓	✓
Fugue	✓	✗
Grøstl	✓	✗
Hamsi	✓	✗
JH	✓	✗
Keccak	✗	✗
Luffa	✗	✗
Shabal	✓	✓
SHAvite-3	✓	✓
SIMD	✓	✗
Skein	✓	✓

Security Comparison

	padding	
	sf	pf
BLAKE	✓	✓
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Hamsi	✓	✗
JH	✓	✗
Keccak	✗	✗
Luffa	✗	✗
Shabal	✓	✓
SHAvite-3	✓	✓
SIMD	✓	✗
Skein	✓	✓






Explanation of the Table:

-  Optimal security upper bound
-  Non-optimal security upper bound
-  Efficient attack known
-  No non-trivial security bound known
-  Design is similar to a secure design, but no non-trivial security bound known

Security Comparison

	padding	
	sf	pf
BLAKE	✓	✓
BMW	✓	✗
CubeHash	✗	✗
ECHO	✓	✓
Fugue	✓	✗
Grøstl	✓	✗
Hamsi	✓	✗
JH	✓	✗
Keccak	✗	✗
Luffa	✗	✗
Shabal	✓	✓
SHAvite-3	✓	✓
SIMD	✓	✗
Skein	✓	✓

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Bounds and underlying assumptions are summarized in the paper!

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓			
BMW	✓	✗			
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓			
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CubeHash	✗	✗			
ECHO	✓	✓			
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Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
 Non-optimal
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 No bound
 ? Similarity

(Collisions and preimages in constant time; sponge-like designs)

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓			
BMW	✓	✗			
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
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(PGV compression function [BRS02])

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓			
BMW	✓	✗			
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Generalized PGV compression function [Sta09])

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓	?		?
BMW	✓	✗	?		?
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

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(Similar to the generalized PGV compression function)

Security Comparison: Security of f






	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓	?		?
BMW	✓	✗	?		?
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
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JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Security of compression function of Grøstl and Shabal proven differently)

Security Comparison: Security of f

	padding		compression fn.		
	sf	pf	pre	sec	col
BLAKE	✓	✓	?		?
BMW	✓	✗	?		?
CubeHash	✗	✗			
ECHO	✓	✓			
Fugue	✓	✗			
Grøstl	✓	✗			
Hamsi	✓	✗			
JH	✓	✗			
Keccak	✗	✗			
Luffa	✗	✗			
Shabal	✓	✓			
SHAvite-3	✓	✓			
SIMD	✓	✗			
Skein	✓	✓			

 Optimal  Non-optimal  Insecure  No bound  Similarity

General remarks:

- Insecure compression function \Rightarrow ideality cannot be assumed
- Non-optimal bounds for f **do not** imply insecurity of \mathcal{H}

Security Comparison: Indifferentiability of \mathcal{H}

	padding		compression fn.			\mathcal{H} indiff
	sf	pf	pre	sec	col	
BLAKE	✓	✓	?		?	
BMW	✓	✗	?		?	
CubeHash	✗	✗				
ECHO	✓	✓				
Fugue	✓	✗				
Grøstl	✓	✗				
Hamsi	✓	✗				
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Keccak	✗	✗				
Luffa	✗	✗				
Shabal	✓	✓				
SHAvite-3	✓	✓				
SIMD	✓	✗				
Skein	✓	✓				

Optimal
 Non-optimal
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Security Comparison: Indifferentiability of \mathcal{H}

	padding		compression fn.			\mathcal{H} indiff
	sf	pf	pre	sec	col	
BLAKE	✓	✓	?		?	
BMW	✓	✗	?		?	
CubeHash	✗	✗				
ECHO	✓	✓				
Fugue	✓	✗				
Grøstl	✓	✗				
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JH	✓	✗				
Keccak	✗	✗				
Luffa	✗	✗				
Shabal	✓	✓				
SHAvite-3	✓	✓				
SIMD	✓	✗				
Skein	✓	✓				

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Hash function indifferentiable for ideal permutation(s)/block cipher)

Security Comparison: Indifferentiability of \mathcal{H}

	padding		compression fn.			\mathcal{H} indiff
	sf	pf	pre	sec	col	
BLAKE	✓	✓	?		?	
BMW	✓	✗	?		?	
CubeHash	✗	✗				
ECHO	✓	✓				
Fugue	✓	✗				
Grøstl	✓	✗				
Hamsi	✓	✗				
JH	✓	✗				
Keccak	✗	✗				
Luffa	✗	✗				
Shabal	✓	✓				
SHAvite-3	✓	✓				
SIMD	✓	✗				
Skein	✓	✓				

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(Hash function indifferentiable for ideal compression function)

Security Comparison: Indifferentiability of \mathcal{H}

	padding		compression fn.			\mathcal{H} indiff
	sf	pf	pre	sec	col	
BLAKE	✓	✓	?		?	
BMW	✓	✗	?		?	?
CubeHash	✗	✗				
ECHO	✓	✓				?
Fugue	✓	✗				?
Grøstl	✓	✗				
Hamsi	✓	✗				?
JH	✓	✗				
Keccak	✗	✗				
Luffa	✗	✗				?
Shabal	✓	✓				
SHAvite-3	✓	✓				
SIMD	✓	✗				?
Skein	✓	✓				

Optimal
 Non-optimal
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 No bound
 ? Similarity

(Hash function similar to an indifferentiable design)

Security Comparison: Indifferentiability of \mathcal{H}

	padding		compression fn.			\mathcal{H} indiff
	sf	pf	pre	sec	col	
BLAKE	✓	✓	?		?	
BMW	✓	✗	?		?	?
CubeHash	✗	✗				
ECHO	✓	✓				?
Fugue	✓	✗				?
Grøstl	✓	✗				
Hamsi	✓	✗				?
JH	✓	✗				
Keccak	✗	✗				
Luffa	✗	✗				?
Shabal	✓	✓				
SHAvite-3	✓	✓				
SIMD	✓	✗				?
Skein	✓	✓				

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

General remarks:

- Eight designs are proven indifferentiable
- Yellow boxes only: the bounds are not optimal

Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓				?		
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

Optimal
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 No bound
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Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓				?		
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

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(Preimage resistance derived from indifferntiability)

Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓				?		
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

Optimal
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(Preimage resistance of Shabal proven differently)

Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓				?		
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

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(Second preimage resistance derived from indifferentiability)

Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓				?		?
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

(HAIFA designs are second preimage resistant)

Security Comparison: (Second) Preimage Resistance of \mathcal{H}

	padding		compression fn.			hash function		
	sf	pf	pre	sec	col	indiff	pre	sec
BLAKE	✓	✓	?		?			
BMW	✓	✗	?		?	?		
CubeHash	✗	✗						
ECHO	✓	✓				?		?
Fugue	✓	✗				?		
Grøstl	✓	✗						
Hamsi	✓	✗				?		
JH	✓	✗						
Keccak	✗	✗						
Luffa	✗	✗				?		
Shabal	✓	✓						
SHAvite-3	✓	✓						
SIMD	✓	✗				?		
Skein	✓	✓						

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

General remarks:

- MD design does *not* preserve (second) preimage resistance
- Possible direction for proofs: graph based approach

Security Comparison: Collision Resistance of \mathcal{H}

	padding		compression fn.			hash function				
	sf	pf	pre	sec	col	indiff	pre	sec	gcol	col
BLAKE	✓	✓	?		?					
BMW	✓	✗	?		?	?				
CubeHash	✗	✗								
ECHO	✓	✓				?		?		
Fugue	✓	✗				?				
Grøstl	✓	✗								
Hamsi	✓	✗				?				
JH	✓	✗								
Keccak	✗	✗								
Luffa	✗	✗				?				
Shabal	✓	✓								
SHAvite-3	✓	✓								
SIMD	✓	✗				?				
Skein	✓	✓								

Optimal
 Non-optimal
 Insecure
 No bound
 ? Similarity

Security Comparison: Collision Resistance of \mathcal{H}

	padding		compression fn.			hash function				
	sf	pf	pre	sec	col	indiff	pre	sec	gcol	col
BLAKE	✓	✓	?		?					
BMW	✓	✗	?		?	?				
CubeHash	✗	✗								
ECHO	✓	✓				?		?		
Fugue	✓	✗				?				
Grøstl	✓	✗								
Hamsi	✓	✗				?				
JH	✓	✗								
Keccak	✗	✗								
Luffa	✗	✗				?				
Shabal	✓	✓								
SHAvite-3	✓	✓								
SIMD	✓	✗				?				
Skein	✓	✓								

Optimal
 Non-optimal
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 ? Similarity

(Collision resistance preservation for all designs with sf padding)

Security Comparison: Collision Resistance of \mathcal{H}

	padding		compression fn.			hash function				
	sf	pf	pre	sec	col	indiff	pre	sec	gcol	col
BLAKE	✓	✓	?		?					
BMW	✓	✗	?		?	?				
CubeHash	✗	✗								
ECHO	✓	✓				?		?		
Fugue	✓	✗				?				
Grøstl	✓	✗								
Hamsi	✓	✗				?				
JH	✓	✗								
Keccak	✗	✗								
Luffa	✗	✗				?				
Shabal	✓	✓								
SHAvite-3	✓	✓								
SIMD	✓	✗				?				
Skein	✓	✓								

Optimal
 Non-optimal
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(Collision resistance in the ideal model due to preservation)

Security Comparison: Collision Resistance of \mathcal{H}

	padding		compression fn.			hash function				
	sf	pf	pre	sec	col	indiff	pre	sec	gcol	col
BLAKE	✓	✓	?		?					
BMW	✓	✗	?		?	?				
CubeHash	✗	✗								
ECHO	✓	✓				?		?		
Fugue	✓	✗				?				
Grøstl	✓	✗								
Hamsi	✓	✗				?				
JH	✓	✗								
Keccak	✗	✗								
Luffa	✗	✗				?				
Shabal	✓	✓								
SHAvite-3	✓	✓								
SIMD	✓	✗				?				
Skein	✓	✓								

Optimal
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 ? Similarity

(Collision resistance derived from indifferntiability)

Security Comparison: Collision Resistance of \mathcal{H}

	padding		compression fn.			hash function				
	sf	pf	pre	sec	col	indiff	pre	sec	gcol	col
BLAKE	✓	✓	?		?					
BMW	✓	✗	?		?	?				
CubeHash	✗	✗								
ECHO	✓	✓				?		?		
Fugue	✓	✗				?				
Grøstl	✓	✗								
Hamsi	✓	✗				?				
JH	✓	✗								
Keccak	✗	✗								
Luffa	✗	✗				?				
Shabal	✓	✓								
SHAvite-3	✓	✓								
SIMD	✓	✗				?				
Skein	✓	✓								

Optimal
 Non-optimal
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 No bound
 ? Similarity

General remarks:

- For 10 candidates, optimal collision resistance
- For others, graph based approach may be fruitful

- 1 NIST's SHA-3 Hash Function Competition
- 2 Security Notions of Hash Functions
- 3 Classification of Security Reductions
- 4 Conclusions

Conclusions

- Classification of the provable security results of the SHA-3 candidates
 - Preimage, second preimage and collision resistance
 - Indifferentiability
- Classification is based on security results in the ideal model
- We extended the standard proof of Merkle-Damgård collision resistance to cover *all* candidates with a suffix-free padding
- We formalized security implications of indifferentiability

Conclusions

- Classification of the provable security results of the SHA-3 candidates
 - Preimage, second preimage and collision resistance
 - Indifferentiability
- Classification is based on security results in the ideal model
- We extended the standard proof of Merkle-Damgård collision resistance to cover *all* candidates with a suffix-free padding
- We formalized security implications of indifferentiability
- Several observations and open problems
 - Most of the designs satisfy collision resistance and indifferentiability
 - Few results known on (second) preimage resistance

Thank you for your attention!

SUPPORTING SLIDES!!!

Security in the Ideal Model: Indifferentiability

- Indifferentiability of the hash function from a random oracle (**indiff**)
- Extension of indistinguishability: distinguisher may know the underlying structure of a hash function
- Hash function \mathcal{H} built on π is indifferentiable from RO if there exists a simulator S such that for any distinguisher these games are indistinguishable

