

## Reductions

Recall that a decision problem  $\mathbf{P}$  is reducible to a decision problem  $\mathbf{Q}$ , if there is a total Turing-computable function  $r$ , such that  $r$  converts instances of  $\mathbf{P}$  into instances of  $\mathbf{Q}$ . In other words, for any string  $w$ ,  $\mathbf{P}(w)$  (the answer to  $w$  is yes) iff  $\mathbf{Q}(r(w))$  (the answer to  $r(w)$  is yes). We can frame this more formally in terms of languages.

**Definition 1.1.** Let  $X, Y$  be languages over an alphabet  $\Sigma$ , i.e.,  $X, Y \subseteq \Sigma^*$ . A Turing-computable function  $r$  is a *reduction* from  $X$  to  $Y$  if

$$\forall w \in \Sigma^*. w \in X \iff r(w) \in Y$$

Such reductions are also called *many-one reductions* in the literature.

**Example 1.2.** Let  $X = \{a^i b^j c^k \mid i \geq 0, j \geq 0\}$  and  $Y = \{a^i b^i \mid i \geq 0\}$ . There is a reduction from  $X$  to  $Y$  that takes, given a string, removes all the  $c$ 's from the end of the string.

**Example 1.3.** The halting problem  $\mathbf{H}$  reduces to the blank tape halting problem  $\mathbf{B}$ . Given an encoding of a machine  $M$  and an input string  $w$ , one can compute an encoding of the machine  $M'$  that runs  $M(w)$ . In particular,  $M(w) \downarrow \iff M'(\lambda) \downarrow$ .

**Exercise 1.4.** Suppose that  $r$  is a reduction from  $X$  to  $Y$ . Then verify:

- if  $Y$  is decidable/recursive, then so is  $X$ ;
- if  $Y$  is recursively enumerable, then so is  $X$ ;
- if  $X$  is undecidable/non-recursive, then so is  $Y$ .

**Exercise 1.5.** Convince yourself that the notion of reducibility is reflexive and transitive, i.e.,

- For any language  $X$  there is a reduction from  $X$  to itself;
- If  $r_1$  is a reduction from  $X$  to  $Y$ , and  $r_2$  is a reduction from  $Y$  to  $Z$ , then you can construct a reduction from  $X$  to  $Z$ .

**Exercise 1.6.** Verify that  $X$  is reducible to  $Y$  iff  $\overline{X}$  is reducible to  $\overline{Y}$ .

**Exercise 1.7.** Suppose that  $X$  is a recursively enumerable language<sup>1</sup>, i.e., there is a Turing machine  $M$  such that  $L(M) = X$ . Show that you can reduce the problem associated with  $X$  to the halting problem. More specifically, you need to construct a reduction from  $X$  to the set

$$\{R(M)w \mid M \text{ terminates on } w\}.$$

**Exercise 1.8.** Show the converse of Exercise 1.8: a language  $X$  is recursively enumerable if it reduces to the halting problem.

The two exercise above states that the halting problem is *complete*: in a sense it is the hardest decision problem. In the next section we will use the reduction technique to show that a large class of decision problems in computability theory are undecidable.

## Properties of r.e. languages and Rice's theorem

Let  $S \subseteq \mathcal{P}(\Sigma^*)$  be a set of languages (over the alphabet  $\Sigma$ ) such that

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<sup>1</sup>Also known as *recursief opsombaar*, and written "r.e." for short.

- a.  $\exists M_1. L(M_1) \in S$ ;
- b.  $\exists M_2. L(M_2) \notin S$ .

That is,  $S$  is *nontrivial*. There is at least one r.e. language in  $S$ , and at least one r.e. language outside of  $S$ .

We can view  $S$  as a *predicate* on r.e. languages, *i.e.*,  $L \in S$  if  $L$  has a specific (nontrivial) property.

**Example 1.9.** Some examples of nontrivial properties:

- a.  $L \in S$  iff  $L$  is a regular language;
- b.  $L \in S$  iff  $\sigma \in L$  for some constant string  $\sigma$ ;
- c.  $L \in S$  iff  $L$  is finite.

**Exercise 1.10.** Verify that each predicate in Example 1.9 is nontrivial.

**Definition 1.11.** For such a nontrivial predicate  $S$  we can associate a decision problem  $\mathbf{D}_S$ : given a Turing machine  $M$ , does the language recognised by  $M$  has the property  $S$ ?

$$\mathbf{D}_S(R(M)) \triangleq L(M) \in S?$$

**Exercise 1.12.** Verify that if  $S$  is a nontrivial property of recursively enumerable languages, then so is its complement  $\bar{S}$ . Show that  $\mathbf{D}_S$  is decidable iff  $\mathbf{D}_{\bar{S}}$  is decidable.

**Exercise 1.13.** Come up with an  $S$ , such that  $\mathbf{D}_S$  is the blank tape halting problem.

*Rice's theorem* states that  $\mathbf{D}_S$  is undecidable for a nontrivial  $S$ . We prove it by constructing a reduction from the blank tape halting problem to  $\mathbf{D}_S$ . We reason by contradiction: suppose  $\mathbf{D}_S$  is decidable and the machine  $P$  decided it, *i.e.*,

$$P(R(M)) = 1 \iff L(M) \in S.$$

Given a Turing machine  $N$ , we want to construct another Turing machine  $N'$  such that

$$P(R(N')) = 1 \iff N(\lambda) \downarrow$$

thus reducing the blank tape halting problem to  $\mathbf{D}_S$ .

Assume that  $\emptyset \notin S$ , and let  $M_1$  be a Turing machine such that  $L(M_1) \in S$ . The behaviour of the machine  $N'$  on the input string  $x$  is as follows:

- (i) Run  $N(\lambda)$ ;
- (ii) Run  $M_1(x)$  and return the result.

**Exercise 1.14.** Verify that, under assumption that  $\emptyset \notin S$ ,

- a.  $L(N') = L(M_1)$ , if  $N(\lambda) \downarrow$ ;
- b.  $L(N') = \emptyset$  otherwise.

Conclude that  $P(R(N')) = 1 \iff N(\lambda) \downarrow$ .

**Exercise 1.15** (Rice's theorem). Apply Exercise 1.12 to get rid of the assumption  $\emptyset \notin S$  in Exercise 1.14. (Reason whether  $\emptyset \in S$  or  $\emptyset \notin S$ .) Conclude that you have a reduction from the blank tape halting problem to  $\mathbf{D}_S$ .