

**Formal Reasoning 2015**  
**Solutions Test 3: Languages and automata**  
(21/10/15)

1. Give a regular expression that defines the language: (20 points)

$$L_1 := \{w \in \{a, b\}^* \mid w \text{ contains an even number of } a\text{'s}\}$$

Possible solutions are:

$$\begin{aligned} & ((ab^*a) \cup b)^* \\ & b^*(ab^*ab^*)^* \\ & (b^*ab^*a)^*b^* \\ & (b^*ab^*ab^*) \cup b^* \end{aligned}$$

If  $r$  is one of these expressions, then  $L_1 = \mathcal{L}(r)$ .

2. Give a right linear grammar  $G_2$  that defines the language: (15 points)

$$L_2 := \{w \in \{a, b\}^* \mid w \text{ contains both an even number of } a\text{'s and an even number of } b\text{'s}\}$$

Let  $G_2$  be the right linear grammar:

$$\begin{aligned} S &\rightarrow aA \mid bC \mid \lambda \\ A &\rightarrow aS \mid bB \\ B &\rightarrow aC \mid bA \\ C &\rightarrow aB \mid bS \end{aligned}$$

Then  $\mathcal{L}(G_2) = L_2$ . Recall that the nonterminals represent some kind of state:

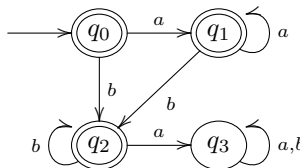
- $S$  the number of  $a$ 's and the number of  $b$ 's is even.
- $A$  the number of  $a$ 's is odd and the number of  $b$ 's is even.
- $B$  the number of  $a$ 's is odd and the number of  $b$ 's is odd.
- $C$  the number of  $a$ 's is even and the number of  $b$ 's is odd.

3. Give a finite automaton  $M_3$  that matches the context-free grammar  $G_3$ : (20 points)

$$\begin{aligned} S &\rightarrow AB \\ A &\rightarrow aA \mid \lambda \\ B &\rightarrow bB \mid \lambda \end{aligned}$$

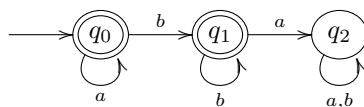
In particular  $L(M_3) = \mathcal{L}(G_3)$  must hold.

Note that  $\mathcal{L}(G_3) = \{a^m b^n \mid m, n \in \mathbb{N}\}$ . Let  $M_3$  be the automaton:



Then  $L(M_3) = \mathcal{L}(G_3)$  holds.

An equivalent automaton, but minimal with respect to the number of states is:



4. (a) Let  $|w|_a$  be the number of occurrences of the symbol  $a$  in word  $w$ , so for example (10 points)  
 $|abccb|_b = 2$ ,  $|S|_S = 1$  and  $|S|_a = 0$ . Somebody claims that:

$$P(w) := w \text{ contains } aa \text{ and/or } 2|w|_S + 2|w|_A + |w|_a \leq 2$$

is an invariant for the context-free grammar  $G_4$ :

$$\begin{aligned} S &\rightarrow BAB \\ A &\rightarrow aaA \mid \lambda \mid aBa \\ B &\rightarrow bB \mid \lambda \mid bBaaA \end{aligned}$$

Is this person right? Explain your answer.

Yes, this person is right:  $P$  is an invariant for  $G_4$ . Note that the ‘and/or’ is basically nothing else but the normal, logical inclusive ‘or’. Here comes the proof of the two properties of an invariant.

- $P(S)$  holds because  $2|S|_S + 2|S|_A + |S|_a = 2 + 0 + 0 \leq 2$ .
- Let  $v$  be a word such that  $P(v)$  holds and let  $v'$  be a word such that  $v \rightarrow v'$ . If  $P(v)$  holds because  $v$  contains the string  $aa$ , then we are done immediately, because  $v'$  will also contain  $aa$ , because there are no rules that remove terminals and there are also no ways to put something in between two terminals. Hence we may assume that  $2|v|_S + 2|v|_A + |v|_a \leq 2$ . This means that there are seven possibilities for the step  $v \rightarrow v'$ :

- $S \rightarrow BAB$ . In this case we have that  $|v'|_S = |v|_S - 1$ ,  $|v'|_A = |v|_A + 1$  and  $|v'|_a = |v|_a$ . So

$$\begin{aligned} 2|v'|_S + 2|v'|_A + |v'|_a &= 2(|v|_S - 1) + 2(|v|_A + 1) + |v|_a \\ &= 2|v|_S - 2 + 2|v|_A + 2 + |v|_a \\ &= 2|v|_S + 2|v|_A + |v|_a \\ &\leq 2 \end{aligned}$$

And hence  $P(v')$  holds.

- $A \rightarrow aaA$ . In this case  $v'$  contains the string  $aa$ , which implies that  $P(v')$  also holds.
- $A \rightarrow \lambda$ . In this case we have that  $|v'|_S = |v|_S$ ,  $|v'|_A = |v|_A - 1$  and  $|v'|_a = |v|_a$ . So

$$\begin{aligned} 2|v'|_S + 2|v'|_A + |v'|_a &= 2|v|_S + 2(|v|_A - 1) + |v|_a \\ &= 2|v|_S + 2|v|_A - 2 + |v|_a \\ &= (2|v|_S + 2|v|_A + |v|_a) - 2 \\ &\leq 2 - 2 \\ &= 0 \\ &\leq 2 \end{aligned}$$

- $A \rightarrow aBa$ . In this case we have that  $|v'|_S = |v|_S$ ,  $|v'|_A = |v|_A - 1$  en  $|v'|_a = |v|_a + 2$ . So

$$\begin{aligned} 2|v'|_S + 2|v'|_A + |v'|_a &= 2|v|_S + 2(|v|_A - 1) + |v|_a + 2 \\ &= 2|v|_S + 2|v|_A - 2 + |v|_a + 2 \\ &= 2|v|_S + 2|v|_A + |v|_a - 2 + 2 \\ &= 2|v|_S + 2|v|_A + |v|_a \\ &\leq 2 \end{aligned}$$

- $B \rightarrow bB$ . This step doesn't change anything to the numbers of  $S$ 's,  $A$ 's and  $a$ 's, hence  $P(v')$  also holds.
- $B \rightarrow \lambda$ . This step doesn't change anything to the numbers of  $S$ 's,  $A$ 's and  $a$ 's, hence  $P(v')$  also holds.
- $B \rightarrow bBaaA$ . In this case  $v'$  contains the string  $aa$ , which implies that  $P(v')$  also holds.

(b) Somebody else claims that: (10 points)

$$\mathcal{L}(G_4) = \{w \in \{a, b\}^* \mid w \text{ contains an even number of } a\text{'s}\}$$

Is this person right? If not, provide a word which is contained in exactly one of these two languages. Explain your answer. (Hint: have a look at  $P(w)$  in Exercise 4a.)

No, this person is not correct. Consider the word  $v = abababa$ . This word  $v$  contains an even number of  $a$ 's (namely four) and hence

$$v \in \{w \in \{a, b\}^* \mid w \text{ contains an even number of } a\text{'s}\}$$

However,  $P(abababa)$  does not hold, because it doesn't contain the string  $aa$  and  $2|v|_S + 2|v|_A + |v|_a = 2 \cdot 0 + 2 \cdot 0 + 4$  and  $4 \not\leq 2$ . So  $v \notin \mathcal{L}(G_4)$ .

5. (a) We define: (10 points)

$$L_5 := \{w \in \{a, b\}^* \mid w \text{ starts with an } a\}$$

Explain why:

$$L_5^* = L_5 \cup \{\lambda\}$$

The proof is split into two parts:

- $L_5 \cup \{\lambda\} \subseteq L_5^*$ . For all languages  $L$  it holds that  $L \subseteq L^*$ , hence also for  $L_5$ . Furthermore, for all languages  $L$  it holds that  $\lambda \in L^*$ , hence also for  $L_5$ . But from this it follows that  $L_5 \cup \{\lambda\} \subseteq L_5^*$ .
- $L_5^* \subseteq L_5 \cup \{\lambda\}$ . Let  $w \in L_5^*$ . Then either  $w = \lambda$  or  $w = w_1w_2 \dots w_k$  for some  $k \geq 1$  with  $w_i \in L_5$  for all  $i \in \{1, 2, \dots, k\}$ . In the first case it immediately follows that  $w \in L_5 \cup \{\lambda\}$ . In the second case it holds in particular that  $w_1 \in L_5$ . So  $w_1$  starts with an  $a$ . But if  $w_1$  starts with an  $a$ , then  $w = w_1w_2 \dots w_k$  also starts with an  $a$ . So  $w \in L_5$  and hence also  $w \in L_5 \cup \{\lambda\}$ .

(b) Give two languages  $L$  and  $L'$  over alphabet  $\Sigma = \{a, b\}$  such that: (5 points)

$$L \cap L' = \emptyset \quad L^* \neq \Sigma^* \quad L'^* \neq \Sigma^* \quad L \cup L' \neq \Sigma^* \quad L^* \cup L'^* = \Sigma^*$$

Explain your answer. (If you can't manage to comply to all these requirements, try to comply to as many as possible.)

Define  $L'_5 := \{w \in \{a, b\}^* \mid w \text{ starts with a } b\}$ . Now take  $L = L_5$  and  $L' = L'_5$ .

- $L \cap L' = \emptyset$ . Because words in  $L$  always start with an  $a$  and words in  $L'$  always start with a  $b$ , the intersection  $L \cap L'$  must be empty, because there are no words that start with an  $a$  and start with a  $b$  at the same time.
- $L^* \neq \Sigma^*$ . In exercise 5a we have seen that  $L^* = L \cup \{\lambda\}$ . So if  $w \in L^*$  then it holds that  $w = \lambda$  or  $w$  starts with an  $a$ . But  $\Sigma^*$  also contains words that start with a  $b$  and therefore  $\Sigma$  is strictly larger than  $L^*$ .
- $L'^* \neq \Sigma^*$ . Analogously, it also holds for  $L'$  that  $L'^* = L' \cup \{\lambda\}$ . So if  $w \in L'^*$  then it holds that  $w = \lambda$  or  $w$  starts with a  $b$ . But  $\Sigma^*$  also contains words that start with an  $a$  and therefore  $\Sigma$  is strictly larger than  $L'^*$ .
- $L \cup L' \neq \Sigma^*$ . We know that  $\lambda \in \Sigma^*$ . However,  $\lambda$  does not start with an  $a$  and it does not start with a  $b$ . Therefore  $\lambda$  is not in  $L$  and also not in  $L'$ . Hence also not in  $L \cup L'$ .

- $L^* \cup L'^* = \Sigma^*$ . Because  $\Sigma^*$  contains all words over the alphabet  $\{a, b\}$ , it is clear that  $L^* \cup L'^* \subseteq \Sigma^*$ . In addition, we have already seen that  $L^* = L \cup \{\lambda\}$  and  $L'^* = L' \cup \{\lambda\}$ . So  $L^* \cup L'^* = L \cup L' \cup \{\lambda\}$ . But if  $w \in \Sigma^*$  then it holds that  $w = \lambda$ ,  $w$  starts with an  $a$  or  $w$  starts with a  $b$ . And hence it follows that  $w \in L \cup L' \cup \{\lambda\} = L^* \cup L'^*$ .