Introduction to the Coq proof-assistant for practical software verification

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Abstract. This paper is a tutorial on using the CoQ proof-assistant for reasoning on software correctness.

We illustrate characteristic features of COQ like inductive definitions and proof automation on a few examples : arithmetic, algorithms on lists, cryptographic protocols...

Coq is not a tool dedicated to software verification but a general purpose environment for developing mathematical proofs. However, it is based on a powerful language including basic functional programming and highlevel specifications. As such it offers modern ways to literally program proofs in a structured way with advanced data-types, proofs by computation, and general purpose libraries of definitions and lemmas.

Coq is well suited for software verification of programs involving advanced specifications (language semantics, real numbers). The Coq architecture is also based on a small trusted kernel, making possible to use third-party libraries while being sure that proofs are not compromised.

1 Introduction

1.1 What is Coq ?

The proof assistant COQ is an environment for developing *mathematical* facts. This includes defining objects (integers, sets, trees, functions, programs ...); making statements (using basic predicates and logical connectives); and finally writing proofs.

The COQ *compiler* automatically checks the correctness of definitions (well-formed sets, terminating functions ...) and of proofs.

The Coq *environment* helps with: advanced notations; proof search; modular developments. It also provides program extraction towards languages like Ocaml and Haskell for efficient execution of algorithms and linking with other libraries.

Impressive examples have been done using Coq. They cover different areas. In pure mathematics, one can notice the Fundamental theorem of Algebra (every polynomial has a root in \mathbb{C}) developed at Nijmegen in the team of Barendregt [21] in 2000 and more recently the Feit-Thompson theorem on finite groups under the supervision of Gonthier in the joint INRIA-Microsoft research center [23]. Many interesting proofs combine advanced algorithms and non-trivial mathematics like the proof of the four-color theorem by Gonthier & Werner at INRIA

and Microsoft-Research [22], a primality checker using Pocklington and Elliptic Curve Certificates developed by Théry et al. at INRIA [37] and more recently the proof of a Wave Equation Resolution Scheme by Boldo et al. [9]. Coq can also be used to certify the output of external theorem provers like in the work on termination tools by Contejean and others [15], or the certification of traces issued from SAT & SMT solvers done by Grégoire and others [1]. Coq is also a good framework for formalizing programming environments: the Gemalto and Trusted Logic companies obtained the highest level of certification (common criteria EAL 7) for their formalization of the security properties of the JavaCard platform [12]; Leroy and others developed in CoQ a certified optimizing compiler for C (Leroy et al.) [27]. Barthe and others used CoQ to develop Certicrypt, an environment of formal proofs for computational cryptography [5]. G. Morrisett and others also developed on top of CoQ the YNOT library for proving imperative programs using separation logic. These represent typical examples of what can be achieved using Coq. Coq might not be the best tool for proving your everyday routine code but is definitely useful when sophisticated data-structures, algorithms and specifications are involved and also as a general framework to design special platforms for software verification.

Related Systems. COQ is a proof assistant similar to HOL systems, a family of interactive theorem provers based on Church's higher-order logic including Isabelle/HOL [33], HOL4 [30], HOL-light [25]), PVS [32], ...

Unlike these systems, CoQ is based on an *intuitionistic* type theory and is consequently closer to Epigram [29], Matita [2] and also Agda [16] and NuPrl [14]. All these systems have in common that functions are programs that can be computed and not just binary relations like in mathematics.

More Informations on Coq. The Coq web site is located at coq.inria.fr. It contains official distributions (multi-platforms), the reference manual and also libraries and user's contributions.

The so-called *Coq'art* book by Yves Bertot and Pierre Castéran [7] provides a full presentation of the Calculus of Inductive Constructions from the point of view of Interactive Theorem Proving and Program Development. The course by B. Pierce on software foundations [34] using CoQ is available on-line. The book by A. Chlipala [13] concentrates on programming with CoQ and make intensive use of dependent types. We also recommend the course notes *Coq in a Hurry* by Y. Bertot [6] as an alternative quick introduction to the CoQ system.

History. Information on the history of CoQ can be found in the preface of the reference manual. The origin of the language , the *pure Calculus of Constructions* and its first implementations go back to 1984 and are due to Coquand & Huet [17].

The language and the environment were constantly extended afterward: universes and tactics (Coquand, 1985), program extraction (Paulin& Werner, 1989 – Letouzev 2002), inductive definitions (Coquand & Paulin, 1989), co-inductive

definitions (Giménez, 1995), advanced pattern-matching (Cornes, 1995 – Herbelin, 2002), coercions (Saibi, 1997), efficient computations (Barras, 2001 – Grégoire, 2002), Modules (Courant, 1998 – Chrząszcz, 2004 – Soubiran, 2010), tactic language (Delahaye, 2000), automated tactics (Crégut, Boutin, Pottier, Besson, Sozeau, ...), type classes (Sozeau, 2009)...

1.2 Coq architecture

It is important to understand that COQ is based on a two levels architecture. There is a relatively small kernel based on a language with few primitive constructions (functions, (co)-inductive definitions, product types, sorts) and a limited number of rules for type-checking and computation. The same language is used to represent objects, functions, propositions and proofs.

On top of this kernel, CoQ provides a rich environment to help designing theories and proofs offering mechanisms like user extensible notations, tactics for proof automation, libraries ... This environment can be used and extended safely because ultimately any definition and proof is checked by a safe kernel.

As a Coq user, one might be interested by finding quickly the high-level constructions that will be helpful to solve a problem, but it might also be important to understand the underlying low-level language in order to be able to develop new functionalities and to better control how certain constructions work in certain circumstances.

The following example illustrates the two levels structure. When dealing with integers, the user will enter the notation 5=2+3 but internally the CoQ kernel will be given the term:

@eq Z (Zpos (xI (x0 xH))) (Zplus (Zpos (x0 xH)) (Zpos (xI xH)))
which explicits the type Z of the components and also the binary encoding of
the integers.

1.3 Program verification in Coq

CoQ can be used for program verification in different ways :

- One can express the property "the program p is correct" as a mathematical statement in Coq and prove it is correct. It can can be hard but the proof is guaranteed.
- One can develop a specific program analyzer (model-checking, abstract interpretation,...) in Coq, prove it correct and use it. It is a huge investment, but one get an automatic guaranteed result for each program instance.
- One can represent the program p by a COQ term t and the specification by a type T such that t:T (which is automatically checked) implies p is correct. It works well for functional (possibly monadic) programs.
- One can also use an external tool to generate proof obligations and then use CoQ to solve obligations. It is a less safe approach (unless your generator of proof obligations is certified) but it can deal with specifications in undecidable fragments where full automation will not work.

1.4 Outline

In section 2, we introduce quickly the basics CoQ commands and tactics for logical reasoning. In section 3, we develop the notion of inductive definitions (both types and relations) and illustrate them on a board example, simple search algorithms and proof of cryptographic protocols. In section 4, we show examples of advanced programming using CoQ. In section 5, we discuss proof automation and in particular proofs by reflection. We conclude by a discussion on the merits of CoQ with respect to other approaches.

2 Basics of Coq system

2.1 First steps in Coq

Coq is an interactive system intended to build *libraries of definitions and facts*. As a logical system it implements higher-order logic including arithmetic. It also includes a basic *functional programming* language. The environment provides additional tools such as libraries that can be quickly loaded, tools to query the environment in order to find the appropriate definitions and lemmas, and various mechanisms to simplify notations.

We recommend following the examples in this paper while experimenting with the system. A widely used interface is coqide which is part of the CoQ standard distribution. An alternative is to use emacs with the proof-general [3] library. Both environments offer similar functionalities. A main window contains CoQ commands and is sequentially interpreted. When entering proof mode, another window displays the current status of the proof (unsolved goals). Finally, a window displays CoQ output messages (including errors). coqide has also a special *Queries* window to display result of various printing commands.

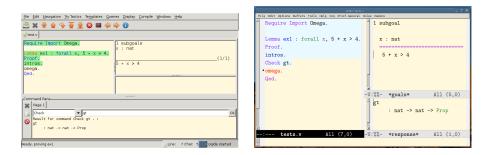


Fig. 1. Graphical interfaces for COQ: coqide (left), Proof General (right)

All Coq commands end with a dot. Comments are written between (* .. *). This document has been prepared using Coq V8.3p13. The lines corresponding to Coq inputs are starting with Coq <. The Coq code corresponding to the examples presented in this paper are available from the author web page.

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2.2 Basic terms

A CoQ object in the environment has a *name* and a *type*. The **Check** *term* command takes a name (or more generally a term) as an argument; it checks it is well-formed and displays its type.

Coq < Check nat. nat : Set Coq < Check 0. 0 : nat

The object **nat** is a predefined type for natural numbers, its type is a special constant **Set** called a *sort*. The constant **0** has type **nat**. The object **S** is the successor function, it has type **nat** \rightarrow **nat**. The binary function **plus** has type **nat** \rightarrow **nat** \rightarrow **nat** \rightarrow **nat** which should be read as **nat** \rightarrow (**nat** \rightarrow **nat**).

The term fun $x \to t$ (or fun $(x:T) \to t$ to indicate the type T of variable x) represents a function f such that $f(a) \equiv t[x \leftarrow a]$.

A function f can be applied to a term t using the notation ft. The term ft_1t_2 stands for $(ft_1)t_2$. The natural number 10 is just a notation for the successor function applied 10 times to 0 and the usual infix notation $t_1 + t_2$ can be used instead of **plus** t_1t_2 .

Coq < Check (3+2). 3 + 2 : nat

The standard library defines the type of booleans bool with two inhabitants true and false. A choice on a boolean term b is written if b then t_1 else t_2 .

Propositions. In Coq, logical propositions are also seen as terms. The type of propositions is the sort **Prop** will be the type of propositions. We present a summary of Coq syntax for logical propositions (first line presents paper notation and second line the corresponding Coq input).

\perp	Т	t = u	$t \neq u$	$\neg P$	P	$^{\prime} \wedge 6$)	P	•∨	Q	P	\rightarrow	Q	P	'⇔'	Q
False	True	t=u	t<>u	~P	Р	\land	Q	Ρ	\setminus	Q	Ρ	->	Q	Ρ	<->	Q

The arrow represents implication, it associates to the right and T1->T2->T3 is interpreted as T1->(T2->T3)

Quantifiers. Syntax for universal and existential quantifiers is given below with possible variants:

$\forall x, P$	forall	x,	Ρ	forall	x:T,	Ρ	forall	Т	(x	y:T)	(z:nat)	,P
$\exists x, P$	exists	x,	Ρ	exists	x:T,	Ρ	no mul	tipl	e b	indings	:	

The command **Check** verifies a proposition is well-formed but does not say if it is true or not.

```
Coq < Check (1+2=3).
1 + 2 = 3 : Prop
Coq < Check (forall x:nat, exists y, x=y+y).
forall x : nat, exists y : nat, x = y + y : Prop
```

In the following, *term* will denote any CoQ term, *name* or *id* represents an identifier, *type* represents a so-called "type" which is a term with type Type, Set or Prop. We use *prop* instead of *type* when we expect a term of type Prop, however the same commands will usually also work with a more general type.

2.3 Logical rules and tactics

In order to establish a proposition is true, we need to produce a proof. Following the approach introduced by R. Milner for the LCF system, we use backward reasoning with *tactics*. A *tactic* transforms a goal into a set of *subgoals* such that solving these subgoals is *sufficient* to solve the original goal. The proof succeeds when *no subgoals* are left.

In practice, we introduce a new goal in COQ using one of the following commands with *prop* representing a logical proposition (a well-formed term of type **Prop**).

Lemma *id* : *prop*. Theorem *id* : *prop*. Goal *prop*.

Coq implements a natural deduction logical system. Following Curry-Howard isomorphism, a proof of a proposition A is represented by a term of type A. So there is only one form of judgment $\Gamma \vdash p : A$. The environment Γ is a list of names associated with types x : T. When A is a type of objects, it is interpreted as "the term p is well-formed in the environment Γ and has type A". For instance $x : \mathtt{nat} \vdash x + 1 : \mathtt{nat}$. When A is a proposition, it is interpreted as "A is provable under the assumption of Γ and p is a witness of that proof". For instance $x : \mathtt{nat}, h : x = 1 \vdash \ldots : x \neq 0$

Axiom. The basic rule of natural deduction is the axiom rule when the goal to be proven is directly an hypothesis. The logical rule and corresponding tactics are:

$h:A\in \varGamma$	avact h or	assumption
$\overline{\varGamma \vdash h:A}$		assumption

Connectives. The rules for a connective are separated between *introduction* rule(s) giving a mean to prove a proposition formed with that connective if we can prove simpler propositions, and a rule of *elimination* which explains how we can use a proof of a proposition with that connective. In the figure 2, we give the logical rule and the corresponding tactics. A tactic will work with a still unresolved goal, that we indicate using ? in place of the proof-term.

It would be painful to apply only atomic rules as given in the figure. Tactics usually combine in one step several introductions or elimination rules. The tactic **intros** does multiple introductions and infer names when none are given. The tactic **apply** takes as an argument a proof h of a proposition

 $\forall x_1 \dots x_n, A_1 \to \dots \to A_p \to B.$

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-			$\Gamma \vdash ?:C$	exialso	
	Γ,h:A⊢False	intro h	$\Gamma \vdash h: \neg A \qquad \Gamma \vdash ?: A$	destruct h	
	$\Gamma \vdash ?: \neg A$	Incro n	$\Gamma \vdash ?:C$		
Ļ	$\Gamma,h:A\vdash?:B$	intro h	$\Gamma \vdash h: A \to B \qquad \Gamma \vdash ?: A$	apply h	
	$\Gamma \vdash ?: A \rightarrow B$	11101 0 //	$\Gamma \vdash ?:B$	abbil 10	
A	$\varGamma, y {:} A {\vdash} \ref{eq:starter} B[x {\leftarrow} y]$	intro y	$\Gamma \vdash h: \forall x:A, B$ $\Gamma \vdash t:A$	apply y with $(x:=t)$	
v	$\Gamma \vdash ?: \forall x:A, B$	incio g	$\Gamma \vdash ?: B[x \leftarrow t]$	apply g with $(x, -t)$	
$^{\sim}$	$\Gamma \vdash ?: A \Gamma \vdash ?: B$	split	$\Gamma \vdash h: A \land B \Gamma, l: A, m: B \vdash ?: C$	destruct h as (l,m)	
/ `	$\Gamma \vdash ?: A \land B$	Shire	$\Gamma \vdash ?:C$		
	$\Gamma \vdash ?:A$	left			
\vee	$\Gamma \vdash ?: A \lor B$	1010	$ \begin{array}{ccc} \varGamma \vdash h{:}A \lor B & \varGamma, l{:}A \vdash ?{:}C & \varGamma, l{:}B \vdash ?{:}C \end{array} $	destruct h as $[l l]$	
v	$\Gamma \vdash ?:B$	right	$\Gamma \vdash ?:C$		
	$\Gamma \vdash ?: A \lor B$	1 Ignt			
П	$\varGamma \vdash t{:}A \varGamma \vdash \ref{eq:starter} B[x {\leftarrow} t]$	exists t	$\varGamma \vdash h{:}\exists x{:}A{,}B \varGamma, x{:}A{,}l{:}B \vdash \ref{eq:constraint}{C}$	destruct h as (x, l)	
_	$\Gamma \vdash ?: \exists x:A, B$	EXISUS L	$\Gamma \vdash ?:C$	descruce n as (x, t)	
	$t\equiv u$	reflexivity	$\Gamma \vdash h{:}t{=}u \qquad \Gamma \vdash ?{:}C[x{\leftarrow}u]$	rewrite h	
_	$\Gamma \vdash ?:t=u$	TETTEVILLA	$\Gamma \vdash ?: C[x \leftarrow t]$	TEMTTOE 10	

Fig. 2. Logical rules and corresponding tactics

It tries to find terms t_i such that the current goal is equivalent to $B[x_i \leftarrow t_i]_{i=1...n}$ and generates subgoals corresponding to $A_j[x_i \leftarrow t_i]_{i=1...n}$. If some of the x_i are not inferred by the system, it is always possible to use the variant with $(x_i := t_i)$.

Tactics associated with logical rules implement backward reasoning, but it is often useful to do forward reasoning, adding new facts in the goal to be proven. This is done using the **assert** tactic:

$\Gamma \vdash ?: B$	$\frac{\Gamma, h: B \vdash ?: A}{\vdash ?: A}$	accort	$(h \cdot B)$	
Γ	\vdash ? : A	assert	$(n \cdot D)$	

As an exercise, you may try to prove the following simple logical properties.

```
Coq < Lemma ex1: forall A B C:Prop,

(A \rightarrow B \rightarrow C) \rightarrow (A \rightarrow B) \rightarrow A \rightarrow C.

Coq < Lemma ex2: forall A, ~~~ A \rightarrow ~ A.

Coq < Lemma ex3: forall A B, A \/ B \rightarrow ~ (~ A /\ ~ B).

Coq < Lemma ex4: forall T (P:T \rightarrow Prop),

(~ exists x, P x) \rightarrow forall x, ~ P x.
```

Tactics can be combined using what is called a *tactical*:

t_1 ; t_2	applies tactic t_1 then tactic t_2 on generated subgoals
$t_1 \mid t_2$	applies tactic t_1 , when it fails, applies t_2
try t	applies tactic t , does nothing when t fails
repeat t	repeats tactic t until it fails

Some tactics are doing proof search to help solve a goal:

contradiction	solves the goal when False, or A and $\neg A$ appear in the hypotheses
tauto	solves propositional tautologies
trivial	tries very simple lemmas to solve the goal
auto	searches in a database of lemmas to solve the goal
intuition	removes the propositional structure of the goal then auto
omega	solves goals in linear arithmetic

Proving Equalities. The introduction rule of equality is reflexivity. In Coq, two terms t and u are convertible (written $t \equiv u$) when they represent the same value after computation. The elimination rule allows to replace a term by an equal in any context. As a consequence, we have the following derived rules and corresponding tactics:

$\boxed{ \begin{array}{c} \frac{\varGamma \vdash ?: u = t}{\varGamma \vdash ?: t = u} \end{array} }$	symmetry
$\frac{\varGamma \vdash ?:t=v \qquad \varGamma \vdash ?:v=u}{\varGamma \vdash ?:t=u}$	transitivity v
$\fbox{\begin{array}{ c c c c c c c c } \hline \Gamma \vdash ?:f=g & \Gamma \vdash ?:t_1=u_1\ldots \Gamma \vdash ?:t_n=u_n \\ \hline \Gamma \vdash ?:ft_1\ldots t_n=gu_1\ldots u_n \end{array}}$	f_equal

Variants of the **rewrite** rule includes **rewrite** <- H when H is a proof of t = u (or a generalization of it) which replaces u by t and the tactic **replace** u with t which does the replacement but also generates the goal t = u.

The rewrite tactics by default replace all the occurrences of u in P(u). To rewrite selected occurrences, there is a variant: rewrite H at occs.

Another useful tactic for dealing with equalities is **subst**. When x is a variable and the context contains an hypothesis x = t (or t = x) with x not occurring in t, then the tactic **subst** x will substitute t for x and remove both x and the hypothesis from the context. The tactic **subst** without argument do the substitution on all possible variables in the context.

Finishing Proofs. The commands **Theorem** and **Lemma**, given a name name and a property A, enter the interactive proof mode in which tactics are used to transform the goal. Hopefully, after some effort there will be no remaining subgoals : the proof of A is finished. Actually, CoQ is doing one more check before accepting the proof. From the tactics used, the system extracts a term p and the trusted kernel has to check that $\Gamma \vdash p : A$ is a valid judgment, which is done by elementary rules for type-checking p. This step is done with the commands **Qed** or **Save**. The proof is recorded in the environment and given the name name with type A. It can be used in other proofs like any hypothesis in the environment. It might seem useless to check again the proof, however, this choice of architecture allows to freely extend the set of tactics without compromising the safety of the proofs. Actually, some correctness checking (universes, wellformed definition of recursive functions) are not done during interactive proof mode and consequently, it might be the case (in rare occasions) that a "finished proof" is actually not a correct proof. If a proof is not finished, it is possible to admit an intermediate goal using the tactic **admit**. It will introduce an axiom corresponding to the current goal, it uses this axiom to solve the goal and continue with the next unproven goal. Using the command **Admitted** instead of **Qed** gives the possibility to finish the proof, introducing the original goal A as an axiom. It is convenient to postpone a proof but it is also potentially dangerous. Assuming a false property might result in being able to prove \bot and, consequently, everything becomes provable.

Safety in CoQ is only guaranteed if there are no axioms left in the proof. The command **Print Assumptions** *name* can be used to display all axioms used in the theorem *name*.

Definitions. A new definition is introduced by:

Definition name args : type := term.

The identifier *name* is then an abbreviation for the term *term*. The type *type* is optional as well as the arguments which are a list of identifiers possibly associated with types. For instance, the square function can be defined as follows:

```
Coq < Definition square (x:nat) : nat := x * x.
square is defined
```

A CoQ definition *name* can be unfolded in a goal by using the tactic unfold *name* (in the conclusion) or unfold *name* in H (in hypothesis H).

Variables and axioms. It is often convenient to introduce a local context of variables and properties, which are shared between several definitions. It is done with a section mechanism. A section *name* is opened using the command **Section** *name*. Then objects can be introduced using the syntax:

Variable *name* : type or Hypothesis *name* : prop

Several variables with the same type can be introduced with a single command, using the variant **Variables** and a blank-separated list of names. The following definitions can refer to the objects in the context of the section. The section is ended by the command **End** *name*; then all definitions are automatically abstracted with respect to the variables they depend on.

For instance, we can introduce a type ${\tt A}$ and two variables of this type using the commands:

```
Coq < Section test.
Coq < Variable A : Type.
Coq < Variables x y : A.
Coq < Definition double : A * A := (x,x).
Coq < Definition triple : A * A * A := (x,y,x).
Coq < End test.</pre>
```

After ending the section, the objects A, x and y are not accessible anymore and one can observe the new types of double and triple.

```
Coq < Print double.
double = fun (A : Type) (x : A) => (x, x)
        : forall A : Type, A -> A * A
Coq < Print triple.
triple = fun (A : Type) (x y : A) => (x, y, x)
        : forall A : Type, A -> A -> A * A * A
```

2.4 Libraries in Coq

The COQ environment is organized in a modular way. Some libraries are already loaded when starting the system. They can be displayed using the command:

Coq < **Print** Libraries.

Searching the environment The following interactive commands are useful to find information in libraries when doing proofs. They can be executed from the coqide Queries menu.

- SearchAbout *name*: displays all declarations *id* : *type* in the environment such that *name* appears in *type*.

```
Coq < SearchAbout plus.
plus_n_0: forall n : nat, n = n + 0
plus_0_n: forall n : nat, 0 + n = n
plus_n_Sm: forall n m : nat, S (n + m) = n + S m
plus_Sn_m: forall n m : nat, S n + m = S (n + m)
mult_n_Sm: forall n m : nat, n * m + n = n * S m
nat_iter_plus:
    forall (n m : nat) (A : Type) (f : A -> A) (x : A),
    nat_iter (n + m) f x = nat_iter n f (nat_iter m f x)
```

Useful variants are SearchAbout $[name_1 \cdots name_n]$ to find objects with types mentioning all the names $name_i$ and also SearchAbout *pattern* to find objects with types mentioning an instance of the *pattern* which is a term possibly using the special symbol "_" to represent an arbitrary term.

```
Coq < SearchAbout [plus 0].
plus_n_0: forall n : nat, n = n + 0
plus_0_n: forall n : nat, 0 + n = n
Coq < SearchAbout ( ~ _ <-> _ ).
neg_false: forall A : Prop, ~ A <-> (A <-> False)
```

- Check term: checks if term can be typed and displays its type.
- Print name: prints the definition of name together with its type.
- About *id*: displays the type of the object *id* (plus other informations like qualified name or implicit arguments).

```
Coq < About pair.
pair : forall A B : Type, A -> B -> A * B
Arguments A, B are implicit and maximally inserted
<...>
Expands to: Constructor Coq.Init.Datatypes.pair
```

Loading New Libraries. The command **Require Import** name checks if module name is already present in the environment. If not, and if a file name.vo occurs in the load-path, then it is loaded and opened (its contents is revealed).

The set of loaded modules and the load-path can be displayed with commands **Print Libraries** and **Print LoadPath**. The default load-path is the set of all sub-directories of the CoQ standard library.

The libraries related to natural numbers arithmetic are gathered in a single module Arith in such a way that the command **Require Import Arith** loads and opens all these modules.

As usual in programming languages, the module names are used to organize the name space. The same "short name" can be used to represent different objects in different modules.

The command **Require** name (without **Import**) only loads the library, the objects inside are referred to by a qualified name: *dir.name.id*. This long name is also useful when the same identifier exists in different libraries. The command Locate *id* helps find all occurrence of *id* in loaded libraries.

2.5 Examples

After all these generalities, we can try to do our first program verifications.

One of the challenges proposed by the LASER summer school was to define an absolute value function on machine integers and prove the result is positive.

We first prove a much simpler result on mathematical integers. Mathematical integers in CoQ are defined as a type Z. Their representation is based on a binary representation of positive numbers (type positive).

Definition and properties of integers are defined in the library ZArith that needs to be loaded first. In order to use the standard arithmetical notations for Z, we have to tell COQ to use them (otherwise COQ will interpret 0 or + as objects in nat).

Coq < Require Import ZArith. Coq < Open Scope Z_scope.

The absolute value function is part of COQ standard arithmetic library (function Zabs), and the expected result is a theorem named Zabs_pos).

However, we may want to do it naively. We need to test the sign of an integer so we need a boolean function for that. The command **SearchAbout** (Z->bool) shows their is a function Zle_bool: Z -> Z -> bool, then the command **Search-About** Zle_bool gives us several properties of this function, including:

Zle_cases: forall n m, if Zle_bool n m then n <= m else n > m

which links the boolean result of the Zle_bool function with the mathematical property. The proof goes as follows:

Coq < Definition abs (n:Z) : Z := if Zle_bool 0 n then n else -n. abs is defined

Coq < Lemma abs_pos : forall n, 0 <= abs n.

```
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Coq < intro n; unfold abs.
1 subgoal
 n : Z
  _____
  0 \le (if Zle_bool 0 n then n else - n)
Coq < assert (if Zle_bool 0 n then 0 <= n else 0 > n).
2 subgoals
 n : Z
  ------
  if Zle_bool 0 n then 0 <= n else 0 > n
subgoal 2 is:
0 \le (if Zle_bool 0 n then n else - n)
Coq < apply Zle_cases.
1 subgoal
 n : Z
 H : if Zle_bool 0 n then 0 <= n else 0 > n
  _____
  0 \le (if Zle_bool 0 n then n else - n)
Coq < destruct (Zle_bool 0 n); auto with zarith.
Proof completed.
Coq < Qed.
abs_pos is defined
```

If we want to reason on machine integers, one can use the Int31 library in CoQ which represents 31-bit cyclic arithmetic, but because it is interpreted as positive integers between 0 and $2^{31} - 1$, some work is needed before solving the problem and we shall not detail the proof here. The Compcert project[27] also provides a library http://compcert.inria.fr/src/lib/Integers.v defining machine integers as mathematical numbers modulo 2^N .

A second challenge was to implement a class for a bank account with a balance represented as an IEEE floating point number and to specify a deposit method. Of course the difficulty comes from the interpretation of the **plus** operation which will be a floating point number operation with rounding in the program ans possibly a mathematical operation in the specification.

In Coq, it is possible to manipulate real numbers (library Reals, with arithmetic notations) and there are also external libraries dealing with IEEE floating point real numbers, the most recent one being Flocq [10] (we use here version 2.0).

```
Coq < Require Import Reals Fappli_IEEE Fappli_IEEE_bits.
Coq < Open Local Scope R_scope.
```

The type **binary32** represents a single precision (normalized) floating point number with its sign (a boolean), its mantissa (a positive binary number between 0 and $2^{23} - 1$) and its exponent (between -126 and 126). Coq is able to compute with these numbers. We can also choose the rounding mode of the addition.

```
Coq < Print binary32.
binary32 = binary_float 24 128 : Set
```

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The function B2R transforms a floating point into the corresponding real number. We introduce convenient notations for the numbers 1, 2^{-23} and 2^{-24} .

```
Coq < Notation bin32 b m e :=
Coq < (binary_normalize 24 128 gt0_24 gt_24_128 mode_NE m e b).
Coq < Definition b32_one := bin32 false (1) (0).</pre>
```

```
Coq < Definition b32_2_minus23 := bin32 false (1) (-23).
Coq < Definition b32_2_minus24 := bin32 false (1) (-24).
```

We can now implement the deposit function and introduce the property corresponding to its correctness.

```
Coq < Definition deposit (olda amount:binary32) : binary32
Coq < := b32_plus mode_NE olda amount.
```

```
Coq < Definition deposit_correct olda amount : Prop :=
Coq < B2R (deposit olda amount) = (B2R olda + B2R amount)%R.
```

We can now show correct and incorrect behaviors.

The proof can be finished using the **field** tactic to reason on real numbers. The following case can be proved to be incorrect:

The proof comes from the fact that we have an hypothesis (x = x + y) with $y \neq 0$ but unfortunately it is not fully automated in Coq (automation on real numbers is still rudimentary); we do not give the details here.

These examples show that Coq provides advanced libraries to reason on complex mathematical and algorithmic notions such as real and floating point numbers.

2.6 About classical logic

CoQ implements an intuitionistic logic. Which means that $A \vee \neg A$ is not an axiom. Actually, both $A \vee B$ and $\exists x : A, B$ have a strong constructive meaning. Indeed, from a proof of $\vdash \exists x : A, B$, one can compute t such that $B[x \leftarrow t]$ is provable and from a proof of $A \vee B$ one can compute a boolean b and proofs of $b = \text{true} \rightarrow A$ and $b = \text{false} \rightarrow A$.

Classical reasoning is often not needed, manual proofs of $A \vee \neg A$ can be built for many simple formulas. It is also possible to use *classical versions* of logical connectives (negative formulas are classical). But if we really want to use classical logic, a library **Classical** introduces the excluded middle as an axiom.

3 Inductive Declarations

Inductive definitions are another main ingredient of COQ language. It is a generic mechanism which captures different notions such as data-types, logical connectives, primitive relations. We illustrate the use of inductive definitions on an example, modeling a game on a board containing bi-color tokens. We also study simple algorithms on lists and a cryptographic protocol.

3.1 Inductive Data Types

A data-type name can be declared by specifying a set of constructors. Each constructor c_i is given a type C_i which declares the type of its expected arguments. A constructor possibly accepts arguments (which can be recursively of type name), and when applied to all its arguments, a constructor has type the inductive definition name itself. There are some syntactic restrictions over the type of constructors to make sure that the definition is well-founded. The syntax for declaring an inductively defined type is:

```
Inductive name : sort := c_1 : C_1 \mid \ldots \mid c_n : C_n.
```

where *name* is the name of the type to be defined; *sort* is one of Set or Type (or even Prop); c_i are the names of the constructors and C_i is the type of the constructor c_i .

The declaration of an inductive definition introduces new primitive objects for the type itself and its constructors; it also generates theorems which are abbreviations for more complex terms expressing that *name* is the smallest set containing the terms build with constructors. These theorems provide induction principles to reason on objects in inductive types.

Examples. The data types of booleans and natural numbers are defined inductively as follows:

Coq < Print bool. Inductive bool : Set := true : bool | false : bool

```
Coq < Check bool_ind.
bool_ind : forall P : bool -> Prop,
        P true -> P false -> forall b : bool, P b
Coq < Print nat.
Inductive nat : Set := 0 : nat | S : nat -> nat
Coq < Check nat_ind.
nat_ind : forall P : nat -> Prop,
        P 0 -> (forall n : nat, P n -> P (S n))
        -> forall n : nat, P n
```

The type of booleans has only two constant constructors. The type of natural numbers has a constant 0 and a unary constructor S for the successor function. The product type is also inductively defined.

```
Coq < Print prod.
Inductive prod (A B : Type) : Type := pair : A -> B -> A * B
For pair: Arguments A, B are implicit and maximally inserted
```

We remark two things on this definition. First it is a polymorphic definition, parametrized by two type A and B. Second the constructor **pair** takes two arguments and pairs them in an object of type A * B, which is what is expected for a product representation.

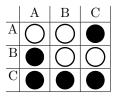
Inductive Types and Equality. The constructors of an inductive type are injective and distinct. For instance one can prove $true \neq false$ and for natural numbers, $Sn = Sm \rightarrow n = m$ and $Sn \neq 0$. These lemmas are part of the standard library for natural numbers but have to be proved for new inductive types. There are tactics to automate this process.

- discriminate H will prove any goal if H is a proof of $t_1 = t_2$ with t_1 and t_2 starting with different constructors. With no argument discriminate will try to find such a contradiction in the context.
- injection H assumes H is a proof of $t_1 = t_2$ with t_1 and t_2 starting with the same constructor. It will deduce equalities $u_1 = u_2, v_1 = v_2, \ldots$ between corresponding subterms and add these equalities as new hypotheses.

Remark on Inductive Propositions. The *sort* in an inductive definition can also be **Prop** allowing the inductive declaration of logical propositions. Following the Curry-Howard correspondence between proposition and types, all propositional connectives except for negation, implication and universal quantifier are declared using inductive definitions. **False** is a degenerated case where there are no constructors. **True** is the proposition with only one proof I (corresponding to the unit type with only one constructor). Conjunction of two propositions corresponds to the product type and disjunction to an inductive proposition with two constructors. Existential quantifiers and equality are also inductively defined.

```
Coq < Print False.
Inductive False : Prop :=
Coq < Check False_ind.
False_ind : forall P : Prop, False -> P
Coq < Print or.
Inductive or (A B : Prop) : Prop :=
or_introl : A -> A \/ B | or_intror : B -> A \/ B
Coq < Check or_ind.
or_ind : forall A B P : Prop,
(A -> P) -> (B -> P) -> A \/ B -> P
```

The Board Example. The game we want to study involves nine bicolor tokens (one side black and one side white) which are placed on a 3×3 board.



At each step it is possible to choose one line or one column and to inverse the color of each token on that line or column. We want to study when a configuration is reachable from a starting configuration.

The data types involved in that example are the state of each token (black or white) which can be represented by a boolean or a special inductive type with two values. We need to identify a column and a line by a position (three possible values).

```
Coq < Inductive color : Type := White | Black.
Coq < Inductive pos : Type := A | B | C.
```

Finally we need to represent the board. It is convenient to represent it as three lines, each line being composed of three colors. In order to reuse functions, one can introduce a polymorphic type of triples of elements in an arbitrary type M (the definition is very similar to the definition of the product type).

```
Coq < Inductive triple M := Triple : M -> M -> M -> triple M.
```

A line White/Black/White will be represented by the term Triple White Black White. The CoQ kernel requires the type argument M to be explicitly given, so the CoQ internal term is Triple color White Black White. However, the type color can be easily deduced from the type of White and can be systematically omitted in the input, thanks to the CoQ declaration:

```
Coq < Set Implicit Arguments.
```

which tells COQ to infer type arguments whenever possible. Alternatively, the command **Implicit Arguments** name [args] can be used to force the implicit arguments of a given object name. On can also introduce a special notation for triples:

Coq < Notation "[x | y | z]" := (Triple x y z).

and define a function which given an element m in M builds a triple with the value m in the three positions.

```
Coq < Definition triple_x M (m:M) : triple M := [ m | m | m ].
```

3.2 Definitions by pattern-matching

The Pattern-Matching Operator. When a term t belongs to some inductive type, it is possible to build a new term by case analysis over the various constructors which may occur as the head of t when it is evaluated. Such definitions are known in functional programming languages as *pattern-matching*. The CoQ syntax is the following:

```
match term with c_1 \ args_1 \Rightarrow term_1 \ \dots \ c_n \ args_n \Rightarrow term_n end
```

In this construction, the expression *term* has an inductive type with n constructors $c_1, ..., c_n$. The term $term_i$ is the term to build when the evaluation of t produces the constructor c_i .

Example. If n has type nat, the function checking whether n is 0 can be defined as follows:

Coq < Definition iszero n := match n with | 0 => true | S x => false end.

The board example. On can simply define a function which inverses a color:

Coq < Definition turn_color (c: color) : color :=
 match c with | White => Black | Black => White end.

On our board example, given a function f of type $M \to M$, one can define a function triple_map which given a triple (a, b, c), applies f to all components, and a function triple_map_select which also expects a position and applies the function f at that position.

Generalized Pattern-Matching Definitions. More generally, patterns can match several terms at the same time, they may be nested and they may contain the universal pattern _ which filters any expression. Patterns are examined in a sequential way (as in functional programming languages) and they must cover the whole domain of the inductive type. Thus one may write for instance

```
Coq < Definition nozero n m :=
    match n, m with
    | 0, _ => false | _, 0 => false | _, _ => true
    end.
```

However, the generalized pattern-matching is not considered as a primitive construct and is actually *compiled* into a sequence of primitive patterns.

Some Equivalent Notations. In the case of an inductive type with a single constructor C:

let $(x_1, ..., x_n) := t$ in u

can be used as an equivalent to match t with $Cx_1...x_n \Rightarrow u$ end.

In the case of an inductive type with two constructors (like booleans) c_1 and c_2 (such as the type of booleans for instance) the construct

if t then u_1 else u_2

can be used as an equivalent to match t with $c_1 \Rightarrow u_1 | c_2 \Rightarrow u_2$ end.

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3.3 Fixpoint Definitions

To define interesting functions over recursive data types, we use recursive functions. General fixpoints are not allowed since they lead to an unsound logic.

Only structural recursion is allowed. It means that a function can be defined by fixpoint if one of its formal arguments, say x, has an inductive type and if each recursive call is performed on a term which can be checked to be structurally smaller than x. The basic idea is that x will usually be the main argument of a **match** and then recursive calls can be performed in each branch on some variables of the corresponding pattern.

The **Fixpoint** Construct. The syntax for a fixpoint definition is the following:

Fixpoint name $(x_1 : type_1) \ldots (x_p : type_p)$ {struct x_i } : type := term

The variable x_i following the **struct** keyword is the recursive argument. Its type $type_i$ must be an instance of an inductive type. If the clause {struct x_i } is omitted, the system will try to infer an appropriate argument.

The type of *name* is forall $(x_1 : type_1) \dots (x_p : type_p)$, type. Occurrences of *name* in *term* must be applied to at least *i* arguments and the *i*th must be structurally smaller than x_i .

Examples. The following two definitions of **plus** by recursion over the first and the second argument respectively are correct:

```
Coq < Fixpoint plus1 (n m:nat) : nat :=
Coq < match n with | 0 => m | S p => S (plus1 p m) end.
plus1 is recursively defined (decreasing on 1st argument)
Coq < Fixpoint plus2 (n m:nat) : nat :=
Coq < match m with | 0 => n | S p => S (plus2 n p) end.
plus2 is recursively defined (decreasing on 2nd argument)
```

Restrictions on Fixpoint Declarations. There are strong syntactic restrictions on the kind of definitions that are accepted, there should be one decreasing argument for each fixpoint, the following definition will not be accepted:

```
Coq < Fixpoint test (b:bool) (n m:nat) : bool
Coq < := match (n,m) with
Coq < | (0,_) => true | (_,0) => false
Coq < | (S p,S q) => if b then test b p m else test b n q
Coq < end.
Error: Cannot guess decreasing argument of fix.
```

However, it is possible to define functions with more elaborated recursive schemes using higher order functions like the Ackermann function:

```
Coq < Fixpoint ack (n m:nat) {struct n} : nat
Coq < := match n with</pre>
```

We may remark the internal definition of fixpoint using the **let fix** construction which defines the value of ack n as a new function ackn with one argument and a structurally smaller recursive call. As an exercise, you may prove that the following equations are solved using reflexivity.

```
Coq < Goal forall n, ack (S n) 0 = ack n 1.
Coq < Goal forall n m, ack (S n) (S m) = ack n (ack (S n) m).
```

Computation. A fixpoint can be computed when the recursive argument starts with a constructor. So **plus1** 0 n and n are convertible but **plus1** n 0 is in normal form when n is a variable. The equation corresponding to the fixpoint definition is not just proven by reflexivity but requires a simple case analysis over the recursive argument.

```
Coq < Lemma plus1_eq : forall n m,
Coq < plus1 n m = match n with 0 => m | S p => S (plus1 p m) end.
Coq < destruct n; trivial.
Proof completed.
```

The tactic simpl name when name is a fixpoint definition will simplify the expression whenever it is applied to a constructor. The tactic simpl simplifies all fixpoint definitions in the goal (which is sometimes too much, in which case it is recommended to prove the relevant equations as theorems and use them in a controled way with the rewrite tactic).

Remark. Coq does not prevent to define empty inductive data-types such as:

```
Coq < Inductive E : Set := Ei : E -> E.
E is defined
```

But of course, there are no way to build a value (term without variable) of type E and furthermore, one can build a function which given an argument in E build an element in any type A:

```
Coq < Fixpoint Eany A x : A :=
    match x with (Ei y) => Eany A y end.
Eany is recursively defined (decreasing on 2nd argument)
```

In particular one can prove False from an hypothesis x : E.

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Computing. One can reduce a term and prints its normal form with **Eval compute in** *term*. For instance:

```
Coq < Eval compute in (2 + 3)%nat.
        = 5%nat : nat
Coq < Eval compute in (turn_color White).
        = Black : color
Coq < Eval compute in (triple_map turn_color [Black|White|White]).
        = [White | Black | Black] : triple color
```

3.4 Algorithms on lists

Another LASER challenge was proving algorithms on arrays. We choose to represent arrays by functional lists. We import the List and ZArith libraries and use the predefined notations. Notations for lists include a::l for the operator cons and l_1++l_2 for the concatenation of two lists.

```
Coq < Require Import List ZArith.
Coq < Open Scope Z_scope.
Coq < Open Scope list_scope.
Coq < Print list.
Inductive list (A : Type) : Type :=
    nil : list A | cons : A -> list A -> list A
```

Sum and maximum Computing the sum and the maximum value of a list is done by a simple fixpoint definition.

```
Coq < Fixpoint sum (l : list Z) : Z :=
Coq < match l with nil => 0 | a::m => a + sum m end.
Coq < Fixpoint max (l : list Z) : Z :=
Coq < match l with nil => 0
Coq < | a::nil => a
Coq < | a::m => let b:= max m in
Coq < interface in the set of the se
```

Because the pattern-matching for defining max is not elementary, it is useful to prove the corresponding equation to be used for rewriting.

```
Lemma max_cons : forall a m, m <> nil
    -> max (a::m) = let b:= max m in if a <=? b then b else a.
intro a; destruct m; trivial; intro H.
destruct H; trivial.
Qed.</pre>
```

We can after that enunciate the correctness property we want to prove:

Lemma sum_max_prop : forall l, sum l <= Z_of_nat (length l) * max l.</pre>

It is proved by induction on l (see section 3.6), then using the tactic simpl to do some of the simplifications on sum and length and then arithmetical reasoning.

Correctness of max and sum. To specify the behavior of max, we could use the predicate In of the List library and say that whenever l is non empty then max l is in l and it is not less than all elements in l. Our function sum satisfies the two following equations which can be considered as a valid functional specification.

 $\operatorname{sum nil} = 0$ $\operatorname{sum } (a::l) = a + \operatorname{sum } l$

Termination. All functions in Coq terminate.

3.5 Inductive Relations

Inductive definitions can also be used to introduce relations specified by a set of closure properties (like inference rules or Prolog clauses). Each clause is given a name, seen as a constructor of the relation. The type of this constructor is the logical formula associated to the clause. The syntax of such a definition is:

```
Inductive name : arity := c_1 : C_1 \mid \ldots \mid c_n : C_n
```

where *name* is the name of the relation to be defined, *arity* its type (for instance **nat->nat->prop** for a binary relation over natural numbers) and, as for data types, c_i and C_i are the names and types of constructors respectively.

Example. The definition of the order relation over natural numbers can be defined as the smallest relation verifying:

 $\forall n: \mathtt{nat}, 0 \leq n$ $\forall nm: \mathtt{nat}, n \leq m \Rightarrow (\mathtt{S}n) \leq (\mathtt{S}m)$

which is sometimes presented as a set of inference rules

$$\frac{n \le m}{(\mathtt{S}\,n) \le (\mathtt{S}\,m)}$$

In Coq, such a relation is defined as follows:

```
Coq < Inductive LE : nat -> nat -> Prop :=
Coq < | LE_0 : forall n:nat, LE 0 n
Coq < | LE_S : forall n m:nat, LE n m -> LE (S n) (S m).
LE is defined
LE_ind is defined
```

This declaration introduces identifiers LE, LE_O and LE_S, each having the type specified in the declaration. The LE_ind theorem is introduced which captures the minimality of the relation.

```
Coq < Check LE_ind.
LE_ind
  : forall P : nat -> nat -> Prop,
      (forall n : nat, P 0 n) ->
      (forall n m : nat, LE n m -> P n m -> P (S n) (S m)) ->
      forall n n0 : nat, LE n n0 -> P n n0
```

Actually, the definition of the order relation on natural numbers in CoQ standard library is slightly different:

```
Coq < Print le.
Inductive le (n : nat) : nat -> Prop :=
    le_n : (n <= n)
    le_S : forall m : nat, (n <= m) -> (n <= S m)</pre>
```

The parameter (n:nat) after le is used to factor out n in the whole inductive definition. As a counterpart, the first argument of le must be n in the conclusion of each type of constructor. In particular, n could not have been a parameter in the definition of LE since LE must be applied to (S n) in the conclusion of the second clause. Both definitions of the order can be proved equivalent. For technical reasons, having more arguments as parameters in an inductive definition makes usually proofs easier. In general there are multiple ways to define the same relation by inductive declarations (or possibly recursive functions). One has to keep in mind that they are different *implementations* of the same notion and that like in programming, some of the choices will have consequences on the easiness of doing subsequent proofs with these notions.

Examples

The Board Example. We have defined functions on triples and colors. We can now introduce the type of boards that will be a triple of lines, a line being a triple of colors. We also define two specific boards **start** and **target**. And we can define the functions **turn_col** and **turn_row** which inverse the colors.

```
Coq < Definition board := triple (triple color).
```

```
Coq < Definition start : board
Cog <
        := [ [White | White | Black] |
Coq <
             [Black | White | White] |
             [Black | Black | Black] ].
Coq <
Coq < Definition target : board
Coq <
        := [ [Black | Black | White] |
Coq <
             [White | Black | Black]
                                     [Black | Black | Black] ].
Coq <
Coq < Definition turn_row (p: pos) : board -> board :=
Coq <
        triple_map_select (triple_map turn_color) p.
Coq < Definition turn_col (p: pos) : board -> board :=
        triple_map (triple_map_select turn_color p).
Coa <
```

Now if we want to define the relation between two boards corresponding to one step (inversing one line or one column), we can use predefined logical connectives:

```
Coq < Definition move1 (b1 b2: board) : Prop :=
Coq < (exists p : pos, b2=turn_row p b1)
Coq < \/ (exists p : pos, b2=turn_col p b1).</pre>
```

or alternatively a direct inductive definition:

```
Coq < Inductive move (b1:board) : board -> Prop :=
Coq < move_row : forall (p:pos), move b1 (turn_row p b1)
Coq < | move_col : forall (p:pos), move b1 (turn_col p b1).</pre>
```

If we want to define reachability, we need to consider the reflexive-transitive closure of the move relation. This is done easily with an inductive definition:

```
Coq < Inductive moves (b1:board): board -> Prop :=
Coq < moves_init : moves b1 b1
Coq < | moves_step : forall b2 b3,
Coq < moves b1 b2 -> move b2 b3 -> moves b1 b3.
```

One can prove simple properties like:

We can prove that the board target is accessible from the board start.

```
Lemma reachable : moves start target.
apply moves_step with (turn_row A start); auto.
replace target with (turn_row B (turn_row A start)); auto.
Qed.
```

Linear Search. With linear search of a zero in an array of non-negative integers, we go back to natural numbers.

Open Scope nat_scope.

In order to capture the special case where there is no 0 in the list, we prefer to use an option type with none or one value.

```
Coq < Print option.
Inductive option (A : Type) : Type :=
Some : A -> option A | None : option A
```

We use a terminal recursive definition:

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In order to specify this function, it is convenient to introduce an inductive predicate correct such that correct k l is true when l starts with k non-zero elements and then contains a zero.

```
Coq < Inductive correct : nat -> list nat -> Prop :=
Coq < correct_hd : forall a l, a=0 -> correct 0 (a::l)
Coq < | correct_tl : forall a l n,
Coq < a<>0 -> correct n l -> correct (S n) (a::l).
```

Coq < Hint Constructors correct.

The Hint Constructors command adds the constructors of the inductive definition in the hints database to be used by the **auto** tactic.

3.6 Elimination of Inductive Definitions

Proof by Case Analysis: the destruct tactic. An object in an inductive definition I, when fully instantiated and evaluated will be formed after one of the constructors of I. When we have an arbitrary term t in I, we can reason by case on the constructors the term t can be evaluated to. The **destruct** t tactic generates a new subgoal for each constructor and introduces new variables and hypothesis corresponding to the arguments of the constructor. Coq generates automatically names for these variables. It is recommended to use **destruct** t as pat; with pat a pattern for naming variables. pat will be written $[p_1|\ldots|p_n]$ with n the number of constructors of I. The pattern p_i will be written (x_1,\ldots,x_k) if the constructor c_i expects k arguments.

If the goal has the form $\forall x : I, P$, then the tactic **intros** *pat*, will do the introduction of x and will immediately after destruct this variable using the pattern as in the following example:

```
Coq < Goal forall A B : Prop, (A /\ \sim B) \/ B -> \sim A -> B.
Coq < intros A B [ (Ha, Hnb) | Hb ] Hna.
2 subgoals
 A : Prop
 B : Prop
 Ha : A
 Hnb : ~ B
 Hna : ~ A
 В
subgoal 2 is:
В
Coq < contradiction.
1 subgoal
 A : Prop
 B : Prop
 Hb : B
 Hna : ~ A
```

В Coq < auto. **Proof** completed.

For instance, the equivalence between the two definitions of one-step *move* in the board example can be easily done using the destruct tactic.

Coq < Lemma exboard : forall b1 b2, move1 b1 b2 <-> move b1 b2.

The destruct tactic, when applied to an hypothesis will clear this hypothesis from the goal. The **case** tactic is a more elementary tactic corresponding to the logical elimination rule when more control is needed.

Proof by induction. The tactic to perform proofs by induction is induction term where *term* is an expression in an inductive type. It can be an induction over a natural number or a list but also an elimination rule for a logical connective or a minimality principle for an inductive relation. More precisely, an induction is the application of one of the principles which are automatically generated when the inductive definition is declared.

The induction tactic can also be applied to variables or hypotheses bound in the goal. To refer to some unnamed hypothesis from the conclusion (*i.e.* the left hand-side of an implication), one has to use induction num where num is the *num*-th unnamed hypothesis in the conclusion.

The induction tactic generalizes the dependent hypotheses of the expression on which the induction applies.

Induction over Data Types. For an inductive type I, the induction scheme is given by the theorem I_{-ind} ; it generalizes the standard induction over natural numbers. The main difficulty is to tell the system what is the property to be proved by induction. The default (inferred) property for the tactic induction term is the abstraction of the goal w.r.t. all occurrences of term. If only some occurrences must be abstracted (but not all) then the tactic "pattern term at occs" can be applied first.

It is sometimes necessary to generalize the goal before performing induction. This can be done using the cut prop tactic, which changes the goal G into $prop \rightarrow G$ and generates a new subgoal prop. If the generalization involves some hypotheses, one may use the generalise tactic first (if x is a variable of type A, then generalise x changes the goal G into the new goal forall x : A, G.

The correctness of the linear function introduced earlier can be expressed by the following lemma:

```
Coq < Lemma linear_correct : forall l n k,
          linear n l = Some k \langle - \rangle (n \langle = k / \rangle correct (k-n) l).
Coq <
which is proved by induction on l. The special case is a simple consequence when
n = 0:
```

```
Cog < Lemma linear_search_correct :
        forall l k, linear_search l = Some k <-> correct k l.
Coa <
```

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Induction over Proofs. If term belongs to an inductive relation then the induction tactic corresponds to the use of the minimality principle for this relation. Generally speaking, the property to be proved is $(I x_1 \dots x_n) \Rightarrow G$ where I is the inductive relation. The goal G is abstracted w.r.t. $x_1 \dots x_n$ to build the relation used in the induction. It works well when $x_1 \dots x_n$ are either parameters of the inductive relation or variables. If some of the x_i are complex terms, the system may fail to find a well-typed abstraction or may infer a non-provable property.

If no recursion is necessary then the tactic **inversion** term is to be preferred (it exploits all informations in $x_1 \ldots x_n$). If recursion is needed then one can try to first change the goal into the equivalent one (assuming x_i is a non-variable, non-parameter argument):

$$\forall y, (I \ x_1 \dots y \dots x_n) \Rightarrow x_i = y \Rightarrow G$$

and then do the induction on the proof of $(I x_1 \dots y \dots x_n)$.

For the board example, the transitivity of the moves relation (an arbitrary number of simple moves) is easily done by an induction on the proof of (moves $b_2 b_3$).

```
Coq < Lemma moves_trans
Coq < : forall (b1 b2 b3:board),
Coq < moves b1 b2 -> moves b2 b3 -> moves b1 b3.
Coq < induction 2.
```

A more complex proof will be to prove that there are no possible moves from the board **start** to the board with only white tokens (called **wboard**). So we have to prove:

After an introduction, we end up proving \perp from the assumption (moves start wboard). If we try an induction on this proof, the first goal will be to prove \perp without any assumption, this is hopeless. A solution is to find an appropriate invariant of the game and to derive a contradiction from the assumption that wboard satisfies this invariant. The hint is to look at the number of white tokens at the 4 corners.

3.7 Advanced inductive definitions

The inductive definition mechanism of COQ is quite general and allows to model more than just algebraic data-types.

For instance, it is possible to represent trees with infinite branching, like in this type of ordinal notations.

```
Coq < Inductive ord := zero : ord | succ : ord -> ord
Coq < | lim : (nat -> ord) -> ord.
```

The constructor lim takes a function as argument, for each natural number, it gives a new object of type ord which is a subterm.

Another important inductive definition is the accessibility property. Given a binary relation R on a type A, an element x : A is accessible if there are no infinite chain $(x_i)_{i \in \text{IN}}$ such that $x_0 = x$ and $\forall i, R(x_{i+1}, x_i)$. Inductively, it is possible to define x to be accessible when all y such that R(x, y) are also accessible. This is captured in the following definition:

```
Coq < Print Acc.

Inductive Acc (A : Type) (R : A -> A -> Prop) (x : A) : Prop :=

Acc_intro : (forall y : A, R y x -> Acc R y) -> Acc R x
```

This inductive definition is the key for the definition of general fixpoints (see section 4.2)

Dependent types. It is also possible to introduce types indexed by other objects (also called dependent types) like in the type of vectors of size n:

```
Coq < Inductive vect (A:Type) : nat -> Type :=
Coq < v0 : vect 0
Coq < | v1 : forall n, A -> vect n -> vect (S n).
```

This definition looks like the definition of lists but with an extra argument which will correspond to the size of the vector. Dependent types can also be defined in a recursive way:

In that definition, the type (prodn 2) is convertible with the type A * (A * A).

3.8 Needham-Schroeder Public Key protocol

The formalization of Needham-Schroeder Public Key protocol in CoQ was first experimented by D. Bolignano [11]. Inductive definitions are used to model the exchanges. We have three agents A, B, I for Alice, Bob and the Intruder.

```
Coq < Inductive agent : Set := A | B | I .
```

A nonce is a secret that is generated by one agent to be shared with another; in our formalization, nonces have two agents as parameters. The atomic messages are names of the agents, nonces, secret keys. A message can be encoded or combined with another.

```
Coq < Inductive message : Set :=
Coq < Name : agent -> message
Coq < | Nonce : agent*agent -> message
Coq < | SK : agent -> message
Coq < | Enc : message -> agent -> message
Coq < | P : message -> message -> message.
```

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The assumptions are that every message sent is received by everybody. Alice and Bob follow the protocol but the intruder can transform the messages (pairing, unpairing, encoding with public keys, decoding when he knows the secret key).

We define three mutually inductive definitions:

- send which takes an agent and a message and implements the protocol rules plus the intruder capabilities;
- receive which takes an agent and a message and just says that everybody receive everything;
- known which characterizes the knowledge of the intruder, some basic facts such as the name of the agents, his/her own secret key, plus the capability to eavesdrop the messages and massage them.

The protocol is parametrized by an agent X with which Alice starts the protocol.

```
Coq < Section Protocol.
Coq < Variable X:agent.
Coq < Inductive send : agent -> message -> Prop :=
              : send A (Enc (P (Nonce (A,X)) (Name A)) X)
Coa <
        init
Coq < | trans1 : forall d Y,
                 receive B (Enc (P (Nonce d) (Name Y)) B)
Coq <
                  -> send B (Enc (P (Nonce d) (Nonce (B,Y))) Y)
Coq <
Coq < | trans2 : forall d,
                 receive A (Enc (P (Nonce (A,X)) (Nonce d)) A)
Coq <
Coq <
                 -> send A (Enc (Nonce d) X)
Coq < | cheat : forall m, known m -> send I m
Coq < with receive : agent -> message -> Prop :=
        link : forall m Y Z, send Y m -> receive Z m
Coq <
Coq < with known : message -> Prop :=
Coq <
        spy : forall m, receive I m -> known m
Coq < | name : forall a, known (Name a)
Coq < | secret_KI : known (SK I)
Coq < | decomp_l : forall m m', known (P m m') -> known m
Coq < | decomp_r : forall m m', known (P m m') -> known m'
Coq < | compose
                : forall m m',
                   known m -> known m' -> known (P m m')
Coq <
Coq < | crypt : forall m a, known m -> known (Enc m a)
Coq < | decrypt : forall m a,
Coq <
                  known (Enc m a) -> known (SK a) -> known m.
```

Coq < End Protocol.

The protocol is correct if the fact that Bob receives the acknowledgment (the nonce he generated for Alice) means that the protocol was initiated by Alice to talk with Bob. Also in that case, the nonces which are generated by Alice and Bob for each other should remain a shared secret. With this version, it is possible to prove that the protocol goes wrong, namely Alice starts the protocol with the intruder and Bob gets the acknowledgment.

Coq < Lemma flaw : receive I B (Enc (Nonce (B,A)) B). Coq < Lemma flawB : known I (Nonce (B,A)).

4 Functional programming with Coq

In this section, we show how to represent in COQ programming constructions more elaborated than the "mathematical" functions we defined earlier.

4.1 Partiality and dependent types

An object of type $A \to B$ in CoQ is a total function. Given a value of type A, the evaluation always terminates and gives a value of type B. If the function we want to implement is partial, there are several possibilities:

- choose an arbitrary value in B to extend the function. This solution does not work for polymorphic functions when B is a type variable because CoQ types might be empty.
- use an option type to represent 0 or 1 value. The main drawback is that the function has now a type $A \rightarrow \text{option } B$ so case analysis is needed when using this function. This can be partially hidden with monadic notations.
- Consider the function as a relation F of type $A \to B \to \text{Prop.}$ We have then to prove the functionality of the relation (at most one output), each time we want to mention f(x), we shall introduce a variable y and an hypothesis F x y. The relation also does not carry a priori an algorithm to compute the value of the function.

Introducing Logic in Types. The COQ language allows to mix freely types and properties.

For instance, it is possible to add an explicit precondition to a function. Assuming our function f is only defined on a domain dom, we can define it as:

 $f: \forall x: A, \operatorname{dom} x \to B$

Each call to f a requires a *proof* p of dom a and will be internally represented as: f a p. We can *partially hide* the proof in a subset type: $f : \{x : A | \text{dom } x\} \to B$. Internally, the call f a is represented by the term f(a, p). The COQ definition of the subset type is:

```
Coq < Print sig.
Inductive sig (A : Type) (P : A -> Prop) : Type :=
    exist : forall x : A, P x -> sig P
```

High-level tools like *Program* and *type classes* help separate programming from solving proof obligations. Such that the user notations will remain close to ordinary programming.

Using Subset Types for Specifications. A proposition can also be used to restrict the image of a function, like in:

$$S: \mathtt{nat} \to \{n: \mathtt{nat} | 0 < n\}$$

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The restriction can depend on the input:

 $next: \forall n: \mathtt{nat}, \{m: \mathtt{nat} | n < m\}$

Other useful dependent types are the constructive unions. Objects in sumbool are like booleans but a boolean value coming with the evidence that a property (A for true and B for false) is true.

```
Coq < Print sumbool.
Inductive sumbool (A B : Prop) : Set :=
    left : A -> {A} + {B} | right : B -> {A} + {B}
```

For instance the following property expresses the fact that the order on natural numbers is decidable:

Coq < Check forall n m:nat, $\{n \leq m\} + \{m < n\}$.

Objects in **sumor** are like option values with the **None** case being associated with a property. It is useful in programs which have an exceptional case where no value is computed but some information on the input is established. For instance the following property expresses the fact that for any natural numbers, one can find a smaller number or one can establish that the input is 0.

Coq < Check forall n, $\{m \mid m < n\} + \{n=0\}$.

Annotated Programs. The advantage of annotated programs is that both program and proof are developed simultaneously. The specification is available each time the program is used. The drawback is that inside CoQ, the program contains proof-terms: printing, checking equality, reduction can become awful. CoQ currently misses a systematic proof-irrelevance mechanism that will remove internally the dependency of terms with respect to proofs. A system like PVS for instance completely ignore proof-terms. In HOL based systems, it is not possible to build dependent types. In CoQ, the extraction mechanism [28] allows to remove the part in programs related to logical proofs and convert the resulting term in an equivalent Ocaml or Haskell program.

4.2 Well-founded induction and recursion

We have seen that primitive fixpoint definitions in CoQ are only based on a simple structural recursion. So we need more sophisticated ways to define general algorithms. Typical examples are loops:

let f n = if p n then n else f (n + 1)

or general well-founded fixpoints

let
$$f x = t(x, f)$$

where call to f y in t is such that y < x for a *well-founded* relation (no infinite decreasing sequence).

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The main trick is to introduce an inductive definition which captures the termination argument and to do the structural recursion on that argument.

For instance, the loop construction only terminates when there exists $m \ge n$ such that pm = true. One can define inductively a predicate Event on natural numbers that captures the fact that P is true now or in the future.

```
Coq < Inductive Event P (n : nat) : Prop :=
Coq < Now : P n -> Event P n
Coq < | Fut : Event P (S n) -> Event P n.
```

Now we can prove that if P is not true now at time n, then it will be true somewhere in the future of time n + 1.

```
Coq < Lemma Event_next P n : ~ P n -> Event P n -> Event P (S n).
```

This is done by case analysis on the proof p of Event Pn. The first case p = Now q is eliminated by contradiction because we have both Pn and $\neg Pn$. The second case p = Fut q is trivial because q is a proof of Event P(Sn). We remark that the proof we obtain for Event P(Sn) is a subterm of the original proof of Event Pn.

```
Coq < Print Event_next.
Event_next =
fun (P : nat -> Prop) n (notP : ~ P n) (e : Event P n) =>
match e with
| Now H => match notP H return (Event P (S n)) with end
| Fut H => H
end
: forall (P : nat -> Prop) n, ~ P n -> Event P n -> Event P (S n)
```

To construct the loop function, we need the P predicate to be decidable. The following fixpoint construction is valid in Coq.

```
Coq < Fixpoint findP P (dec:forall n,{P n}+{~P n}) n (e:Event P n):nat:=
Coq < match dec n with
Coq < left _ => n
Coq < | right notPn => findP dec (n:=S n) (Event_next notPn e)
Coq < end.</pre>
```

The extraction mechanism gives us back exactly the function we wanted to write.

```
Coq < Extraction findP.
(* val findP : (nat -> sumbool) -> nat -> nat *)
let rec findP pdec n =
  match pdec n with
  | Left -> n
  | Right -> findP pdec (S n)
```

A more general way to define a function using fixpoints is to rely on a well-founded ordering. We want to introduce a function $f : A \to B$ satisfying the equation:

$$\mathbf{let}\,f\,x = t(x,f)$$

and to ensure that recursive calls are done on smaller instances, we may require the term t to have type $t: \forall x : A, (\forall y : A, y < x \rightarrow B) \rightarrow B$. We may actually

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generalize the type of f to cover dependent types and have $f : \forall x : A, P(x)$. The term t will have type: $\forall x : A, (\forall y : A, y < x \to P(y)) \to P(x)$. In CoQ libraries, a combinator for well-founded fixpoint is predefined, and the fixpoint equation is proved.

```
Coq < Check Fix.
Fix
: forall (A : Type) (R : A -> A -> Prop),
    well_founded R ->
    forall P : A -> Type,
    (forall x : A, (forall y : A, R y x -> P y) -> P x) ->
    forall x : A, P x
```

```
Under the appropriate hypotheses, the fixpoint equation Fix\_eq states:
forall x, Fix Rwf P F x = F x (fun (y : A) (_ : R y x) => Fix Rwf P F y)
The fixpoint is on the proof of well-foundness of the relation, which is itself a
proof that every object in A is accessible for the relation R.
```

The Optimized Linear Search. In this problem the array has an extra property that successive elements do not decrease by more than one (but they may increase arbitrarily). Now the linear search can be changed to a sub-linear search: if the first element a of the list in non-zero then instead to consider the rest of the list, on can skip a elements.

First we can introduce an inductive definition for the limited decreasing property:

```
Coq < Inductive decrease : list nat -> Prop :=
Coq < decrease_nil : decrease nil
Coq < | decrease_cons : forall a b l,
Coq < decrease (b::l) -> a <= S b -> decrease (a::b::l).
Coq < Hint Constructors decrease.</pre>
```

We shall use the function skipn from the List library which removes the first elements of a list. The definition we want looks like:

```
Coq < Fixpoint linear2 n (l:list nat) : option nat :=
Coq < match l with nil => None
Coq < | a::m => if zerop a then Some n
Coq < end.
Error: Cannot guess decreasing argument of fix.
```

However, it is not accepted by COQ because there is no evident structural recursion. Actually this function terminates because the length of (skipn (a-1)m)is not greater than the one of m which is less than the one of l. So we have to move to a general recursion involving a well-founded ordering (a simple measure given by the length of the list in that case).

COQ provides special tools to write programs containing logical parts while solving these parts using tactics. This is the **Program** facility designed by M. Sozeau [35].

```
34 Christine Paulin-Mohring
```

We have one obligation to solve in order to make sure the recursive call decreases the measure. This property comes from the following lemma proved by induction on l:

```
Coq < Check skip_length.
skip_length : forall A n (l:list A), length (skipn n l) <= length l</pre>
```

We now solve the obligation:

If we want to prove the correctness of this program, one can proceed as before except that we will have to follow the definition scheme of the function, namely a well-founded induction, then a pattern-matching on l then a case analysis on the head value.

It is more convenient to do the proof while building the function, and the **Program** environment will also help doing that. We enrich the return type of the function with the property we expect using the CoQ construction for $\{x : A | P\}$.

We shall need the following properties of decrease:

```
Coq < Lemma decrease_skip :
Coq < forall n l, decrease l -> decrease (skipn n l).
Coq < Lemma decrease_correct_skip :
Coq < forall l, decrease l ->
Coq < forall m n, n <= hd 0 l -> correct m (skipn n l)
Coq < -> correct (n+m) l.
Coq < Lemma skip_correct :
Coq < forall n l, correct n l ->
Coq < forall n l, correct n l ->
Coq < forall m, m <= n -> correct m (skipn (n-m) l).
```

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The fixpoint definition looks now like:

```
Coq < Program Fixpoint linear3 n (l:list nat) {measure (length l)} :
Coq < {res : option nat | decrease l ->
Coq < forall k, res=Some k <-> (n<=k /\ correct (k-n) l)}
Coq < := match l with nil => None
Coq < | a::m => if zerop a then Some n
Coq < end.</pre>
```

It generates 4 proof obligations (correctness in the three branches and termination) that can be displayed using the command **Obligations**.

Other Examples. Direct functional programming in COQ has been used for the development of quite impressive programs including compilers, static analyzers, kernels of the COQ system itself or the SMT solver alt-ergo...All these examples are related to symbolic computation which is not surprising. The existence of primitive inductive definitions in COQ makes direct the definition of abstract syntax trees or the definition of language semantics using inference rules. These programs will be much more complicated to develop in more traditional programming languages using first-order logic.

4.3 Imperative Programming

CoQ embed a pure functional language. However, it is possible to capture non functional behaviors using monadic constructions like in Haskell for instance. First, we introduce for each type A, a type comp A which represents computations leading eventually a value of type A. Then we need two standard functions return and bind. The function return (aka unit) has type $A \to \text{comp } A$ and return v represents the value v seen as the result of a computation; the function bind has type comp $A \to (A \to \text{comp } B) \to \text{comp } B$, bind passes the result of the first computation to the second one. We shall use the syntax: "p <- e1; e2" for bind e_1 (fun $p \Rightarrow e_2$). The monadic construction can be integrated in CoQ using the type classes mechanism.

```
Coq < Class Monad (comp : Type -> Type) : Type :=
Coq < {ret : forall {A}, A -> comp A;
Coq < bind : forall {A B},
Coq < comp A -> (A -> comp B) -> comp B
Coq < }.
ret is defined
bind is defined
```

Given a type transformer comp, the type class Monad comp encapsulates the two operators return and bind. In general, there will be one instance of Monad for each different comp operator. The type classe mechanism is useful to share the same notations between different structures. Given the comp operator, the system will be looking automatically for an object in Monad comp.

```
Coq < Notation "X <- A ; B" := (bind A (fun X => B))
Cog <
         (at level 30, right associativity).
Cog < Notation "'return' t" := (ret t) (at level 1).
We can deduce the join operator of monads in a generic way:
Coq < Definition join comp {_:Monad comp} A (t:comp (comp A))
Coq <
           : comp A := X < - t; X.
join is defined
The monad of errors can be defined using an option like type definition.
Coq < Inductive Error A := Raise:Error A | Val:A->Error A.
Coq < Implicit Arguments Raise [[A]].
Coq < Definition bindError A B (a:Error A) (f:A->Error B)
Coq < : Error B :=
Cog <
        match a with | Raise => Raise | Val a => f a end.
Coq < Instance ErrMonad : Monad Error
           := {ret := Val; bind := @bindError}.
Coq <
On can use this monadic construction to define naturally a map function possibly
raising errors:
```

```
Coq < Fixpoint mape A B (f:A->Error B) l : Error (list B) :=
Coq < match l with | nil => return nil
Coq < | (a::m) => b <- f a; mb <- mape f m;
Coq < return (b::mb)
Coq < end.
mape is recursively defined (decreasing on 4th argument)
```

Similarly, a state monad can be defined to simulate references.

```
Coq < Definition State st A := st -> A * st.
```

Similar approaches works for non-deterministic programs, probabilistic programs [4], and other constructions.

4.4 The Linked Lists Example

Memory Representation. The previous monadic approach for states does not consider aliases in programs. If we need to deal explicitly with possible sharing we can introduce a functional representation of memory and addresses.

This can be done to model linked lists. We can take \mathbb{Z} for the set of addresses and add a special value for the null pointer. We define a node in a linked list as a record with a field for the value (here a natural number) and a next field with the address of the rest of the list. A record is a special case of inductive definition where there is only on constructor. The system derives automatically terms for the two projections value of type node \rightarrow nat and next of type node \rightarrow adr. Then the heap is a partial function from addresses to node which is represented as a total function from \mathbb{Z} to option node. Introduction to the Coq proof-assistant for practical software verification 37

```
Coq < Definition adr := option Z.
Coq < Definition null : adr := None.
Coq < Record node : Type := mknode { value : nat ; next : adr}.
value is defined
next is defined
Coq < Definition heap := Z -> option node.
Coq < Definition val (h : heap) (a : adr) : option node
Coq < := match a with None => None | Some z => h z end.
```

The state of the program will be the heap.

An alternative representation of memory would be to introduce more static separation (model à la Burstall-Bornat) by integrating the fact that the fields **value** and **next** will never be aliased, so we can consider that we have two different memories for each field, we keep separately a table **h** of allocated addresses. The state of the program consists of these three objects.

```
Coq < Definition value_m := Z -> nat.
Coq < Definition next_m := Z -> adr.
Coq < Definition val_m (h:Z->bool)(vm:value_m)(nm:next_m)(a:adr)
Coq < : option node := match a with
Coq < : option node := match a with
Coq < : None => None
Coq < : Some z => if h z then Some (mknode (vm z) (nm z))
Coq < : else None
Coq < : end.</pre>
```

With any of these models, we can specify logically the validity of addresses, and absence of aliases. If needed, high-level rules such as the one of separation logic can be encoded. For instance the Ynot project (Morrisett, Harvard) is a Coq library to reason on imperative programs with separation logic.

Properties of linked lists. We define the property for an object in an option type to be different of None.

```
Coq < Definition alloc A (a:option A) : Prop
Coq < := match a with Some _ => True | None => False end.
```

It is equivalent to $a \neq None$ but defined in a computational way: a proof of alloc *a* will reduce either to True or False. We define another partial function for access but instead to output an optional type, it takes an extra argument as input which ensures the value exists.

```
Coq < Definition access h (a:adr): alloc (val h a)->nodeCoq < := match (val h a) as x return alloc x -> nodeCowith None => fun (H:False) => False_rect _ HCo| Some n => fun (H:True) => nCoq <</td>end.
```

We see here an example of dependent pattern matching:

match t as x return P with $p_1 \Rightarrow c_1 | \dots p_n \Rightarrow c_n$ end

The type of the **match** expression is $P[x \leftarrow t]$ and in each branch, x is substituted by the pattern.

In the first case we have to build an object in the type alloc None \rightarrow node but because alloc None is equivalent to False this branch will never be accessed, so we provide a dummy element built form the proof of False.

In CoQ all functions have to be total and terminating. If a list is cyclic or at some point an address is not allocated then the program will go wrong. So we introduce a predicate depending on an address and a heap which captures that following the links we always find allocated addresses until we reach the null address.

```
Coq < Inductive LList (h : heap) (a:adr) : Prop :=
Coq < mkLL : forall (LLa : alloc a -> alloc (val h a)),
Coq < (forall (p:alloc a), LList h (next (access h a (LLa p))))
Coq < -> LList h a.
```

It says that (LListh a) if whenever a is not null, it is allocated in the heap and the next address is itself a well-formed list. The strange form comes from the fact that the access function depends on a proof that the value in not None.

We easily derive the expected properties:

```
Coq < Lemma LL_null : forall h, LList h null.
```

Coq < Lemma LL_cons : forall h a (q:alloc (val h a)), Coq < LList h (next (access h a q)) -> LList h a.

We can also prove the other direction :

```
Coq < Lemma LL_alloc_val : forall h a,
Coq < LList h a -> alloc a -> alloc (val h a).
Coq < destruct 1; trivial.
Coq < Defined.</pre>
Coq < Lemma LL_next : forall h a (L:LList h a) (p:alloc a),
```

```
Coq < LList h (next (access h a (LL_alloc_val L p))).
Coq < unfold LL_alloc_val; destruct L; trivial.
Coq < Defined.
```

We use the keyword **Defined** instead of **Qed**. In COQ a constant can be defined as **Opaque** and will never be unfolded or reduced, which is the expected behavior for most theorems. Or it can be declared as transparent. In this case, the proof of **LList** will be used inside COQ to control fixpoint definitions and need to be transparent, which is obtained with the **Defined** command.

Now, in order to build a function by following the links starting from an address a which corresponds to a well-formed list, we use a fixpoint that will be structurally decreasing on the proof of (LListha).

We first introduce a program to test whether or not an address is null.

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```
Coq < Definition nullp (a:adr) : {a=null}+{alloc a}.
Coq < destruct a; simpl; auto.
Coq < Defined.</pre>
```

As a first example, we build a logical list from a well-formed linked list.

```
Coq < Variable h : heap.
Coq < Fixpoint LL_list (a:adr) (La: LList h a) : list nat :=
Coq < match nullp a with
Coq < left p => nil
Coq < left p => value (access h a (LL_alloc_val La p))
Coq < ::LL_list (LL_next La p)
Coq < end.
LL_list is recursively defined (decreasing on 2nd argument)
```

If we want to prove the fixpoint equation, we need a case analysis in the proof of LList.

```
Coq < Lemma LL_list_eq : forall (a:adr) (La: LList h a),
Coq < LL_list La = match nullp a with
Coq < left p => nil
Coq < | right p => value (access h a (LL_alloc_val La p))
Coq < ::LL_list (LL_next La p)
Coq < end.
Coq < destruct La; trivial.
Coq < Qed.</pre>
```

Linear Search. Doing the naive linear search follows the same scheme:

```
Coq < Fixpoint LL_linear (a:adr) (La:LList h a) n : option nat :=
Coq < match nullp a with
Coq < left p => None
Coq < | right p => if zerop (value (access h a (LL_alloc_val La p)))
Coq < then Some n
Coq < end.
LL_linear is recursively defined (decreasing on 2nd argument)
```

It is possible to specify this program using the same predicate **correct** as before:

The proof goes by induction on the proof La of (LList ha) but because LList has type Prop, the induction principle automatically generated by COQ is not powerful enough.

-> (forall p : alloc a, P (next (access h a (LLa p))))
-> P a)
-> forall a : adr, LList h a -> P a

We need a principle which allows to prove $\forall a (La : \texttt{LList} h a), P \ a \ La$. With the property to be proved depending on the proof of (<code>LList</code> h a). There is a special command to derive this more powerful principle:

```
Coq < Scheme LList_indd := Induction for LList Sort Prop.
LList_indd is recursively defined
```

Then the proof of the lemma starts with:

```
Coq < induction La using LList_indd; simpl; intros.
1 subgoal
  a : adr
 LLa : alloc a -> alloc (val h a)
 l : forall p : alloc a, LList h (next (access h a (LLa p)))
  H : forall (p : alloc a) (n k : nat),
      LL_linear (l p) n = Some k \langle - \rangle
     n \le k / correct (k - n) (LL_list (l p))
 n : nat
  k : nat
  ------
  match nullp a with
   | in_left => None
   | right p =>
      if zerop (value (access h a (LLa p)))
      then Some n
       else LL_linear (l p) (S n)
   end = Some k <->
  n \le k / 
  correct (k - n)
    match nullp a with
     | in_left => []
     | right p => value (access h a (LLa p))::LL_list (l p)
     end
Coq < case (nullp a); intros.
2 subgoals
  a : adr
 LLa : alloc a -> alloc (val h a)
  l : forall p : alloc a, LList h (next (access h a (LLa p)))
  H : forall (p : alloc a) (n k : nat),
     LL_linear (l p) n = Some k \langle - \rangle
     n \le k / correct (k - n) (LL_list (l p))
 n : nat
 k : nat
  e : a = null
  None = Some k <-> n <= k /\ correct (k - n) []
```

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```
subgoal 2 is:
(if zerop (value (access h a (LLa a0)))
then Some n else LL_linear (l a0) (S n)) = Some k
<->
n <= k
/\ correct (k-n) (value (access h a (LLa a0))::LL_list (l a0))
```

The rest of the proof is quite similar to the proof using logical lists.

5 Automating proofs

In this section, we give an overview of different ways to use automation in Coq including a brief overview of the tactic language Ltac and of proofs by reflection.

5.1 Existing Automated Tactics.

We have already mentioned and used basic automated tactic in Coo. The auto and trivial tactics combine lemmas given by the user. Some tactics like tauto, firstorder and intuition deal with the propositional structure of propositions. There are generic tactics like ring and field to deal with algebraic manipulations in specific structures; the user can extend these tactics for new datatypes and operations. A tactic like omega implements a decision procedure for linear arithmetic. There are also more specialized tactics. gappa and interval (G. Melquiond) deal with floating point numbers and real numbers (using computation on floating point numbers to establish results on natural numbers). Psatz (F. Besson and E. Makarov) deals with arithmetic over ordered rings; Nsatz (L. Pottier) solves equalities in integral domains; ergo (S. Lescuyer) implements a small SMT solver. There is an integrated library implementing rewriting modulo Associativity-Commutativity developed (Th. Braibant, D. Pous). These tactics are fully integrated in Coq. Some other approaches use external tools as oracles producing traces. Early experiments were done with resolution (M. Bezem, D. Hendriks and H. de Nivelle). Several attempts are dealing with rewriting tools: first with Elan (C. Alvarado, P. Crégut) and with CiME and the corresponding Coq library coccinelle (E. Contejean, X. Urbain et al.) and also the color library (F. Blanqui). As mentioned earlier, there is ongoing work on integrating SAT/SMT solvers : MiniSat, VeriT (B. Grégoire, C. Keller et al.)

Some of the algorithms behind these tactics are quite involved. They are mainly developed at the Ocaml level. CoQ has a plugin mechanism which allows to dynamically load new developments containing Ocaml code. So a new tactic can be developed independently of the system itself.

An important point is that the tactic will always provide a proof-term that is checked again by the CoQ trusted kernel. So using third-party tactics will not lead to accept a false proposition as a theorem.

5.2 A Language for Writing Tactics.

Writing a powerful tactics like the ones mentioned before is a lot of work and requires to understand part of the internals of CoQ. For many simple tasks, we can work at a higher level. The Ltac language designed by D. Delahaye [19] allows to write complex tactics without writing ML code. The language implements many useful schemes like (non-linear) pattern-matching on goals, generation of fresh names (fresh name), type-checking (type of term), and the programming capabilities of CoQ can be used for integrating complex data-structures. Examples of pattern-matching constructs are:

```
match goal with
    id:?A /\ ?B |- ?A => destruct id; trivial
    | _ => idtac
end
match goal with |- context[?a+0] => rewrite ... end
```

These constructions are tactics that can be used anonymously everywhere in a proof. It is also possible to declare tactics with arguments like in the following examples related to our **board** example.

```
Coq < Ltac triple_force tac t :=
Coq < let x := fresh "x" in
Coq < let y := fresh "y" in
Coq < let z := fresh "z" in
Coq < destruct t as (x,y,z); tac x; tac y; tac z.
triple_force is defined
```

The tactic triple_force takes as argument a tactic tac and a term t. It will work when t has type triple M. It destructs t generating three new names for each component and applies sequentially the tactic tac to these three new objects. Remember a board is a triple of triples of colors. Given a term t of type board, the tactic board_force will generate a new color variable for each place in the board and apply tac to each of them.

```
Coq < Ltac board_force tac t :=
Coq < let tac1 := triple_force tac in triple_force tac1 t.
board_force is defined
```

These user defined tactics can now be used like other tactics.

5.3 Reflexive Tactics

An important rule of the theory of CoQ is the convertibility rule:

$$\frac{\varGamma \vdash U:s \qquad \varGamma \vdash t:T \qquad T \equiv U}{\varGamma \vdash t:U}$$

It says that a proof of a proposition T is also a proof of U when T and U are convertible (equal modulo computation). A consequence of that rule is that computation is part of proof verification (this is why termination is so important

to keep decidability of type-checking). All languages with possible computations inside formulas integrates this sort of rule. A specificity of CoQ is that, the set of terms integrates a powerful programming language (functional kernel of CAML), so heavy computation can occur during type-checking. A good news is that this capability can be really helpful for conducting proofs. The four-color theorem heavily relies on this possibility and more and more tactics are developed directly in CoQ thanks to this computational power. In the meantime, it was necessary to improve the efficiency of reduction techniques inside the kernel of CoQ. B. Grégoire introduced byte-code compiling, and more recently there has been work for integrating machine integers and primitive arrays.

Principle of Reflexive Tactics. We illustrate the principle of reflexive tactics on a typical example.

We want to prove a property P. We know of a (complex) mechanical way to prove P depending on the structure of P. One could use Ltac to combine existing tactics and build the proof but it can be inefficient and create very large proof terms.

So another idea is to try to program the tactic inside CoQ itself. One cannot directly reason inside CoQ on the structure of the proposition but one can introduce a new concrete type A (for instance an abstract syntax tree for the formula) and the only thing we need is an interpretation r2P from A to Prop plus a specific element p: A such that (r2Pp) is equivalent to the formula P we want to prove.

Now that we have a concrete type A, we can concentrate on implementing inside CoQ whatever algorithm we like **r2b**, which should tell us for a : A whether the corresponding proposition **r2P** a is provable.

So we expect the algorithm r2b to be of type $A \rightarrow bool$ and to satisfy the correctness property:

$\operatorname{rcor}: \forall a: A, \operatorname{r2b} a = \operatorname{true} \to \operatorname{r2P} a$

An algorithm which always answers **false** will be correct but useless. However no completeness is required.

Combining the different results, the term $\mathbf{rcor} p$ has type $\mathbf{r2b} p = \mathbf{true} \rightarrow P$ so we are left to prove that our complex program evaluates to \mathbf{true} . The good news is that this proof is just a computation as soon as p is a closed term (without free variable). When we compute a closed term of type **bool** we can only get the values **true** or **false** as a result and we can now use the computation rule:

$$\frac{\texttt{refl}_{eq}:\texttt{true}=\texttt{true}}{\texttt{refl}_{eq}:\texttt{r2b}\,p=\texttt{true}}$$

The proof term is completely trivial, all the proof checking is done by the computation as part of the equivalence-checking.

Finally, the term rcor p (eq_refl true) is well-typed of type P exactly when $r2b p \equiv true$.

The first difficulty in implementing this method is that, we need to find for each formula P to be proven, a closed term p: A such that $r2P p \equiv true$. This is the reification part, usually done by ad-hoc tactics working with the proposition P. The object p can also reflect the trace of a proof of P done by an external tool. The term r2b p needs to reduce to a boolean value so p (or the part of pneeded for computation) should be a closed term. The term p should preferably be small (otherwise the final proof term will be large). The main problems are that r2b needs to be proven correct and its reduction needs to be efficient.

This techniques is used in several COQ automated tactics like Ring, (R)Omega, Setoid Rewrite... and also in interfaces between COQ and other systems using traces.

Example of Reification. We give an example of reification techniques with an attempt to capture the structure of propositions (just True and False propositions and the conjunction). The abstract syntax tree will contain a Var n term for each sub-proposition that cannot be interpreted and an environment (represented here by a list of propositions), will keep track of the proposition associated with each variable.

```
Coq < Inductive form : Set :=
Coq < T | F | Var : nat -> form
Coq < | Conj : form -> form -> form.
Coq < Definition env := list Prop.
```

It is easy to define the interpretation of a formula (we first define the access function in the environment).

```
Coq < Fixpoint find_env (e:env) (n:nat) :=
Cog <
         match e with nil => True
Coq <
                     | cons a 1 =>
Coq <
                          match n with 0 \Rightarrow a
                                      | S p => find_env l p
Coq <
Coq <
                          end
         end.
Coq <
Coq < Fixpoint f2P e (f:form) {struct f} : Prop :=
Coa <
       match f with
          T => True | F => False
Cog <
Cog <
        | Conj p q => f2P e p /\ f2P e q
        | Var n => find_env e n
Coq <
Coq < end.
We can now run an example:
```

```
Coq < Definition e := (True::False::(0=0)::nil).
Coq < Eval compute in
Coq < (f2P e (Conj (Var 0) (Conj (Var 1) (Var 1)))).
= True /\ 0 = 0 /\ False : Prop
```

We show a more involved use of Ltac to do the reification automatically. Ltac is first used to compute from a CoQ proposition, both an environment and the

corresponding formula which introduces variables each time the proposition is not **True**, **False** or a conjunction.

```
Coq < Ltac env_form 1 f := match f with
Cog <
         True => constr:(1,T)
Coq <
       | False => constr:(1,F)
       | ?A / ?B \Rightarrow match env_form 1 A with (?11,?A1) =>
Coq <
Coq <
                       match env_form l1 B with (?l2,?A2) =>
Coq <
                                       constr:(12,Conj A1 A2)
Coq <
                       end
Coq <
                      end
Coq <
       | ?A => let n := eval compute in (length 1)
Cog <
                    in constr:(cons A l,Var n)
Coq <
               end.
env_form is defined
```

Then the reification function computes the environment and the formula and applies the **change** tactic which will fail if the two expressions are not convertible.

```
Coq < Ltac reify := match goal with |- ?P =>
Coq < match (env_form (nil (A:=Prop)) P) with (?1,?f) =>
Coq < let e := eval compute in (rev l) in change (f2P e f) end
Coq < end.</pre>
```

Reification can be tested on a concrete example.

We see on this example that the two instances of 0=0 are not shared, a more sophisticated reification techniques can be implemented to avoid this behavior. Using reflexive techniques, one could design a simple tautology checker on the type form or extending the method to do trivial simplifications in the formula.

Application to the Board. Because a board has only a finite (but large) number of possible states, it is possible to design a reflexive tactic for deciding $\forall b : board, P(b) = true$. The relation moves between two boards can also be decided by looking at the appropriate invariant on the boards and this strategy can easily be implemented using a reflexive tactic.

6 Conclusion

In this tutorial, we have presented the basic constructions of the CoQ proof assistant and we illustrated them on examples of (small) software verification taken from different classes of problems. We did not cover all aspects of CoQ (modules, coinductive definitions, coercions, the **ssreflect** proof language are

important notions not covered here) and we just quickly mentioned other important features such as the tactic language, type classes... The interested user will need to use other material like the CoQ reference manual [36] or other references mentioned in the introduction [7, 34, 13].

Our purpose was to illustrate some of the main features of CoQ including inductive definitions, dependent types but also to give an overview of more elaborated libraries that can be reused and adapted to solve certain class of problems. A proof assistant is like a programming environment: you can use it because you like the language primitives or because it offers a good set of pre-developed libraries (efficient, easy to reuse) that will make your programming task easier.

Why and When should I use COQ ? COQ is not a direct tool to find bugs in C, Java, concurrent programs. But as a computer scientists specialized in formal methods and software verification, our job is mainly to design methods, tools to help others write correct programs!

CoQ is *helpful* for developing complex mathematical proofs with high guaranty. This can be checking *theorems* in papers. During the last years, more and more community of researchers have questioned their ability to check the correctness of proofs in academic papers. It is the case in the area of theory of programming languages (see the POPLmark Challenge [31]), computational cryptography [5], computer arithmetic [26] and certain "computational" mathematical proofs like the Kepler's conjecture (see the Flyspeck project [24]).

CoQ can also be used as a *back-end* for program verification (when program correctness has been reduced to logical propositions) and also to develop and prove *pure functional* programs which can be abstract representation of algorithms or tools fully developed in a functional style.

CoQ is not a tool that will magically *solve* your equation or your problem, but it is a general purpose language in which you can learn how to *program* your own solution. The entry cost is a lot *higher*, and it is not easy but the possibility are much *larger*. If you are lucky, somebody has developed a similar application that you can reuse.

Using a Theorem Prover as a Back-End. Some software verification techniques reduce program correctness to logical statements. Then the problem is to make sure these logical statements are correct.

One solution is to use an automatic first-order theorem prover or a SMT tool. These tools are automatic and very powerful. However, they may fail to prove a correct statement in which case you will have to better understand the algorithms in order to help the tool solve your problem. When they succeed, there is the question of could you trust or not the result ? There is always a possibility of a bug in the program (these tools involve complex algorithms and optimization methods) but even without bugs in the tool, there is the possibility that the theory you introduced for modeling your problem is inconsistent.

If instead you use a proof assistant based on a higher-order logic, the proof will be mainly interactive. It requires more expertise and time but there are few theoretical limitations on the extend of proofs you can do. Also it is possible to build models of the theory you are using that will avoid the pitfall of an inconsistent context, so the development in general will be much more reliable.

Where Automated Deduction Meets Proof Assistants. During the last years, there has been closer interactions between the two worlds: most first-order theorem provers produce traces that can be checked by an independent party. Proof assistants also provides automated strategies (either internal or external or with a combination of both). One question is: Do we have to choose ?

A tool like the WHY3 PLATFORM [8] gives the possibility to describe problems in a high-level language (polymorphic multi-sorted logic including functions, algebraic data-types, axioms, lemma, modules) and to translate them efficiently to *multiple provers* including proof assistants, SMT/TPTP solvers, or specialized tools like GAPPA for floating-point arithmetic [18]. The WHY3 PLATFORM is used as a back-end for other environments for software verification like the Frama-C platform for Static analysis tools for C programs (CEA LIST & INRIA, B. Monate, L. Correnson [20]). Using this platform, you can submit your set of logical problems to many different provers and be happy if at least one of them solve each goal. You can then focus on the one which are left and try them using interactive proofs in a proof assistant.

A frequent question is also *Which proof assistant should I use?* There is no clear answer, using CoQ or Isabelle/HOL for instance can be compared to the question using Ocaml or Haskell? The choice may depend on *ideological* reasons: do you prefer classical or intuitionistic logic? which trust base are you ready to accept? do you need dependent types? Often the choice will be based on more *practical* reasons: it is used in my team/company, an expert seats next door, I learned it at school, the library I need exists in that proof assistant...

What is true is that great achievements have been obtained by great people in all proof assistants Coq, HOL, PVS ... and that *biodiversity is healthy!*

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