## Type Theory and Coq 2017-2018 02-07-2018

- 1. This exercise is about simply typed lambda calculus and propositional logic.
  - (a) Give the most general type of the untyped lambda term:

$$\lambda xyz. (\lambda v. yx)(\lambda w. zw)$$

$$a \to (a \to b) \to (c \to d) \to b$$

(b) Write the term from the previous subexercise in Church-style typed lambda calculus, i.e., with explicit types in the lambda bindings, such that it has the type from your answer to the previous subexercise.

$$\lambda x : a. \lambda y : a \to b. \lambda z : c \to d. (\lambda v : c \to d. yx)(\lambda w : c. zw)$$

(c) Give a proof of the propositional formula

$$a \to (a \to c) \to (b \to c) \to c$$

that contains a detour.

$$\begin{array}{c} \displaystyle \frac{[a \to c^y] \quad [a^x]}{c} E \to \\ \\ \displaystyle \frac{c}{(b \to c) \to c} I[v] \to \\ \\ \displaystyle \frac{c}{(b \to c) \to c} I[z] \to \\ \\ \displaystyle \frac{c}{(b \to c) \to c} I[z] \to \\ \\ \displaystyle \frac{c}{(a \to c) \to (b \to c) \to c} I[y] \to \\ \\ \displaystyle \frac{a \to (a \to c) \to (b \to c) \to c}{a \to (a \to c) \to (b \to c) \to c} I[x] \to \end{array}$$

The detour is the  $E \rightarrow$  elimination that directly follows the  $I[v] \rightarrow$  introduction.

(d) Give the normal form of this proof.

$$\begin{array}{c} \displaystyle \frac{\left[ a \rightarrow c^y \right] \quad \left[ a^x \right]}{c} E \rightarrow \\ \displaystyle \frac{c}{\left( b \rightarrow c \right) \rightarrow c} I[z] \rightarrow \\ \displaystyle \frac{\overline{(a \rightarrow c) \rightarrow (b \rightarrow c) \rightarrow c}}{a \rightarrow (a \rightarrow c) \rightarrow (b \rightarrow c) \rightarrow c} I[y] \rightarrow \\ \displaystyle I[x] \rightarrow \end{array}$$

(e) Give the proof term that correspond to the normalized proof from the previous subexercise.

$$\lambda x: a. \lambda y: a \to c. \lambda z: b \to c. yx$$

(f) Give the full type derivation in simply typed lambda calculus of the term from the previous subexercise.

You may use abbreviations for contexts, if convenient.

## We use the abbreviation

$$\Gamma := x : a, y : a \to c, z : b \to c$$

The derivation then is:

$\overline{\Gamma \vdash y: a \to c} \qquad \overline{\Gamma \vdash x: a}$
$\Gamma \vdash yx:c$
$x:a, y:a \to c \vdash \lambda z: b \to c. yx: (b \to c) \to c$
$\overline{x:a\vdash \lambda y:a\rightarrow c.\lambda z:b\rightarrow c.yx:(a\rightarrow c)\rightarrow (b\rightarrow c)\rightarrow c}$
$\vdash \lambda x: a. \lambda y: a \to c. \lambda z: b \to c. yx: a \to (a \to c) \to (b \to c) \to c$

(g) Is it possible to have two different  $\lambda \rightarrow$  terms  $M_1$  and  $M_2$ , i.e., with  $M_1 \neq_{\alpha} M_2$ , that are both in normal form and that are convertible, i.e., with  $M_1 =_{\beta} M_2$ ? If so, give an example of two such terms. If not, explain why this is not possible.

No, that is not possible, because  $\lambda \rightarrow$  has the Church-Rosser property. This implies that if  $M_1 =_{\beta} M_2$ , then  $M_1$  and  $M_2$  will have a common reduct M', i.e.,  $M_1 \twoheadrightarrow^*_{\beta} M'$  and  $M_2 \twoheadrightarrow^*_{\beta} M'$ . But if  $M_1$  and  $M_2$  are both in normal form, then they do not reduce and in both cases there are zero steps before reaching M'. Hence  $M_1 =_{\alpha} M'$  and  $M_2 =_{\alpha} M'$ , and therefore  $M_1 =_{\alpha} M_2$ .

- 2. This exercise is about *dependent types* and *predicate logic*.
  - (a) Give a proof in predicate logic of the formula:

$$\forall x. ((\forall y. \neg p(y)) \rightarrow \neg \forall y. p(y))$$

In this formula we have used the abbreviation  $\neg A := A \rightarrow \bot$ .

$$\begin{array}{c} \frac{[\forall y. \neg p(y)^{H_0}]}{\neg p(x)} E \forall & \frac{[\forall y. p(y)^{H_1}]}{p(x)} E \forall \\ \frac{\frac{\bot}{\neg \forall y. p(y)} I[H_1] \rightarrow}{\frac{(\forall y. \neg p(y)) \rightarrow \neg \forall y. p(y)}{(\forall y. \neg p(y)) \rightarrow \neg \forall y. p(y))} I[H_0] \rightarrow} \\ \frac{\forall x. ((\forall y. \neg p(y)) \rightarrow \neg \forall y. p(y))}{\forall x. (\forall y. \neg p(y)) \rightarrow \neg \forall y. p(y))} I \forall \end{array}$$

(b) Give the proof term in  $\lambda P$  that corresponds to this proof.

$$\lambda x : D. \lambda H_0 : (\Pi y : D. py \rightarrow \bot). \lambda H_1 : (\Pi y : D. py). H_0 x(H_1 x)$$

(c) Give the full context needed to type the term from the previous subexercise in  $\lambda P$ .

$$\perp : *, D : *, p : D \rightarrow *$$

(d) Give two  $\lambda P$  proof terms and their context for the predicate logic formula:

$$\forall x. \, p(x) \to p(x)$$

Give one proof term that uses the Curry-Howard isomorphism for  $\lambda P$ , and another proof term in which  $\lambda P$  is used as a logical framework with context

$$D: *, p: D \to * \vdash (\lambda x: D, \lambda H: px, H): \Pi x: D, px \to px$$

$$\begin{split} \Gamma_{\mathsf{pred}}, \ p : D &\to \mathsf{prop} \ \vdash \\ & \mathsf{forall\_intro} \left( \lambda x : D. \ \mathsf{implies} \left( p \ x \right) (p \ x) \right) \\ & \left( \lambda x : D. \ \mathsf{implies\_intro} \left( p \ x \right) (p \ x) \left( \lambda H : \mathsf{proof} \left( p \ x \right) . H \right) \right) \\ & : \ \mathsf{proof} \left( \mathsf{forall} \left( \lambda x : D. \ \mathsf{implies} \left( p \ x \right) (p \ x) \right) \right) \end{split}$$

3. This exercise is about polymorphism and second order propositional logic. We define disjunction impredicatively in  $\lambda \omega$ :

$$\mathsf{or}_2 := \lambda a : *. \, \lambda b : *. \, \Pi c : *. \, (a \to c) \to (b \to c) \to c$$

(a) Give the type of  $or_2$  in  $\lambda \omega$ .

 $* \to * \to *$ 

(b) Give the formula of second order propositonal logic that corresponds to the type

$$\operatorname{or}_2 a b \to \operatorname{or}_2 b a$$

after expanding  $or_2$ , where *a* and *b* are variables of type \*.

$$(\forall c. (a \to c) \to (b \to c) \to c) \to \forall c. (b \to c) \to (a \to c) \to c$$

(c) Give a proof in second order propositional logic of the formula from the previous subexercise.

$$\begin{array}{c} \displaystyle \frac{\left[\forall c. \ (a \to c) \to (b \to c) \to c^{H_0}\right]}{(a \to c) \to (b \to c) \to c} \ E \forall & [a \to c^{H_2}] \\ \hline \\ \hline \\ \displaystyle \frac{(b \to c) \to c}{(b \to c) \to c} \ E \to & [b \to c^{H_1}] \\ \hline \\ \displaystyle \frac{(b \to c) \to c}{(a \to c) \to c} \ I[H_2] \to \\ \hline \\ \displaystyle \frac{c}{(b \to c) \to (a \to c) \to c} \ I[H_1] \to \\ \hline \\ \displaystyle \frac{\forall c. \ (b \to c) \to (a \to c) \to c}{\forall c. \ (b \to c) \to (a \to c) \to c} \ I[H_0] \to \end{array}$$

(d) Give a  $\lambda \omega$  term with type

$$\Pi a: *. \Pi b: *. \operatorname{or}_2 a \, b \to \operatorname{or}_2 b \, a$$

 $\lambda a : *. \lambda b : *. \lambda H_0 : (\Pi c : *. (a \to c) \to (b \to c) \to c).$  $\lambda c : *. \lambda H_1 : b \to c. \lambda H_2 : a \to c. H_0 c H_2 H_1$ 

The same term in a different notation:

$$\begin{split} \Lambda a. \ \Lambda b. \ \lambda H_0 &: (\forall c. \ (a \to c) \to (b \to c) \to c). \\ \Lambda c. \ \lambda H_1 &: b \to c. \ \lambda H_2 &: a \to c. \ H_0 c H_2 H_1 \end{split}$$

- 4. This exercise is about the typing rules of *pure type systems* and the *lambda cube*.
  - (a) Give a full type derivation of

$$a:*\vdash(\lambda x:*.a):*\rightarrow*$$

in the calculus of constructions.

See below for the lambda cube and the typing rules of the pure type systems from the lambda cube. If you want, you may first give subderivations first, instead of replicating them all the time.

We first give the subderivation of the judgment  $a : * \vdash * : \Box$ :

A deriviation of  $a : * \vdash (\lambda x : *. a) : * \rightarrow *$  then is:

			•	•
$\vdash * : \Box$	÷	÷	$\overline{a:*\vdash *:\Box}$	$\overline{a:*\vdash *:\Box}$
$\overline{a:*\vdash a:*}$	$\overline{a:*\vdash *:\Box}$	$\overline{a:*\vdash *:\Box}$	a:*, x:*	* ⊢ * : □
$a:*,x:*\vdash a:*$		$a:*\vdash * \to *:\square$		
	$a:*\vdash (\lambda$	$x:*.a):*\to *$		

(b) In which of the systems of the lambda cube is this type derivation allowed?

The rule that was used in the product rule (the derivation of  $* \to * : \Box$ ) was  $(\Box, \Box, \Box)$ , so the systems in which this derivation is allowed are:

$$\lambda \underline{\omega}, \ \lambda P \underline{\omega}, \ \lambda \omega, \ \lambda P \omega$$

- 5. This exercise is about *inductive types* and *recursive functions*.
  - (a) Give the type of the dependent recursor **nat\_rec** of the inductively defined natural numbers:

Inductive nat : Set := 0 : nat | S : nat -> nat.

In mathematical notation:

 $\mathsf{nat\_rec} : \Pi P : \mathsf{nat} \to *. P \mathsf{O} \to (\Pi n : \mathsf{nat}. P n \to P(\mathsf{S} n)) \to \Pi n : \mathsf{nat}. P n$ 

In Coq notation:

```
nat_rec :
  forall P : nat -> Set,
   P 0 -> (forall n : nat, P n -> P (S n)) ->
   forall n : nat, P n
```

(b) Use this recursor nat\_rec to define the predecessor function

$$\mathsf{pred}(n) := \begin{cases} 0 & \text{if } n = 0\\ n - 1 & \text{if } n > 0 \end{cases}$$

In mathematical notation:

pred :=  $\lambda n$  : nat. nat\_rec ( $\lambda n$  : nat.nat) O ( $\lambda n$  : nat.  $\lambda r$  : nat. n) n

In Coq notation:

An alternative definition, without the eta expansion:

pred := nat\_rec ( $\lambda n$  : nat.nat) O ( $\lambda n$  : nat.  $\lambda r$  : nat. n)

```
Definition pred : nat -> nat :=
    nat_rec (fun n : nat => nat)
    0 (fun (n : nat) (r : nat) => n).
```

(c) Give the  $\iota$ -reduction rules for nat\_rec.

$$\begin{split} \mathsf{nat\_rec} \ P \ g \ h \ \mathsf{O} \to_{\iota} h \\ \mathsf{nat\_rec} \ P \ g \ h \ \mathsf{(Sn)} \to_{\iota} h \ n \ \mathsf{(nat\_rec} \ g \ h \ n) \end{split}$$

(d) Give another definition of the predecessor function, this time using Fixpoint and match.

```
Fixpoint pred (n : nat) {struct n} :=
match n with
| 0 => 0
| S n => n
end.
```

Actually the definition is not recursive, so an alternative is:

```
Definition pred (n : nat) :=
  match n with
  | 0 => 0
  | S n => n
  end.
```

(e) We now want to model the *partial* predecessor function that is *undefined* on input 0 in the form of a relation pred\_rel. Give an inductive Coq definition of this relation.

Inductive pred\_rel : nat -> nat -> Prop :=
| S\_pred : forall n : nat, pred\_rel (S n) n.

(f) Give both the dependent and non-dependent induction principles that belong to the relation pred\_rel from the previous exercise.

Dependent in mathematical notation:

```
\begin{array}{l} \mathsf{pred\_rel\_ind}:\\ \Pi P:(\Pi n:\mathsf{nat}.\,\Pi m:\mathsf{nat}.\,\mathsf{pred\_rel}\,n\,m\to\ast).\\ (\Pi n:\mathsf{nat}.\,P\,(\mathsf{S}\,n)\,n\,(\mathsf{S\_pred}\,n))\to\\ \Pi n:\mathsf{nat}.\,\Pi m:\mathsf{nat}.\,\Pi H:\mathsf{pred\_rel}\,n\,m.\,P\,n\,m\,H \end{array}
```

And in Coq notation:

```
pred_rel_ind :
  forall P : (forall (n m : nat), pred_rel n m -> Prop),
    (forall n : nat, P (S n) n (S_pred n)) ->
    forall (n m : nat) (H : pred_rel n m), P n m H
```

Non-dependent in mathematical notation:

```
\begin{array}{l} \mathsf{pred\_rel\_ind}:\\ \Pi P:\mathsf{nat}\to\mathsf{nat}\to\ast.\\ (\Pi n:\mathsf{nat}.\ P\left(\mathsf{S}\ n\right)n)\to\\ \Pi n:\mathsf{nat}.\ \Pi m:\mathsf{nat}.\ (\mathsf{pred\_rel}\ n\ m)\to P\ n\ m\end{array}
```

And in Coq notation:

```
pred_rel_ind :
  forall P : nat -> nat -> Prop,
    (forall n : nat, P (S n) n) ->
    forall (n m : nat), pred_rel n m -> P n m
```

6. This exercise is about the CPS translation.

The types of the two systems that we will study in this exercise are:

$$A ::= a \mid \bot \mid A \to A$$

where  $\neg A := A \rightarrow \bot$  will be the type of continuations. These systems will have Curry-style typing, so the terms of the systems are untyped lambda terms.

The terms, values and evaluation contexts differ between CBN and CBV versions of the system. For CBN these are:

$$\begin{split} M & ::= V \mid x \mid MM \mid \mathsf{callcc}\,M \mid \mathsf{throw}\,M \\ V & ::= \lambda x.\,M \\ E & ::= \Box \mid EM \end{split}$$

For CBV these are:

$$M ::= V \mid MM \mid \text{callcc } M \mid \text{throw } M$$
$$V ::= x \mid \lambda x. M$$
$$E ::= \Box \mid EM \mid VE$$

The reduction rules of the system are for CBN:

$$E[(\lambda x. M)N] \to E[M[x := N]]$$
$$E[\text{callcc } M] \to E[M(\lambda x. E[x])]$$
$$E[\text{throw } M] \to M$$

For CBV they are the same, but the beta rule is more restricted:

$$\begin{split} E[(\lambda x. M)V] &\to E[M[x := V]] \\ E[\text{callcc } M] &\to E[M(\lambda x. E[x])] \\ E[\text{throw } M] &\to M \end{split}$$

Now answer the following questions:

(a) Give the reduction paths to normal form, both under CBN reduction and under CBV reduction, of

callcc ( $\lambda k$ . ( $\lambda x$ . true)(throw (k false)))

where true :=  $\lambda xy. x$  and false :=  $\lambda xy. y$ , In both reductions give for each reduction step explicitly the evaluation context. CBN:

 $\begin{array}{ll} \operatorname{callcc} (\lambda k. (\lambda x. \operatorname{true})(\operatorname{throw} (k \operatorname{false}))) \to & E[\Box] = \Box \\ (\lambda k. (\lambda x. \operatorname{true})(\operatorname{throw} (k \operatorname{false})))(\lambda x. x) \to & E[\Box] = \Box \\ (\lambda x. \operatorname{true})(\operatorname{throw} ((\lambda x. x) \operatorname{false})) \to & E[\Box] = \Box \\ & \operatorname{true} \end{array}$ 

## CBV:

$$\begin{array}{ll} \operatorname{callcc} (\lambda k. (\lambda x. \operatorname{true})(\operatorname{throw} (k \operatorname{false}))) \to & E[\Box] = \Box \\ (\lambda k. (\lambda x. \operatorname{true})(\operatorname{throw} (k \operatorname{false})))(\lambda x. x) \to & E[\Box] = \Box \\ (\lambda x. \operatorname{true})(\operatorname{throw} ((\lambda x. x) \operatorname{false})) \to & E[\Box] = (\lambda x. \operatorname{true}) \Box \\ (\lambda x. x) \operatorname{false} \to & E[\Box] = \Box \\ \operatorname{false} \end{array}$$

(b) Give an untyped lambda term that is normalizing under CBN reduction, but not normalizing under CBV reduction.

 $(\lambda xy. y)((\lambda x. xx)(\lambda x. xx))$ 

(c) Give the types of callcc M and throw M in terms of the type of M.

 $\begin{array}{ll} M:\neg A \to A & \Rightarrow & \mathsf{callcc}\,M:A \\ M:\bot & \Rightarrow & \mathsf{throw}\,M:A \end{array}$ 

(d) In this simply typed lambda calculus with callcc and throw, is there a term with type  $\neg \neg a \rightarrow a$ ? Explain your answer.

Yes! The previous exercise gives under the Curry-Howard isomorphism the proof rules:

$$\frac{\neg A \to A}{A} \text{ callcc} \qquad \frac{\bot}{A} \text{ throw}$$

and he first of these gives classical logic. So we can prove:

The term corresponding to this is:

 $\lambda k_0$ . callcc  $(\lambda k_1$ . throw  $(k_0 k_1))$ 

The CPS translation also differs between the CBN and CBV versions of the systems. We will use the notation |V| for the translation of values, and  $\llbracket M \rrbracket$  as the translation of arbitrary terms ('computations'). For CBN these are:

$$\begin{bmatrix} V \end{bmatrix} = \lambda k. \, k |V| \\ \begin{bmatrix} x \end{bmatrix} = x \\ \begin{bmatrix} M_1 M_2 \end{bmatrix} = \lambda k. \begin{bmatrix} M_1 \end{bmatrix} (\lambda v_1. \, v_1 \llbracket M_2 \rrbracket k)) \\ \begin{bmatrix} \text{callcc } M \end{bmatrix} = \lambda k. \llbracket M \rrbracket (\lambda v. \, v (\lambda k'. \, k' (\lambda xy. xk))k) \\ \\ \end{bmatrix} \text{[throw } M \rrbracket = \lambda k. \llbracket M \rrbracket (\lambda v. \, v) \\ \\ |\lambda x. \, M| = \lambda x. \llbracket M \rrbracket$$

For CBV these are:

$$\begin{split} \llbracket V \rrbracket &= \lambda k. \, k |V| \\ \llbracket M_1 M_2 \rrbracket &= \lambda k. \, \llbracket M_1 \rrbracket (\lambda v_1. \, \llbracket M_2 \rrbracket (\lambda v_2. \, v_1 v_2 k)) \\ \llbracket \text{callcc } M \rrbracket &= \lambda k. \llbracket M \rrbracket (\lambda v. \, v (\lambda xy. \, kx) k) \\ \llbracket \text{throw } M \rrbracket &= \lambda k. \llbracket M \rrbracket (\lambda v. \, v) \\ &|x| = x \\ |\lambda x. \, M| = \lambda x. \llbracket M \rrbracket \end{split}$$

The remainder of the exercise consists of the following questions:

(e) Give the CPS translation

$$\llbracket (\lambda x. x)(\lambda y. y) \rrbracket$$

for the CBN system, and normalize this term (where you also reduce under lambdas).

First:

$$\llbracket \lambda x. x \rrbracket = \lambda k. k |\lambda x. x|$$
$$= \lambda k. k (\lambda x. \llbracket x \rrbracket)$$
$$= \lambda k. k (\lambda x. x)$$

With this we compute:

$$\begin{split} \llbracket (\lambda x. x)(\lambda y. y) \rrbracket &= \lambda k. \llbracket \lambda x. x \rrbracket (\lambda v. v \llbracket \lambda y. y \rrbracket k) \\ &= \lambda k. (\lambda k'. k'(\lambda x. x))(\lambda v. v(\lambda k'. k'(\lambda x. x))k) \\ &\to \lambda k. (\lambda v. v(\lambda k'. k'(\lambda x. x))k)(\lambda x. x) \\ &\to \lambda k. (\lambda x. x)(\lambda k'. k'(\lambda x. x))k) \\ &\to \lambda k. (\lambda k'. k'(\lambda x. x))k) \\ &\to \lambda k. (\lambda k. x) \end{split}$$

(f) We have type translations (with corresponding translations of the types in the context) that correspond to the term translations given above, i.e., such that if

$$\Gamma \vdash V:A$$

then

$$|\Gamma| \vdash |V| : |A|$$

(note that the term translation |V| is different from the type translation |A|, despite the identical notation), and if

 $\Gamma \vdash M : A$ 

then for CBN

$$\llbracket \Gamma \rrbracket \vdash \llbracket M \rrbracket : \llbracket A \rrbracket$$

and for CBV

$$|\Gamma| \vdash \llbracket M \rrbracket : \llbracket A \rrbracket$$

Give these type translations both for the CBN translation and for the CBV translation in the form

$$\begin{aligned} |a| &= \dots \\ |\bot| &= \dots \\ |A \to B| &= \dots \\ \llbracket A \rrbracket &= \dots \end{aligned}$$

$$|a| = a$$
$$|\bot| = \bot$$
CBN:  $|A \to B| = \llbracket A \rrbracket \to \llbracket B \rrbracket$ CBV:  $|A \to B| = |A| \to \llbracket B \rrbracket$ 
$$\llbracket A \rrbracket = \neg \neg |A|$$

- (g) Why might the CPS translation be used in some compilers for functional languages?
  - It gives a way to implement non-local control flow like callcc/throw !
  - The CPS translated terms always reduce at the outside. This means that the implementation does not need to search for redexes, and execution can be more efficient.