

$\lambda 2$

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$\overline{\vdash * : \square}$

$$\frac{\Gamma \vdash A : s}{\Gamma, x : A \vdash x : A} \quad \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : s}{\Gamma, y : B \vdash M : A}$$

$$\frac{\Gamma \vdash M : \Pi x : A. B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[x := N]}$$

$$\frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \Pi x : A. B : s}{\Gamma \vdash \lambda x : A. M : \Pi x : A. B}$$

$$\frac{\Gamma \vdash A : * \quad \Gamma, x : A \vdash B : s}{\Gamma \vdash \Pi x : A. B : s}$$

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash A' : s}{\Gamma \vdash M : A'} \quad A =_{\beta} A'$$

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$$\frac{\Gamma \vdash A : s \quad \Gamma, x : A \vdash B : *}{\Gamma \vdash \Pi x : A. B : *}$$

$$\frac{\Gamma \vdash M : A \quad \Gamma \vdash A' : s}{\Gamma \vdash M : A'} \quad A =_{\beta} A'$$

second order propositional logic

second order logic

second order logic = quantification over **predicates**
= quantification over sets
= quantification over functions

quantification domains:

first order	objects
second order	objects, predicates on objects
third order	..., ..., predicates on predicates on objects
⋮	⋮
<i>higher order</i>	

second order propositional logic

quantification over predicates
↓
quantification over **propositions**

rules very similar to predicate logic
much simpler!

- no term variables
- no terms

'toy version' of predicate logic

$$\begin{array}{c} A ::= a \mid A \rightarrow A \mid \forall a. A \\ | \qquad | \\ \text{formula} \quad \text{propositional variable} \end{array}$$

example:

$$\forall c. ((a \rightarrow b \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c))$$

a and b : free variables

c : bound variable

free versus universally quantified variables

difference between:

$a \rightarrow a$	$\overset{a}{\underbrace{\hspace{1.5em}}}$
	if it rains, then it rains
$\forall a. a \rightarrow a$	for all propositions a holds: if a , then a

proof rules

$$\overline{\Gamma \vdash A} \quad A \in \Gamma$$

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow B} \rightarrow I$$

$$\frac{\Gamma \vdash A \rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B} \rightarrow E$$

$$\frac{\Gamma \vdash A}{\Gamma \vdash \forall a. A} \forall I$$

$$\frac{\Gamma \vdash \forall a. A}{\Gamma \vdash A[a := B]} \forall E$$

|
a not free in Γ

example

$$\begin{array}{c}
 \frac{a \rightarrow b \rightarrow c^x \quad a^z}{b \rightarrow c} \rightarrow E \quad \frac{a^z}{b^y} \rightarrow E \\
 \frac{\frac{\frac{c}{a \rightarrow c} \rightarrow I_z}{b \rightarrow a \rightarrow c} \rightarrow I_y}{(a \rightarrow b \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c)} \rightarrow I_x \\
 \frac{\quad}{\forall c. ((a \rightarrow b \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c))} \forall I_c
 \end{array}$$

proof term:

$$\underbrace{\lambda c : *. \lambda x : a \rightarrow b \rightarrow c. \lambda y : b. \lambda z : a. xzy}_{\lambda 2!} :$$

$$\Pi c : *. ((a \rightarrow b \rightarrow c) \rightarrow (b \rightarrow a \rightarrow c))$$

polymorphic identity

$$\frac{\frac{a^x}{a \rightarrow a} \rightarrow I_x}{\forall a. a \rightarrow a} \forall I_a$$

proof term:

$$\lambda a : *. \lambda x : a. x : \Pi a : *. a \rightarrow a$$

polymorphic identity function

notations

here: PTS notation

variant $\lambda 2$ notations:

$\lambda a : * \dots$ also written as $\Lambda a \dots$

$\Pi a : * \dots$ also written as $\forall a \dots$

$\Lambda a. \lambda x : a. x : \forall a. a \rightarrow a$

λ_2

PTS given by:

$$\mathcal{S} = \{*, \square\}$$

$$\mathcal{A} = \{(*, \square)\}$$

$$\mathcal{R} = \{(*, *), (\square, *)\}$$

So, pseudo-terms:

$$M ::= * \mid \square \mid x \mid MM \mid \lambda x : M. M \mid \Pi x : M. M$$

typing rules

PTS rules

with **two** product rules:

$$\frac{\Gamma \vdash A : * \quad \Gamma, x : A \vdash B : *}{\Gamma \vdash \Pi x : A. B : *}$$

$\Pi x : A. B$ always is of the form $A \rightarrow B$

$$\frac{\Gamma \vdash A : \square \quad \Gamma, x : A \vdash B : *}{\Gamma \vdash \Pi x : A. B : *}$$

A always is of the form $*$

simplified product rules

$$\frac{\Gamma \vdash A : * \quad \Gamma \vdash B : *}{\Gamma \vdash A \rightarrow B : *}$$

$$\frac{\Gamma, a : * \vdash B : *}{\Gamma \vdash \Pi a : *. B : *}$$

stratified grammar

pseudo-terms of $\lambda 2$ can be *stratified*

pseudo-terms of λP can *not* be stratified

$$\begin{array}{l} \Lambda a. M \\ | \\ M ::= x \mid MM \mid MA \mid \lambda x : A. M \mid \lambda a : *. M \\ A ::= a \mid A \rightarrow A \mid \Pi a : *. A \\ | \\ \forall a. A \end{array}$$

polymorphism

$\lambda 2 =$ **polymorphic** lambda calculus

types not fixed \rightsquigarrow type variables

polymorphism: $f : \Pi a : *. a \rightarrow a$
arbitrary type can be substituted for a
parametrized *family* of functions

overloading: $f : \text{nat} \rightarrow \text{nat}$
 $f : \text{real} \rightarrow \text{real}$
don't need to be related
different functions with same name

polymorphic lists

polymorphic lists

list : *

list of numbers

polylist A : *

list of objects of type A : *

polylist : * \rightarrow *

note: * \rightarrow * is not a $\lambda 2$ type!

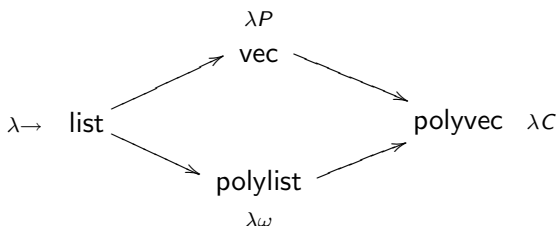
* \rightarrow * is a $\lambda \omega$ type

polynil : $\prod a : *. \text{polylist } a$

polycons : $\prod a : *. a \rightarrow \text{polylist } a \rightarrow \text{polylist } a$

$\langle 0, 1 \rangle \rightsquigarrow \text{polycons nat } 0 (\text{polycons nat } (\text{suc } 0) (\text{polynil nat}))$

polymorphic vectors



`polyvec` : `* → nat → *`

`polynil` : `Π a : *. polyvec a 0`

`polycons` : `Π a : *. Π n : nat. a → polyvec a n → polyvec a (suc n)`

`⟨0, 1⟩` \rightsquigarrow `polycons nat (suc 0) 0 (polycons nat 0 (suc 0) (polynil nat))`

logical operations

logical operations

'impredicative definitions' in minimal second order logic:

$A \rightarrow B$ primitive in $\lambda \rightarrow$

$\forall x. A$ primitive in λP

$\forall a. A$ primitive in $\lambda 2$

$\perp := \forall c. c$

$\top := \forall c. c \rightarrow c$

$\neg A := \forall c. A \rightarrow c$

$A \wedge B := \forall c. (A \rightarrow B \rightarrow c) \rightarrow c$

$A \vee B := \forall c. (A \rightarrow c) \rightarrow (B \rightarrow c) \rightarrow c$

$\exists x. A := \forall c. (\forall x. A \rightarrow c) \rightarrow c$

\wedge introduction

$$\frac{\begin{array}{c} \vdots \\ A \end{array} \quad \begin{array}{c} \vdots \\ B \end{array}}{A \wedge B} \wedge I$$

becomes:

$$\frac{\frac{A \rightarrow B \rightarrow c^f}{B \rightarrow c} \quad \begin{array}{c} \vdots \\ A \end{array} \rightarrow E}{\frac{c}{(A \rightarrow B \rightarrow c) \rightarrow c} \rightarrow I_f} \rightarrow E \quad \begin{array}{c} \vdots \\ B \end{array} \rightarrow E \\ \frac{\quad}{\forall c. (A \rightarrow B \rightarrow c) \rightarrow c} \forall I_c$$

proof term for \wedge introduction

$$\frac{\begin{array}{c} \vdots \\ \Gamma \vdash M : A \end{array} \quad \begin{array}{c} \vdots \\ \Gamma \vdash N : B \end{array}}{\Gamma \vdash \langle M, N \rangle : A \wedge B} \wedge I$$

proof term:

$$\langle M, N \rangle := \lambda c : *. \lambda f : A \rightarrow B \rightarrow c. f MN$$

typed polymorphic version of:

$$\langle M, N \rangle := \lambda f. f MN$$

\wedge elimination

$$\frac{\begin{array}{c} \vdots \\ A \wedge B \end{array}}{A} \wedge E_l$$

becomes:

$$\frac{\frac{\begin{array}{c} \vdots \\ \forall c. (A \rightarrow B \rightarrow c) \rightarrow c \end{array}}{(A \rightarrow B \rightarrow A) \rightarrow A} \forall E \quad \frac{\frac{A^x}{B \rightarrow A} \rightarrow I_y}{A \rightarrow B \rightarrow A} \rightarrow I_x}{A} \rightarrow E$$

proof term for \wedge elimination

$$\frac{\begin{array}{c} \vdots \\ \Gamma \vdash M : A \wedge B \end{array}}{\Gamma \vdash \pi_1 M : A} \wedge E_l$$

proof term:

$$\pi_1 M := M A (\lambda x : A. \lambda y : B. x)$$

typed polymorphic version of:

$$\pi_1 M := M (\lambda xy. x)$$

Curry-Howard

connective			data type	
\perp	falsity	\leftrightarrow	0	empty type
\top	truth	\leftrightarrow	1	unit type
		\leftrightarrow	2	Booleans
$A \rightarrow B$	implication	\leftrightarrow	$A \rightarrow B$	function type
$\forall x \in A. B$	universal	\leftrightarrow	$\prod x : A. B$	dependent product
$A \wedge B$	conjunction	\leftrightarrow	$A \times B$	Cartesian product
$\exists x \in A. B$	existential	\leftrightarrow	$\Sigma x : A. B$	dependent sum
$A \vee B$	disjunction	\leftrightarrow	$A + B$	disjoint union

data types

Church numerals

$$\begin{aligned}c_0 &:= \lambda a : *. \lambda f : a \rightarrow a. \lambda x : a. x && : \Pi a : *. (a \rightarrow a) \rightarrow a \rightarrow a \\c_1 &:= \lambda a : *. \lambda f : a \rightarrow a. \lambda x : a. f x && : \Pi a : *. (a \rightarrow a) \rightarrow a \rightarrow a \\c_2 &:= \lambda a : *. \lambda f : a \rightarrow a. \lambda x : a. f (f x) && : \Pi a : *. (a \rightarrow a) \rightarrow a \rightarrow a \\c_3 &:= \lambda a : *. \lambda f : a \rightarrow a. \lambda x : a. f (f (f x)) && : \Pi a : *. (a \rightarrow a) \rightarrow a \rightarrow a \\ \dots &&& \dots\end{aligned}$$

exactly the $\beta\bar{\eta}$ long normal forms of:

impredicative definition of the natural numbers

$$\text{nat} := \Pi a : *. (a \rightarrow a) \rightarrow a \rightarrow a$$

$$\text{suc} := \lambda n : \text{nat}. \lambda a : *. \lambda f : a \rightarrow a. \lambda x : a. f (n a f x)$$

iteration and primitive recursion

iter : $\prod a : *. a \rightarrow (a \rightarrow a) \rightarrow (\text{nat} \rightarrow a)$

iter := $\lambda a : *. \lambda x : a. \lambda f : a \rightarrow a. \lambda n : \text{nat}. n a f x$

rec : $\prod a : *. a \rightarrow (\text{nat} \rightarrow a \rightarrow a) \rightarrow (\text{nat} \rightarrow a)$

rec := ?

implementing primitive recursion

rec : $\prod a : *. a \rightarrow (\text{nat} \rightarrow a \rightarrow a) \rightarrow (\text{nat} \rightarrow a)$

rec := $\lambda a : *. \lambda x : a. \lambda f : \text{nat} \rightarrow a \rightarrow a. \lambda n : \text{nat}.$
 $\pi_2 (n (\text{nat} \times a) (\lambda p : \text{nat} \times a. \langle \text{suc} (\pi_1 p), f (\pi_1 p) (\pi_2 p) \rangle)) \langle 0, x \rangle$

$x \rightarrow f x \rightarrow f (f x) \rightarrow f (f (f x)) \rightarrow \dots$

$x \rightarrow f 0 x \rightarrow f 1 (f 0 x) \rightarrow f 2 (f 1 (f 0 x)) \rightarrow \dots$

$\langle 0, x \rangle \rightarrow \langle 1, f 0 x \rangle \rightarrow \langle 2, f 1 (f 0 x) \rangle \rightarrow \langle 3, f 2 (f 1 (f 0 x)) \rangle \rightarrow \dots$

$\dots \rightarrow \langle n, r \rangle \rightarrow \langle \text{suc } n, f n r \rangle \rightarrow \dots$

$\dots \rightarrow p \rightarrow \langle \text{suc} (\pi_1 p), f (\pi_1 p) (\pi_2 p) \rangle \rightarrow \dots$

predecessor

recursive equations:

$$\begin{aligned}\text{pred } 0 &= 0 = x \\ \text{pred } (\text{suc } n) &= n = f \ n \ (\text{pred } n)\end{aligned}$$

therefore arguments of rec:

$$\begin{aligned}x &= 0 \\ f &= \lambda n : \text{nat}. \lambda p : \text{nat}. n \\ \text{pred} &:= \text{rec nat } x \ f \\ &= \text{rec nat } 0 \ (\lambda n : \text{nat}. \lambda p : \text{nat}. n)\end{aligned}$$

induction

rec : $\prod a : *$.

$$a \rightarrow (\text{nat} \rightarrow a \rightarrow a) \rightarrow (\text{nat} \rightarrow a)$$

ind : $\prod a : \text{nat} \rightarrow *$.

$$a\ 0 \rightarrow (\prod n : \text{nat}. a\ n \rightarrow a\ (\text{suc}\ n)) \rightarrow (\prod n : \text{nat}. a\ n)$$

dependent version of primitive recursion
cannot be defined!

$$p(0) \rightarrow (\forall n \in \mathbb{N}. p(n) \rightarrow p(n + 1)) \rightarrow \forall n \in \mathbb{N}. p(n)$$

mathematical induction

impredicative Cartesian product

$\text{prod} := \lambda a : *. \lambda b : *. \Pi c : *. (a \rightarrow b \rightarrow c) \rightarrow c$

$\text{pair} : \Pi a : *. \Pi b : *. a \rightarrow b \rightarrow \text{prod } a b$

$\text{pair} := \lambda a : *. \lambda b : *. \lambda x : a. \lambda y : b.$
 $\lambda c : *. \lambda f : a \rightarrow b \rightarrow c. f x y$

$\pi_1 : \Pi a : *. \Pi b : *. \text{prod } a b \rightarrow a$

$\pi_1 : \lambda a : *. \lambda b : *. \lambda p : \text{prod } a b. p a (\lambda x : a. \lambda y : b. x)$

$\pi_2 : \Pi a : *. \Pi b : *. \text{prod } a b \rightarrow b$

$\pi_2 : \lambda a : *. \lambda b : *. \lambda p : \text{prod } a b. p b (\lambda x : a. \lambda y : b. y)$

impredicative lists

polylist := $\lambda a : *. \Pi b : *. b \rightarrow (a \rightarrow b \rightarrow b) \rightarrow b$

polynil : $\Pi a : *. \text{polylist } a$

polynil := $\lambda a : *.$

$\lambda b : *. \lambda x : b. \lambda f : a \rightarrow b \rightarrow b. x$

polycons : $\Pi a : *. a \rightarrow \text{polylist } a \rightarrow \text{polylist } a$

polycons := $\lambda a : *. \lambda h : a. \lambda t : \text{polylist } a.$

$\lambda b : *. \lambda x : b. \lambda f : a \rightarrow b \rightarrow b. f h (t b x f)$

polylist_rec : ...

limitations

with impredicative definitions of \perp and $=$ and nat :

the type of **ind** is not inhabited

0 \neq 1 is not provable

inductive definitions \rightsquigarrow *next lecture*

impredicativity

impredicative definitions

= definitions that **quantify over a domain containing the defined object**

comprehension in ZF set theory is impredicative

comprehension in second order logic is impredicative

$$B := \{x \in A \mid \phi(x)\}$$

|

... $\forall X$... **X can also be B**

ACA_0 = 'limit' of predicative mathematics

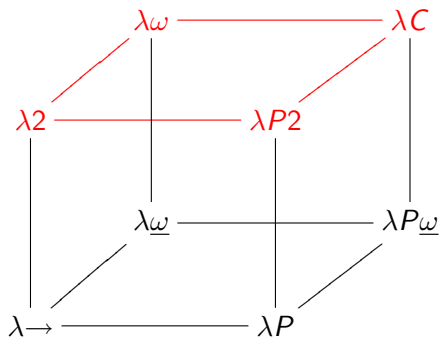
= second order theory of arithmetic

comprehension: only $\phi(x)$ without second order quantifiers

\rightsquigarrow *FOM mailing list*

impredicativity in the lambda cube

the four systems in the top plane are impredicative



is impredicativity bad?

- *impredicativity of ZF set theory*

vague philosophical worry

might be the cause of ZF being inconsistent

no actual problems known

- *impredicativity in the lambda cube*

vague philosophical worry

is **inconsistent** when adding *classical axioms*

only consistent because of intuitionism

interpreting $A \rightarrow B$ as functions does not work

$\prod x : A. B$ 'is' the *intersection* $\bigcap_{x \in A} B$

variations in type theory

- intuitionistic or classical?

$$A \vee \neg A$$

- predicative or impredicative?
- intensional or extensional?

$$(\forall x. f(x) = g(x)) \rightarrow f = g$$

- no choice or choice?

$$(\forall x. \exists y. p(x, y)) \rightarrow (\exists f. \forall x. p(x, f(x)))$$

impredicativity in $\lambda 2$

$$2 : * \vdash \Pi a : *. a \rightarrow 2 : ?$$

Coq notation: $* \rightsquigarrow \text{Set}$

$$U := \prod_{a \in \text{Set}} \wp(a) \in \text{Set}$$

$\wp(a)$ = the powerset of a

$$\text{Set} = \{X_0, X_1, \dots, U, \dots\}$$

$$U = \wp(X_0) \times \wp(X_1) \times \dots \times \wp(U) \times \dots$$

$\wp(U)$ is too big!

Cantor's diagonalisation

$$U := \prod a : *. a \rightarrow 2$$

elements of U are functions u with $u(a) \subseteq a$ for each set a

define $u_{\Delta} \in U$ by:

$$u_{\Delta}(a) := \begin{cases} \{u \in U \mid u \notin u(U)\} & \text{if } a = U \\ \emptyset & \text{if } a \neq U \end{cases}$$

(intuitionistically we do not have $a = U \vee a \neq U$)

$$u_{\Delta} \in u_{\Delta}(U) \iff u_{\Delta} \in \{u \in U \mid u \notin u(U)\} \iff u_{\Delta} \notin u_{\Delta}(U)$$

impredicativity in the Coq proof assistant

pCIC = predicative Calculus of (Co)Inductive Constructions

two variants of $*$:

$*_p$	Prop	impredicative
$*_s$	Set	predicative

$$2 : *_p \vdash \prod a : *_p. a \rightarrow 2 : *_p$$
$$2 : *_s \vdash \prod a : *_s. a \rightarrow 2 : \square$$

recap

- 1 second order propositional logic
- 2 $\lambda 2$
- 3 polymorphic lists
- 4 logical operations
- 5 data types
- 6 impredicativity