

Dependent Inductive-Coinductive Types

Category Theoretical and Syntactic Perspectives

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Joint work with Herman Geuvers

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Outline

- 1 Introduction
- 2 Categorical Mixed Inductive-Coinductive Dependent Types
- 3 Mixed Inductive-Coinductive Dependent Type Theory
- 4 What's next?

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Introduction

What bothered me for a while

- ▶ No type theory with arbitrary inductive-coinductive, dependent types.
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That led me to

- ▶ Extract the categorical principles behind such types.
- ▶ Build a type theory resembling the categorical principles.

Plan for this Talk

- ▶ Present dependent inductive-coinductive types as dialgebras
- ▶ Construct models for these types through polynomial functors
- ▶ Give a syntactic calculus that implements this idea
- ▶ Prove strong normalisation for that calculus
- ▶ Consider an extension with extensional identity types

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Example

data Vec ($A : \mathbf{Set}$) : $\mathbb{N} \rightarrow \mathbf{Set}$ **where**

nil : $\top \rightarrow \text{Vec } 0$

cons : $(n : \mathbb{N}) \rightarrow A \times \text{Vec } n \rightarrow \text{Vec } (n + 1)$

Interpret Vec as set family

- ▶ Lists of length n over A : $A^n = \underbrace{A \times \dots \times A}_{n \text{ times}}$
- ▶ Vector data type: $\text{Vec } A = \{A^n\}_{n \in \mathbb{N}}$
- ▶ $\text{nil} = \{\text{nil}_*\}: \{\mathbf{1}\}_{* \in \mathbf{1}} \rightarrow \{A^0\}_{* \in \mathbf{1}}$
- ▶ $\text{cons} = \{\text{cons}_n\}_{n \in \mathbb{N}} : \{A \times A^n\}_{n \in \mathbb{N}} \rightarrow \{A^{n+1}\}_{n \in \mathbb{N}}$

Dependent Data Types are Initial/Final Dialgebras

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Vectors as dialgebra

Let $F, G: \mathbf{Set}^{\mathbb{N}} \rightarrow \mathbf{Set}^{\mathbf{1}} \times \mathbf{Set}^{\mathbb{N}}$ be functors to the product category

$$F(X) = (\{\mathbf{1}\}, \{A \times X_n\}_{n \in \mathbb{N}}) \text{ and}$$

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Tatsuyo Hagino

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Then $(\text{nil}, \text{cons}): F(\text{Vec } A) \rightarrow G(\text{Vec } A)$ is the **initial (F, G) -dialgebra**.

General Setup (Generalising Hagino, 1986)

Dependent, inductive data type is an initial dialgebra **in a (cloven) fibration** $P : \mathbf{E} \rightarrow \mathbf{B}$ with

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- ▶ Morphisms u_1, \dots, u_n with $u_k : J_n \rightarrow I$ giving the substitutions in the result type of the constructors
- ▶ An initial dialgebra $(c_1, \dots, c_n) : F(A) \rightarrow G_u(A)$, where

$$G_u = \langle u_1^*, \dots, u_n^* \rangle$$

substitutes u_k in a type.

Phew, an example after this long list

Example (Vectors)

Recall that $(\text{nil}, \text{cons}) : F(\text{Vec } A) \rightarrow G(\text{Vec } A)$ is the initial initial (F, G) -dialgebra for

$$F(X) = (\{\mathbf{1}\}, \{A \times X_n\}_{n \in \mathbb{N}}) \text{ and}$$
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We have $G = G_u$ with

$$\begin{aligned} u_1 = z : \mathbf{1} &\rightarrow \mathbb{N} & z(*) &= 0 \\ u_2 = s : \mathbb{N} &\rightarrow \mathbb{N} & s(n) &= n + 1 \end{aligned}$$

Giving us

$$u_1^*(X) = \{X_{z(i)}\}_{i \in \mathbf{1}} = \{X_0\}_{i \in \mathbf{1}}$$
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Dualise for coinductive data types

- ▶ The functors F and G_U swap roles
- ▶ Substitutions in the domain of the destructors
- ▶ Can block application

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- ▶ **Can block application**

Example (Partial Streams)

codata $\text{PStr} (A : \mathbf{Set}) : \mathbb{N}^\infty \rightarrow \mathbf{Set}$ where

$\text{hd} : (n : \mathbb{N}^\infty) \rightarrow \text{PStr} (s_\infty n) \rightarrow A$

$\text{tl} : (n : \mathbb{N}^\infty) \rightarrow \text{PStr} (s_\infty n) \rightarrow \text{PStr } n$

where \mathbb{N}^∞ are natural numbers with infinity.

$$G_U, F : \mathbf{P}_{\mathbb{N}^\infty} \rightarrow \mathbf{P}_{\mathbb{N}^\infty} \times \mathbf{P}_{\mathbb{N}^\infty}$$

$$G_U = \langle s_\infty^*, s_\infty^* \rangle \quad F = \langle K_A^{\mathbb{N}^\infty}, \text{Id} \rangle$$

How to obtain models?

Theorem

*Dependent, strictly positive data types can be interpreted in a locally Cartesian closed category with dependent products and disjoint coproducts if it has **initial algebras** for **polynomial functors**.*

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Proof ingredients

- ▶ Reduce dialgebras to algebras/coalgebras
- ▶ Dependent polynomial functors are closed under taking fixed points
- ▶ Fixed points of dependent polynomials can be constructed from non-dependent
- ▶ Final coalgebras of polynomials can be constructed from initial algebras

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For details see

Dependent Inductive and Coinductive Types are Fibrational Dialgebras, H.B., FICS 2015.

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Handling dependencies

Parameter contexts and instantiations

$$\Theta \mid \Gamma_1 \vdash A : \Gamma_2 \rightarrow *,$$

Suppose that $\Gamma_2 = x_1 : B_1, \dots, x_n : B_n$ and $\Gamma_1 \vdash t_k : B_k$, then

$$\Theta \mid \Gamma_1 \vdash A @ t_1 @ \dots @ t_n : *.$$

Type constructor variables

$$X : \Gamma_2 \rightarrow * \mid \Gamma_1 \vdash X : \Gamma_2 \rightarrow *,$$

which we can instantiate to

$$X : \Gamma_2 \rightarrow * \mid \Gamma_1 \vdash X @ t_1 @ \dots @ t_n : *.$$

Forming types

$$\frac{k = 1, \dots, n \quad \sigma_k : \Gamma_k \rightarrow \Gamma \quad \Theta, X : \Gamma \rightarrow * \mid \Gamma_k \vdash A_k : *}{\Theta \mid \emptyset \vdash \rho(X : \Gamma \rightarrow *; \vec{\sigma}; \vec{A}) : \Gamma \rightarrow *}$$

- ▶ $n \in \mathbb{N}$
- ▶ $\rho \in \{\mu, \nu\}$
- ▶ $\sigma_k : \Gamma_k \rightarrow \Gamma$ substitution of terms in context Γ_k for variables in Γ
- ▶ A_k type with extra free variable

Examples I/II

Example (Vectors)

data Vec (A : Set) : $\mathbb{N} \rightarrow$ Set where

nil : $\top \rightarrow$ Vec 0

cons : $(n : \mathbb{N}) \rightarrow A \times$ Vec $n \rightarrow$ Vec $(n + 1)$

$\text{Vec } A := \mu(X : \Gamma \rightarrow *; (\sigma_1, \sigma_2); (\mathbf{1}, A \times X @ k))$

$\Gamma = n : \text{Nat}$ and $\Gamma_1 = \emptyset$ and $\Gamma_2 = k : \text{Nat}$

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$X : (n : \text{Nat}) \rightarrow * \mid \Gamma_1 \vdash \mathbf{1} : *$

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Examples II/II

- ▶ Π is a coinductive type
- ▶ Existential quantification is its dual
- ▶ All of intuitionistic predicate logic can be represented
- ▶ Partial streams

Forming terms

For inductive types

$$\frac{\vdash \mu(X : \Gamma \rightarrow *; \vec{\sigma}; \vec{A}) : \Gamma \rightarrow * \quad 1 \leq k \leq n}{\vdash \alpha_k^{\mu(X:\Gamma \rightarrow *; \vec{\sigma}; \vec{A})} : (\Gamma_k, y : A_k[\mu/X]) \rightarrow \mu @ \sigma_k} \text{ (Ind-I)}$$

$$\frac{\vdash C : \Gamma \rightarrow * \quad \Delta, \Gamma_k, y_k : A_k[C/X] \vdash g_k : (C @ \sigma_k) \quad \forall k = 1, \dots, n}{\Delta \vdash \text{rec } (\Gamma_k, y_k). g_k : (\Gamma, y : \mu @ \text{id}_\Gamma) \rightarrow C @ \text{id}_\Gamma}$$

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Dual for coinductive types

$$\frac{\vdash \nu(X : \Gamma \rightarrow *; \vec{\sigma}; \vec{A}) : \Gamma \rightarrow * \quad 1 \leq k \leq n}{\vdash \xi_k^{\nu(X:\Gamma \rightarrow *; \vec{\sigma}; \vec{A})} : (\Gamma_k, y : \nu @ \sigma_k) \rightarrow A_k[\nu/X]} \text{ (Coind-E)}$$

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Computations

Reduction

- ▶ Defined as closure of contraction relation
- ▶ Essentially follows homomorphism diagrams
- ▶ Preserves types

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For details see

'Type Theory based on Dependent Inductive and Coinductive Types', H.B. and H. Geuvers, in LICS'16, 2016 and arXiv:CS.LO/1605.02206 for proofs.

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The Fix

- ▶ Blend Observational Type Theory, Cubical TT and Dependent Inductive-Coinductive Types (inspired by Conor McBride)
- ▶ See my talk at TYPES'16 and, hopefully, my thesis

Blending OTT, Cubical TT and Dependent Inductive-Coinductive Types

Thank you for the inspiration Conor!¹



¹McBride, that is. *A Cubical Crossroads* @ Agda Implementors' Meeting XXIII.

Plan

- ▶ Remove possibility to introduce propositional equality
- ▶ Paths between types through abstraction over points in interval (Cubical)
- ▶ Coercion operator (OTT)
- ▶ Heterogeneous path type (OTT)
- ▶ Coinduction: Constructor for paths

Removing Equality

Easy: Replace ...

$$\frac{k = 1, \dots, n \quad \sigma_k : \Gamma_k \rightarrow \Gamma \quad \Theta, X : \Gamma \rightarrow * \mid \Gamma_k \vdash A_k : *}{\Theta \mid \emptyset \vdash \rho(X : \Gamma \rightarrow *; \vec{\sigma}; \vec{A}) : \Gamma \rightarrow *}$$

... by

$$\frac{k = 1, \dots, n \quad \Theta, X : \Gamma \rightarrow * \mid \Gamma, \Gamma_k \vdash A_k : *}{\Theta \mid \emptyset \vdash \rho(X : \Gamma \rightarrow *; \vec{A}) : \Gamma \rightarrow *}$$

Interval, Paths between Types and Coercion

$$\frac{}{\Gamma \vdash 0 : \mathbb{I}} \quad \frac{}{\Gamma \vdash 1 : \mathbb{I}} \quad \frac{i \in \Delta}{\Gamma \mid \Delta \vdash i : \mathbb{I}} \quad \frac{\vdash p, q, r : \mathbb{I}}{\vdash p(q-r) : \mathbb{I}}$$

$$\frac{\Gamma \vdash S : * \quad \Gamma \vdash T : *}{\Gamma \vdash S \sim T : *} \quad \frac{\vdash Q : S \sim T \quad \vdash p : \mathbb{I}}{\vdash Qp : *}$$

$$\frac{\Gamma \mid \Delta, i : \mathbb{I} \vdash M : * \quad M[0/i] \equiv S \quad M[1/i] \equiv T}{\Gamma \mid \Delta \vdash \langle i \rangle . M : S \sim T}$$

$$\frac{\vdash Q : S \sim T \quad \vdash p, q : \mathbb{I} \quad \vdash s : Qp}{\vdash s[p \mid Q \mid q] : Qq}$$

Paths between terms

$$\frac{\vdash s : S \quad \vdash t : T}{\vdash s \sim t : *}$$
$$\frac{\vdash s, t : T \quad \vdash Q : s \sim t \quad \vdash p : \mathbb{I}}{\Gamma \vdash Q p : T}$$
$$\frac{\vdash t : T}{\vdash \text{refl}_t : t \sim t}$$

Paths between terms

$$\frac{\vdash s : S \quad \vdash t : T}{\vdash s \sim t : *} \quad \frac{\vdash s, t : T \quad \vdash Q : s \sim t \quad \vdash p : \mathbb{I}}{\Gamma \vdash Q p : T}$$
$$\frac{\vdash t : T}{\vdash \text{refl}_t : t \sim t}$$

Coinduction (for non-dependent types):

$$R : (x : \nu, y : \nu) \rightarrow *$$
$$\frac{x_k : \nu, y_k : \nu, z_k : R @ x_k @ y_k \vdash g_k : \overline{A}_k[R][\xi_k @ x_k/x, \xi_k @ y_k/y]}{\vdash \text{coind } \overrightarrow{(x_k, y_k, z_k)}. g_k : (x : \nu, y : \nu, z : R @ x @ y) \rightarrow x \sim y}$$

Paths between terms

$$\frac{\vdash s : S \quad \vdash t : T}{\vdash s \sim t : *}$$
$$\frac{\vdash s, t : T \quad \vdash Q : s \sim t \quad \vdash p : \mathbb{I}}{\Gamma \vdash Q p : T}$$
$$\frac{\vdash t : T}{\vdash \text{refl}_t : t \sim t}$$

Coinduction (for non-dependent types):

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Remark

Using bisimulations is rather brute-force. Maybe better: **later modality**.

Reductions

$$0(p - q) \succ p$$

$$1(p - q) \succ q$$

$$(\langle i \rangle. M) p \succ M[p/i]$$

If $Q : s \sim t$, then $Q 0 \succ s$

If $Q : s \sim t$, then $Q 1 \succ t$

$$s [0 \mid Q \mid 0] \succ s$$

$$s [1 \mid Q \mid 1] \succ s$$

$$(\alpha_k @ t) [0 \mid \langle i \rangle. \mu(X : *; \overrightarrow{A[i]}) \mid 1] \succ \alpha_k @ (t [0 \mid \langle i \rangle. A_k[i] \mid 1])$$

$$\left(\text{corec } \overrightarrow{(y_k). g_k} @ t \right) [0 \mid \langle i \rangle. \nu(X : *; \overrightarrow{A[i]}) \mid 1] \succ \left(\text{corec } \overrightarrow{(y_k). g'_k} @ t' \right)$$

Example (Function Extensionality)

Define

$$R : (h : A \rightarrow B, k : A \rightarrow B) \rightarrow *$$
$$R := (h). (k). \Pi x : A. hx \sim kx$$

and

$$\frac{x : A, h, k : A \rightarrow B, z : R @ h @ k \vdash z : \Pi x : A. hx \sim kx}{x : A, h, k : A \rightarrow B, z : R @ h @ k \vdash zx : hx \sim kx}$$
$$\frac{f, g, p : \Pi x : A. fx \sim gx \vdash \text{coind } ((x, h, k, z). zx) @ f @ g @ p : f \sim g}{}$$

Thank you very much for your attention!