

Exercises

For the part taught by Henk barendregt of Computability Theory, Mastermath Course, Fall 2014.

In red: correction found by some of the students or by me.

Notation $\mathbb{N} = \{0, 1, 2, 3, \dots\}$. The disjoint union of two sets $A, B \subseteq \mathbb{N}$ is denoted by $A \cup^* B$. The set of (closed) λ -terms is denoted by Λ (respectively Λ^θ). Remember $K = \{x \mid \varphi_x(x) \downarrow\}$, $\mathbf{true} \triangleq \lambda xy.x$, $\mathbf{false} \triangleq \lambda xy.y$.

1. Week 6.10

1.1. Remember for $n, m \in \mathbb{N}_* = \mathbb{N} \cup \{*\}$ we write

$$\begin{aligned} nm &= \varphi_n(m) \quad (= \varphi_n^{(1)}(m)) && \text{if defined;} \\ &= * && \text{else, including } * \in \{n, m\}. \end{aligned}$$

We use association to the left.

(i) Show that there exists a $w \in \mathbb{N}$ satisfying for all $x, y \in \mathbb{N}_*$

$$wxy = xyy.$$

Solution. As xyy is partially computable in x, y there is an index e such that

$$\begin{aligned} xyy &= \varphi_e(x, y) \\ &= \varphi_{S(e, x)}(y), && \text{applying the s-m-n theorem} \\ &= \varphi_{\varphi_w(x)}(y), && \text{idem.} \end{aligned}$$

(ii) Show that there exist $x, y \in \mathbb{N}$ such that $wxy = *$.

Solution. Let e be the index of the totally undefined function. Then

$$we0 \simeq e00 \simeq *0 = *.$$

(iii) Show that there exists a $k \in \mathbb{N}$ satisfying for all $x, y \in \mathbb{N}$

$$kxy = x.$$

Solution. Similar to (i).

(iv) Show that $\forall x, y \in \mathbb{N}_*. kxy = x$ doesn't hold.

Solution. $k0 = * \neq 0$.*

1.2. Show that there exist $e, e' \in \mathbb{N}$ such that for all $x \in \mathbb{N}_*$

$$ex = e' + x \text{ \& } e'x = e + 2x.$$

Solution. The double recursion theorem (1.11 of CT61014.pdf) states that for total computable functions f, g of two arguments there are e_1, e_2 such that

$$e_1 \sim f(e_1, e_2) \quad e_2 \sim g(e_1, e_2).$$

Now take $f(a, b)$ such that $\varphi_{f(a,b)}(x) = b + x$ (applying the S-m-n theorem to $\psi(a, b, x) = b + x$) and $g(a, b)$ such that $\varphi_{g(a,b)}(x) = a + 2x$. Now apply the double recursion theorem to obtain e, e' such that

$$e \sim f(e, e') \quad e' \sim g(e, e').$$

1.3. Show that there exists a computable function f such that the set of its fixed points

$$\mathcal{F}_f = \{e \in \mathbb{N} \mid f(e) \sim e\}$$

is not computable, by showing that $K \leq_m \mathcal{F}_f$. [Hint. This is possible for a relatively easy function f .]

Solution. For a certain computable function f we hope to construct a computable g such that for all $n \in \mathbb{N}$

$$\begin{aligned} n \in K &\iff f(g(n)) \sim g(n) \\ &\iff \forall x. \varphi_{f(g(n))}(x) \simeq \varphi_{g(n)}(x). \end{aligned}$$

This goal is simplified by constructing f such that $\forall x, m. \varphi_{f(m)}(x) = 0$, by applying the S-m-n theorem to $\psi(m, x) = 0$. Then we want a total computable g such that

$$n \in K \iff \forall x. 0 = \varphi_{g(n)}(x).$$

Define

$$\chi(n, x) = \left\{ \begin{array}{ll} 0 & \text{if } n \in K \\ \uparrow & \text{else.} \end{array} \right\} = \varphi_{g(n)}(x),$$

by the S-m-n theorem. This g works.

2. Week 20.10

- 2.1. Write down a closed CL-term W consisting of I, K, S using applications such that (verify that it works!)

$$Wxy =_{\text{CL}} xyy.$$

Solution. We know from theory that $W \equiv [x]([y]xyy)$ works:

$$Wxy \equiv (([x]([y]xyy))x)y =_{\text{CL}} ([y]xyy)y =_{\text{CL}} xyy.$$

To write down this term we apply the algorithm (on p. 8 of CT201014.pdf):

$$[y]xyy = S([y]xy)([y]y) = S(S([y]x)([y]y))I = S(S(Kx)I)I. \text{ Hence } W \text{ is}$$

$$\begin{aligned} [x]([y]xyy) &= [x]S(S(Kx)I)I \\ &= S(S(KS)(S(S(KS)(S(KK)I))(KI)))(KI). \end{aligned}$$

More efficiently (we use $[x]P = KP$ and $[x]Px = P$ if $x \notin \text{FV}(P)$)

$$[y]xyy = S([y]xy)([y]y) = SxI. \text{ Hence } W \text{ is}$$

$$\begin{aligned} [x]([y]xyy) &= [x]SxI \\ &= S([x]Sx)([x]I) \\ &= SS(KI). \end{aligned}$$

Verification: $SS(KI)xy = Sx(KIx)y = xy(Iy) = xyy$, indeed!

- 2.2. Write down an $F \in \Lambda^\emptyset$ such that (verify that it works!)

$$Fx =_{\beta} xF.$$

Solution. The desired equation follows from $F =_{\beta} \lambda x.xF$, and this follows from $F =_{\beta} (\lambda fx.xf)F$. Thus we may take F as the fixed point of $(\lambda fx.xf)$, for example $F \equiv Y(\lambda fx.xf) =_{\beta} (\lambda zx.x(zz))(\lambda zx.x(zz))$. *Verification,* writing $D = (\lambda zx.x(zz))$:

$$Fx \equiv DDx \equiv (\lambda zx.x(zz))Dx \rightarrow_{\beta} x(DD) \equiv xF.$$

- 2.3. Let $\omega \triangleq \lambda x.xx$ and $1 \triangleq \lambda fx.fx$ ($\equiv \mathbf{c}_1$). We may think that $\omega 1 =_{\beta} K\omega$ (why?), but actually $\omega 1 =_{\beta} 1$. Show that from $\omega 1 = K\omega$ one can derive any equation.

Solution. The following is seductive, but wrong:

$$\omega 1 \rightarrow_{\beta} 11 \equiv (\lambda fx.fx)1 \rightarrow_{\beta} \lambda x.1x \equiv \lambda x.(\lambda fx.fx)x \rightarrow_{\beta} \lambda x.(\lambda x.xx) =_{\beta} K\omega.$$

The correct derivation from $\lambda x.1x$ is:

$$\lambda x.1x \equiv \lambda x.(\lambda fx'.fx')x \rightarrow_{\beta} \lambda x.(\lambda x'.xx') \equiv \lambda xx'.xx' \equiv_{\alpha} \lambda fx.fx \equiv 1.$$

For the derivation of a contradiction (any equation) note

$$\begin{aligned}\omega 1 = K\omega &\Rightarrow \omega 1ab = K\omega ab \\ &\Rightarrow 11ab = \omega b \\ &\Rightarrow ab = bb\end{aligned}$$

Taking $a = KX$, $b = KY$, we get $KX(KY) = KY(KY)$, hence $X = Y$.

3. Week 27.10

- 3.1. Let $P = \{n \in \mathbb{N} \mid \exists p > n. p \text{ and } p+2 \text{ are primes}\}$. Let f be a computable function of two arguments. Define $Q = \{n \in \mathbb{N} \mid \neg \exists m. f(n, m) = m\}$.

(i) Show as warm-up that

$$\begin{aligned}K \cup \overline{K} &\leq_m K \cup^* \overline{K}; \\ K \cup^* \overline{K} &\not\leq_m K \cup \overline{K}.\end{aligned}$$

Solution. We have to show $\mathbb{N} \leq_m K \cup^* \overline{K}$, and $K \cup^* \overline{K} \not\leq_m \mathbb{N}$. Let $k \in K$; then $\langle k, 0 \rangle \in K \cup^* \overline{K}$. Taking $f(x) = \langle k, 0 \rangle$, we have trivially $f: \mathbb{N} \leq_m K \cup^* \overline{K}$. For the inequality, note that we have $k \notin \overline{K}$, hence $\langle k, 1 \rangle \notin K \cup^* \overline{K}$. Suppose $g: K \cup^* \overline{K} \leq_m \mathbb{N}$. Then we should have $g(\langle k, 1 \rangle) \notin \mathbb{N}$, which is impossible. Therefore $K \cup^* \overline{K} \not\leq_m \mathbb{N}$.

(ii) Now show

$$\begin{aligned}P &\leq_m K \cup^* \overline{K}; \\ Q &\leq_m K \cup^* \overline{K}.\end{aligned}$$

Solution. Note that P is a ce set. Hence $f: P \leq_m K$, for some computable f , as K is ce-complete. Define $f'(n) = \langle f(n), 0 \rangle$. Then $f': P \leq_m K \cup^* \overline{K}$:

$$n \in P \iff f(n) \in K \iff f'(n) = \langle f(n), 0 \rangle \in K \cup^* \overline{K}.$$

Similarly Q is co-ce, hence $h: Q \leq_m \overline{K}$, for $h: \overline{Q} \leq_m K$. Define $h'(n) = \langle h(n), 1 \rangle$. Then similarly $h': Q \leq_m K \cup^* \overline{K}$.

(iii) Show that in the future of mathematics it could be the case that $P \leq_m K \cup \overline{K}$.

Solution. It may be proved in the future that there are infinitely many prime twins. Then $P = \mathbb{N}$ and trivially $P \leq_m K \cup \overline{K}$.

(iv)* Show that already today $P \leq_m \{2n \mid n \in \mathbb{N}\}$, but not intuitionistically so!

Solution. By classical logic either there are infinitely many prime twins or not. In the first case $P = \mathbb{N}$ and taking $f(n) = 0$ has $f: P \leq_m \{2n \mid n \in \mathbb{N}\}$. In the second case $P = \{0, \dots, p\}$, with $p, p+2$ the last prime twin. Define $g(x) = 0$, if $x \leq p$, else 1. Then $g: P \leq_m \{2n \mid n \in \mathbb{N}\}$.

This reasoning uses the excluded middle and is not intuitionistic.

- 3.2. (i) Define the predicate

$$P(e, x) \triangleq \varphi_e \text{ is total and } \varphi_e(x) \sim x.$$

Show that $P \in \Pi_2^0$.

Solution. Note that $P(e, x) \iff$

$$\forall n \exists s. \varphi_{e,s}(n) \downarrow \ \& \ \forall m \exists s. [\varphi_{\varphi_{e,s}(x),s}(m) = \varphi_{x,s}(m)].$$

This is of the form $\forall \forall \exists \exists$, hence in Π_2^0 .

- (ii) What is the best position in the Arithmetical Hierarchy for P ?

Solution. We claim that $\overline{K}_2 \leq_m P$; then P is m -complete for Π_2^0 , as K_2 is m -complete for Σ_2^0 . It follows that Π_2^0 is the lowest level for P in the arithmetical hierarchy.

To show the claim, remember $e \in \overline{K}_2 \iff \forall x \exists s. \varphi_{e,s}^2(e, x) \downarrow$. Define

$$\psi(e, x) = \left\{ \begin{array}{ll} x & \text{if } \varphi_e(e, x) \downarrow \\ \uparrow & \text{else} \end{array} \right\} = \varphi_{S(e)}(x),$$

by the S - m - n theorem. Then $\varphi_{S(e)}$ is the identity if $e \in \overline{K}_2$ and always undefined otherwise. Therefore $S: \overline{K} \leq_m P$, since in case $e \in \overline{K}_2$ every x is a fixed point of $\varphi_{S(e)}$, being the identity.

- 3.3. (i) Construct λ -defining terms for (see Syllabus CT) pd (predecessor), $\dot{-}$ (truncated subtraction), χ_{\geq} .

Solution. Remember the defining schemes for these three functions:

$$\begin{aligned} pd(0) &= 0 \\ pd(x+1) &= x \\ x \dot{-} 0 &= x \\ x \dot{-} (y+1) &= pd(x \dot{-} y) \\ \chi_{\geq}(x, y) &= sg((x+1) \dot{-} y) \\ sg(0) &= 0 \\ sg(n+1) &= 1. \end{aligned}$$

The λ -defining term for the successor is $\text{succ} \triangleq \lambda n f x. f(n f x)$.

The λ -defining terms for $pd, \dot{-}, \chi_{\geq}$ are $\text{pred}, \dot{-}, F_{\geq}$ respectively defined as follows.

$$\begin{aligned} \text{pred} &= \lambda n. n T[0, 0] \text{ false}, & \text{with } T &= \lambda z. [\text{succ}(z \text{ true}), z \text{ true}]; \\ \text{monus} &= \lambda x y. y \text{ pred } x; \\ F_{\geq} &= \lambda x y. \text{sg}(\text{monus}(\text{succ } x) y). \end{aligned}$$

- (ii) Use the previous item and exercise 2 of CT271014.pdf to construct a λ -defining term of

$$g(n) = \mu x.[x + x \geq n].$$

Solution. First we construct a $B \in \Lambda^\theta$ such that

$$\begin{aligned} Bc_0 &= \text{false}, \\ Bc_{k+1} &= \text{true}, \end{aligned}$$

taking $B \triangleq \lambda n.n(\mathbf{K} \text{ true}) \text{ false}$. We want an $H \in \Lambda^\theta$ such that intuitively

$$\begin{aligned} Hnx &= x && \text{if } x + x \geq n \\ &= Hn(x + 1) && \text{else.} \end{aligned}$$

Then we can take $G \triangleq \lambda n.Hnc_0$.

This H can be obtained by a fixed point construction satisfying

$$H =_\beta \lambda nx.B(F_{\geq}(A_+xx)n)x(Hn(\mathbf{succ} x)).$$

It suffices to take

$$H \triangleq \mathbf{Y}(\lambda hnx.B(F_{\geq}(A_+xx)n)x(hn(\mathbf{succ} x))).$$

4. Week 10.11

- 4.1. Let $\mathbf{Y} \triangleq \lambda f.(\lambda x.f(xx))(\lambda x.f(xx))$ and $\Theta \triangleq (\lambda ab.b(aab))(\lambda ab.b(aab))$. These terms are the fixed point combinators of Haskell Curry and Alan Turing, respectively. Show that

- (i) $\mathbf{Y}f =_\beta f(\mathbf{Y}f)$ and $\Theta f =_\beta f(\Theta f)$.

Solution. Define $\omega_f \triangleq \lambda x.f(xx)$. Then

$$\mathbf{Y}f \equiv (\lambda f.\omega_f\omega_f)f =_\beta \omega_f\omega_f =_\beta f(\omega_f\omega_f) =_\beta f(\mathbf{Y}f).$$

- (ii) $\mathbf{Y}f \not\rightarrow_\beta f(\mathbf{Y}f)$.

Solution. The reduction graph $G_\beta(\mathbf{Y}f)$ is:

$$\begin{array}{ccccccc} \mathbf{Y}f \equiv (\lambda f.f(\omega_f\omega_f))f & \xrightarrow{\beta} & (\lambda f.f^2(\omega_f\omega_f))f & \xrightarrow{\beta} & (\lambda f.f^3(\omega_f\omega_f))f & \xrightarrow{\beta} & \dots \\ \beta \downarrow & & \beta \downarrow & & \beta \downarrow & & \\ f(\omega_f\omega_f) & \xrightarrow{\beta} & f^2(\omega_f\omega_f) & \xrightarrow{\beta} & f^3(\omega_f\omega_f) & \xrightarrow{\beta} & \dots \end{array}$$

We see that $f(\mathbf{Y}f)$ never appears.

(iii) $\Theta f \rightarrow_{\beta} f(\Theta f)$.

Solution. Write $A \triangleq (ab.b(aab))$. Then

$$\Theta f \equiv AAF \rightarrow_{\beta} (\lambda b.b(AAb))f \rightarrow_{\beta} f(AAf) \equiv f(\Theta f).$$

(iv) There exists an $F \in \Lambda^{\emptyset}$ such that $Fx \rightarrow_{\beta} xF$.

Solution. $Fx \rightarrow_{\beta} xF$ follows from $F \rightarrow_{\beta} \lambda x.xF$, which follows from $F \rightarrow_{\beta} (\lambda f x.xf)F$. We can take $F \triangleq \Theta(\lambda f x.xf)$ and apply (iii).

4.2. Define

$$\begin{aligned} \mathcal{F}_1 &= \{M \in \Lambda \mid \text{FV}(M) = \{x\}\}, \\ \mathcal{F}_2 &= \{M \in \Lambda \mid \exists N \in \Lambda. M =_{\beta} N \ \& \ \text{FV}(N) = \{x\}\}. \end{aligned}$$

Which of these two sets is decidable (after coding)? Prove your answers.

Solution. \mathcal{F}_1 is decidable, because when (a code of) M is given, then we can compute (a code of) $\text{FV}(M)$ and see whether it is $\{x\}$.

We have $\mathcal{F}_2 \neq \Lambda$: one has $y \notin \mathcal{F}_2$; indeed, if $y =_{\beta} N$, then by the Church-Rosser theorem $N \rightarrow_{\beta} y$ and hence $\text{FV}(N) = \{x\}$ is impossible, as free variables cannot be created during a reduction. Also $\mathcal{F}_2 \neq \emptyset$: one has $x \in \mathcal{F}_2$. Finally \mathcal{F}_2 is by definition closed under $=_{\beta}$. By Scott's theorem it follows that \mathcal{F}_2 is undecidable.

4.3. Show that there exists a term $F \in \Lambda^{\emptyset}$ such that for all $G \in \Lambda$ one has

$$F \ulcorner G \urcorner = \begin{cases} \text{true} & \text{if } G \equiv F \\ \text{false} & \text{else} \end{cases}$$

[Hint. F may be called a *selfish* term. Use that \equiv (up to α -equivalence) is decidable, that computable functions are λ -definable, and the second fixed point theorem.]

Solution. Following the hint there exists an $H \in \Lambda^{\emptyset}$ such that

$$H \ulcorner F \urcorner \ulcorner G \urcorner = \begin{cases} \mathbf{c}_0, & \text{if } F \equiv G \\ \mathbf{c}_1, & \text{else.} \end{cases}$$

We can modify H to $H' \triangleq \lambda f g. Hfg(\mathbf{K}\text{true})\text{false}$ such that

$$H' \ulcorner F \urcorner \ulcorner G \urcorner = \begin{cases} \text{true}, & \text{if } F \equiv G \\ \text{false}, & \text{else.} \end{cases}$$

By the second fixed point theorem there exists an $F \in \Lambda$ such that

$$H' \ulcorner F \urcorner =_{\beta} F.$$

Then $\text{FV}(F) = \text{FV}(H') = \emptyset$, so $F \in \Lambda^{\emptyset}$, and has the required property

$$F \ulcorner G \urcorner = H' \ulcorner F \urcorner \ulcorner G \urcorner = \begin{cases} \text{true}, & \text{if } F \equiv G \\ \text{false}, & \text{else.} \end{cases} .$$

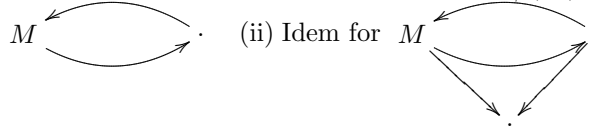
5. Week 17.11

- 5.1. Show that there is a term $D \in \Lambda^\theta$ such that for all $M \in \Lambda^\theta$

$$D \ulcorner M \urcorner =_\beta M \ulcorner \ulcorner M \urcorner \urcorner.$$

Solution. Note that $E \ulcorner M \urcorner =_\beta M$ and $\text{Num} \ulcorner M \urcorner =_\beta \ulcorner \ulcorner M \urcorner \urcorner$ for all $M \in \Lambda^\theta$. Hence we can take $D \triangleq \lambda m. E m (\text{Num } m)$.

- 5.2. (i) Find a term $M \in \Lambda$ such that its reduction graph $G_\beta(M)$ looks like



Solution. (i) We try $M \equiv AB$, with $A \equiv \lambda a. a \dots$, $B \equiv \lambda b. C[b]$. Then $M \equiv AB \rightarrow_\beta B \dots \rightarrow_\beta C[\dots]$. If the latter is going to be AB , then choosing $A \triangleq \lambda a. ala$, $B \triangleq \lambda b. aba$ works: $AB \rightarrow_\beta B \mid B \rightarrow_\beta (\lambda a. ala) B$. Simpler: $A \triangleq \lambda a. aaa$, $B \triangleq \lambda x. A$. Then

$$AB \rightarrow_\beta BBB \equiv (\lambda x. A) BB \rightarrow_\beta AB.$$

(ii) Take $M \triangleq (\lambda x. l)(AB)$, with AB as in (i). With the second solution of (i) one can take $M \triangleq B(AB)$.

- 5.3. Find (simple) types for the following λ -terms: (i) $\lambda xy. xy(xyy)$. (ii) $\lambda xy. x(yx)$. (iii) $\lambda xy. x(yxx)$.

Solution.

(i)

$$\frac{\frac{\frac{x:\alpha^2 \rightarrow \alpha, y:\alpha \vdash xy : \alpha \rightarrow \alpha \quad x:\alpha^2 \rightarrow \alpha, y:\alpha \vdash xyy : \alpha}{x:\alpha^2 \rightarrow \alpha, y:\alpha \vdash xy(xyy) : \alpha}}{x:\alpha^2 \rightarrow \alpha \vdash \lambda y. xy(xyy) : \alpha \rightarrow \alpha}}{\vdash \lambda xy. xy(xyy) : (\alpha^2 \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha}$$

This solution is not complete: the ‘axiom’

$$x:\alpha^2 \rightarrow \alpha, y:\alpha \vdash xy : \alpha \rightarrow \alpha$$

really should have been derived

$$\frac{x:\alpha^2 \rightarrow \alpha, y:\alpha \vdash x : \alpha \rightarrow \alpha \rightarrow \alpha \quad x:\alpha^2 \rightarrow \alpha, y:\alpha \vdash y : \alpha}{x:\alpha^2 \rightarrow \alpha, y:\alpha \vdash xy : \alpha \rightarrow \alpha},$$

and similarly for the other ‘axiom’ $x:\alpha^2 \rightarrow \alpha, y:\alpha \vdash xyy : \alpha$.

(ii)

$$\frac{\frac{\frac{x:\alpha \rightarrow \beta, y:(\alpha \rightarrow \beta) \rightarrow \alpha \vdash x : \alpha \rightarrow \beta \quad x:\alpha \rightarrow \beta, y:(\alpha \rightarrow \beta) \rightarrow \alpha \vdash yx : \alpha}{x:\alpha \rightarrow \beta, y:(\alpha \rightarrow \beta) \rightarrow \alpha \vdash x(yx) : \beta}}{x:\alpha \rightarrow \beta \vdash \lambda y. x(yx) : ((\alpha \rightarrow \beta) \rightarrow \alpha) \rightarrow \beta}}{\vdash \lambda xy. x(yx) : (\alpha \rightarrow \beta) \rightarrow ((\alpha \rightarrow \beta) \rightarrow \alpha) \rightarrow \beta}$$

- (iii) In this item we show how the types are found, working ‘bottom up’.
We want

$$\frac{}{\vdash \lambda xy.x(yxx) : 1}$$

This can come only from

$$\frac{x:2 \vdash \lambda y.x(yxx) : 3}{\vdash \lambda xy.x(yxx) : 1 = 2 \rightarrow 3}$$

And this only from

$$\frac{\frac{x:2, y:4 \vdash x(yxx) : 5}{x:2 \vdash \lambda y.x(yxx) : 3 = 4 \rightarrow 5}}{\vdash \lambda xy.x(yxx) : 1 = 2 \rightarrow 3}$$

For this we need $4 = 2 \rightarrow 2 \rightarrow 6$ and $2 = 6 \rightarrow 5$, obtaining

$$\frac{\frac{\frac{x:(6 \rightarrow 5), y:(6 \rightarrow 5)^2 \rightarrow 6 \vdash x(yxx) : 5}{x:(6 \rightarrow 5) \vdash \lambda y.x(yxx) : ((6 \rightarrow 5)^2 \rightarrow 6) \rightarrow 5}}{\vdash \lambda xy.x(yxx) : (6 \rightarrow 5) \rightarrow ((6 \rightarrow 5)^2 \rightarrow 6) \rightarrow 5}}$$

Or with a renaming

$$\frac{\frac{\frac{x:(\alpha \rightarrow \beta), y:(\alpha \rightarrow \beta)^2 \rightarrow \beta \vdash x(yxx) : \alpha}{x:(\alpha \rightarrow \beta) \vdash \lambda y.x(yxx) : ((\alpha \rightarrow \beta)^2 \rightarrow \alpha) \rightarrow \beta}}{\vdash \lambda xy.x(yxx) : (\alpha \rightarrow \beta) \rightarrow ((\alpha \rightarrow \beta)^2 \rightarrow \alpha) \rightarrow \beta}}$$

6. Week 01.12

- 6.1. Let $o \in \mathbb{T}^A$. Remember that $0 = o$, $n + 1 = n \rightarrow o$. Define

$$\Lambda(A) = \{M \in \Lambda^\emptyset \mid \vdash_{\lambda_{\text{cu}}} M : A\}.$$

We will show that for $A \in \{0, 2, 4, \dots\}$ one has $\Lambda(A) = \emptyset$.

- (i) Show $\Lambda(0) = \emptyset$. [Hint. Suppose that $\vdash_{\lambda_{\text{cu}}} M : 0$, with $M \in \Lambda^\emptyset$. We may assume that M is in β -nf (why?). If $M \equiv PQ$, then M is not in nf. If $\vdash M \equiv \lambda x.P : A$, then $A \equiv B \rightarrow C$. If $M \equiv x$, then $M \notin \Lambda^\emptyset$.]

Solution. Suppose $M \in \Lambda(0)$. By the normalization theorem M has a normal form N . By the Church-Rosser theorem $M \rightarrow_\beta N$. Since typing is preserved under β -reduction one has $N \in \Lambda(0)$. Every (untyped) lambda term is of the form $\lambda \vec{x}.y\vec{R}$ or $\lambda \vec{x}.(\lambda y.P)Q\vec{R}$. Since 0 is an atomic type for $N \in \Lambda(0)$ one has $\vec{x} = \emptyset$: this means that N is either of the form $y\vec{R}$ or $(\lambda y.P)Q\vec{R}$. The first case is not possible as N is closed, the second not as N is in normal form.

(ii) Show $\Lambda(2) = \emptyset$. [Hint. use that $1 \in \Lambda(1)$ and (i).]

Solution. If $M \in \Lambda(2)$, then $M1 \in \Lambda(0)$, which is not possible by (i).

(iii) Show $\Lambda(3) \neq \emptyset$. [Hint. Consider $M \equiv \lambda F^2.F^21$.]

(iv) Show $\Lambda(4) = \emptyset$. *Similar to (ii), using (iii).*

(v) Show $\Lambda(2n - 1) \neq \emptyset$, $\Lambda(2n) = \emptyset$, for all $n > 0$.

Solution. We show $\Lambda(5) \neq \emptyset$ from which follows as before that $\Lambda(6) = \emptyset$. An inhabitant $M_5 \in \Lambda(5)$ should be of the form $M_5 \equiv \lambda F^4.N$, with N of type 0. We can find such an N by taking $N \equiv F^4M_3$, with $M_3 \in \Lambda(3)$, according to (iii). The general argument is by induction.

6.2. We study ways in which the proof of SN for $\lambda_{\rightarrow}^{\text{CH}}$ after some modification doesn't hold.

(i) If one doesn't add constants, where does the proof break down?

Solution. We need terms in \mathcal{C} in order to be able to substitute and give arguments to a term in \mathcal{C}^* ; this is needed in the proof of (4) on page 7/11 in CT011214.pdf.

(ii) If one defines $\mathcal{C}_A^* \triangleq \mathcal{C}_A$, where does the proof break down?

Solution. In the proof of (5), in the same proof, step (7) case $M \equiv (\lambda x.P)$ would fail. In that step we want to show that $(\lambda x.P) \in \mathcal{C}^*$, knowing that $P \in \mathcal{C}^*$. The argument $Q \in \mathcal{C}$ given to $(\lambda x.P)$ gets swallowed by P resulting in $P[x := Q]$ and the induction hypothesis ($P \in \mathcal{C}^*$) needs to deal with substitution results.

(iii) If one defines $\mathcal{C}_A \triangleq \{M \in \Lambda(A) \mid M \in \text{SN}\}$, where does the proof break down?

Solution. Now in the case $M \equiv PQ$ of step (7) in the same proof we (perhaps) don't have that $P, Q \in \text{SN} \Rightarrow (PQ) \in \text{SN}$.

6.3. We will show that $\vdash_{\lambda_{\rightarrow}^{\text{CH}}} N : A \ \& \ M \rightarrow_{\beta} N \not\Rightarrow \vdash_{\lambda_{\rightarrow}^{\text{CH}}} M : A$.

(i) Show that $\text{SK} \rightarrow_{\beta} \text{false}$.

Solution.

$$\text{SK} \equiv (\lambda abc.ac(bc))\text{K} \rightarrow_{\beta} \lambda bc.\text{K}c(bc) \rightarrow_{\beta} \lambda bc.c \equiv \text{false}.$$

(ii) Show $\vdash \text{false} : \alpha \rightarrow \beta \rightarrow \beta$. *Solution.*

$$\frac{\frac{x:\alpha, y:\beta \vdash y : \beta}{x:a \vdash \lambda y.y : \beta \rightarrow \beta}}{\vdash \lambda xy.y : \alpha \rightarrow \beta \rightarrow \beta}$$

(iii) Show $\vdash \mathbf{SK} : (\beta \rightarrow \gamma) \rightarrow \beta \rightarrow \beta$.

Solution.

$$\frac{\frac{\frac{x:\alpha \rightarrow \beta \rightarrow \gamma, y:\alpha \rightarrow \beta, z:\alpha \vdash xz(yz) : \gamma}{x:\alpha \rightarrow \beta \rightarrow \gamma, y:\alpha \rightarrow \beta \vdash \lambda z.xz(yz) : \alpha \rightarrow \gamma}}{x:\alpha \rightarrow \beta \rightarrow \gamma \vdash \lambda yz.xz(yz) : (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma}}{\vdash \mathbf{S} \equiv \lambda xyz.xz(yz) : (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \quad \frac{\frac{x:\alpha, y:\beta \vdash x : \alpha}{x:a \vdash \lambda y.x : \beta \rightarrow \alpha}}{\vdash \mathbf{K} \equiv \lambda xy.x : \alpha \rightarrow \beta \rightarrow \alpha}}$$

In order to fit K as argument for S we must unify the types by substitution $[\gamma := \alpha]$:

$$\frac{\frac{\frac{x:\alpha \rightarrow \beta \rightarrow \alpha, y:\alpha \rightarrow \beta, z:\alpha \vdash xz(yz) : \alpha}{x:\alpha \rightarrow \beta \rightarrow \alpha, y:\alpha \rightarrow \beta \vdash \lambda z.xz(yz) : \alpha \rightarrow \alpha}}{x:\alpha \rightarrow \beta \rightarrow \alpha \vdash \lambda yz.xz(yz) : (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \alpha}}{\vdash \mathbf{S} \equiv \lambda xyz.xz(yz) : (\alpha \rightarrow \beta \rightarrow \alpha) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \alpha} \quad \frac{\frac{x:\alpha, y:\beta \vdash x : \alpha}{x:a \vdash \lambda y.x : \beta \rightarrow \alpha}}{\vdash \mathbf{K} \equiv \lambda xy.x : \alpha \rightarrow \beta \rightarrow \alpha}}{\vdash \mathbf{SK} : (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \alpha}$$

This type for SK is a renaming variant of $(\beta \rightarrow \gamma) \rightarrow \beta \rightarrow \beta$.

(iv) Show $\not\vdash \mathbf{SK} : \alpha \rightarrow \beta \rightarrow \beta$.

Solution. The derivation under (iii) of a type for SK shows it is minimal.