

Proving with Computer Assistance

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Lecture **Simple Type Theory a la Curry: assigning types to untyped terms, principal type algorithm**

Overview of today's lecture

- ▶ Recap of Simple Type Theory a la Church
- ▶ Recap of Untyped lambda calculus
- ▶ Simple Type Theory a la Curry (versus a la Church)
A **programmers view** on type theory
- ▶ Principal Types algorithm
- ▶ Properties of Simple Type Theory.

Recap: Simple type theory a la Church.

Formulation with **contexts** to declare the free variables:

$$x_1 : \sigma_1, x_2 : \sigma_2, \dots, x_n : \sigma_n$$

is a **context**, usually denoted by Γ .

Derivation rules of $\lambda \rightarrow$ (à la Church):

$$\frac{x:\sigma \in \Gamma}{\Gamma \vdash x : \sigma} \quad \frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash MN : \tau} \quad \frac{\Gamma, x:\sigma \vdash P : \tau}{\Gamma \vdash \lambda x:\sigma. P : \sigma \rightarrow \tau}$$

$\Gamma \vdash_{\lambda \rightarrow} M : \sigma$ if there is a derivation using these rules with conclusion $\Gamma \vdash M : \sigma$

Recap: Formulas-as-Types (Curry, Howard)

There are **two readings** of a judgement $M : \sigma$

1. term as **algorithm/program**, type as **specification**:
 M is a function of type σ

2. type as a **proposition**, term as its **proof**:
 M is a proof of the proposition σ

▶ There is a **one-to-one correspondence**:
typable terms in $\lambda \rightarrow$ \simeq derivations in minimal proposition
logic

▶ $x_1 : \tau_1, x_2 : \tau_2, \dots, x_n : \tau_n \vdash M : \sigma$ can be read as
 M is a **proof** of σ from the **assumptions** $\tau_1, \tau_2, \dots, \tau_n$.

Recap: Example

$$\frac{\frac{\frac{[\alpha \rightarrow \beta \rightarrow \gamma]^3 \quad [\alpha]^1}{\beta \rightarrow \gamma}}{\alpha \rightarrow \gamma} \quad 1}{(\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \quad 2}{(\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \quad 3$$

\approx

$$\lambda x: \alpha \rightarrow \beta \rightarrow \gamma. \lambda y: \alpha \rightarrow \beta. \lambda z: \alpha. xz(yz) \\ : (\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma$$

Example

Find a term M of type $((A \rightarrow B) \rightarrow A) \rightarrow (A \rightarrow A \rightarrow B) \rightarrow A$, and give a **typing derivation** that shows this typing.

Untyped λ -calculus

Untyped λ -calculus

$$\Lambda ::= \text{Var} \mid (\Lambda \Lambda) \mid (\lambda \text{Var}.\Lambda)$$

Examples:

- $\mathbf{K} := \lambda x y. x$
- $\mathbf{S} := \lambda x y z. x z (y z)$
- $\omega := \lambda x. x x$
- $\Omega := \omega \omega$

$$\Omega \rightarrow_{\beta} \Omega$$

Untyped λ -calculus

Untyped λ -calculus is **Turing complete**

Its power lies in the fact that you can **solve recursive equations**:

Is there a term M such that

$$M x =_{\beta} x M x?$$

Is there a term M such that

$$M x =_{\beta} \mathbf{if} (\mathbf{Zero} x) \mathbf{then} 1 \mathbf{else} \mathbf{Mult} x (M (\mathbf{Pred} x))?$$

Yes, because we have a fixed point combinator:

$$- \mathbf{Y} := \lambda f. (\lambda x. f(x x)) (\lambda x. f(x x))$$

Property:

$$\mathbf{Y} f =_{\beta} f(\mathbf{Y} f)$$

Untyped λ -calculus (ctd.)

Solving recursive equations using the fixed point combinator:

- ▶ For M a λ -term, $\mathbf{Y} M$ is a **fixed point** of M , that is

$$M(\mathbf{Y} M) =_{\beta} \mathbf{Y} M$$

- ▶ As a consequence, a question like “Is there a λ -term P such that $P x =_{\beta} x P x P$ (for all x)?” can be answered affirmative:

Why do we want types?

- ▶ Types give a (partial) specification
- ▶ Typed terms can't go wrong (Milner)
Subject Reduction property: If $M : A$ and $M \rightarrow_{\beta} N$, then $N : A$.
- ▶ Typed terms always terminate
- ▶ The type checking algorithm detects (simple) mistakes

But:

- ▶ The compiler should compute the type information for us! (Why would the programmer have to type all that?)
- ▶ This is called a **type assignment system**, or also **typing à la Curry**:
- ▶ For M an **untyped term**, the type system **assigns** a type σ to M (or not)

Simple Type Theory à la Church and à la Curry

$\lambda \rightarrow$ (à la Church):

$$\frac{x:\sigma \in \Gamma}{\Gamma \vdash x : \sigma} \quad \frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash MN : \tau} \quad \frac{\Gamma, x:\sigma \vdash P : \tau}{\Gamma \vdash \lambda x:\sigma. P : \sigma \rightarrow \tau}$$

$\lambda \rightarrow$ (à la Curry):

$$\frac{x:\sigma \in \Gamma}{\Gamma \vdash x : \sigma} \quad \frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash MN : \tau} \quad \frac{\Gamma, x:\sigma \vdash P : \tau}{\Gamma \vdash \lambda x. P : \sigma \rightarrow \tau}$$

Typed Terms versus Type Assignment:

- ▶ With **typed terms** also called **typing à la Church**, we have **terms with type information** in the λ -abstraction

$$\lambda x : \alpha. x : \alpha \rightarrow \alpha$$

As a consequence:

- ▶ Terms have unique types,
 - ▶ The type is directly computed from the type info in the variables.
- ▶ With **typed assignment** also called **typing à la Curry**, we assign types to **untyped λ -terms**

$$\lambda x. x : \alpha \rightarrow \alpha$$

As a consequence:

- ▶ Terms do not have unique types,
- ▶ A **principal type** can be computed using **unification**.

Examples

▶ **Typed Terms:**

$$\lambda x : \alpha. \lambda y : (\beta \rightarrow \alpha) \rightarrow \alpha. y(\lambda z : \beta. x)$$

has **only** the type $\alpha \rightarrow ((\beta \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$

▶ **Type Assignment:**

$$\lambda x. \lambda y. y(\lambda z. x)$$

can be **assigned** the types

- ▶ $\alpha \rightarrow ((\beta \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$
- ▶ $(\alpha \rightarrow \alpha) \rightarrow ((\beta \rightarrow \alpha \rightarrow \alpha) \rightarrow \gamma) \rightarrow \gamma$
- ▶ ...

with $\alpha \rightarrow ((\beta \rightarrow \alpha) \rightarrow \gamma) \rightarrow \gamma$ being the **principal type**

Example derivation

$\lambda x.\lambda y.y(\lambda z.x)$ can be assigned the type
 $(\alpha \rightarrow \alpha) \rightarrow ((\beta \rightarrow \alpha \rightarrow \alpha) \rightarrow \gamma) \rightarrow \gamma$ in $\lambda \rightarrow$ a la Curry.

Connection between Church and Curry typed $\lambda \rightarrow$

Definition The **erasure** map $| - |$ from $\lambda \rightarrow$ à la Church to $\lambda \rightarrow$ à la Curry is defined by erasing all type information.

$$\begin{aligned} |x| &:= x \\ |MN| &:= |M| |N| \\ |\lambda x : \sigma. M| &:= \lambda x. |M| \end{aligned}$$

So, e.g.

$$|\lambda x : \alpha. \lambda y : (\beta \rightarrow \alpha) \rightarrow \alpha. y(\lambda z : \beta. x)| = \lambda x. \lambda y. y(\lambda z. x)$$

Theorem If $\Gamma \vdash M : \sigma$ in $\lambda \rightarrow$ à la Church, then $\Gamma \vdash |M| : \sigma$ in $\lambda \rightarrow$ à la Curry.

Theorem If $\Gamma \vdash P : \sigma$ in $\lambda \rightarrow$ à la Curry, then there is an M such that $|M| \equiv P$ and $\Gamma \vdash M : \sigma$ in $\lambda \rightarrow$ à la Church.

Connection between Church and Curry typed $\lambda \rightarrow$

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Theorem If $\Gamma \vdash P : \sigma$ in $\lambda \rightarrow$ à la Curry, then there is an M such that $|M| \equiv P$ and $\Gamma \vdash M : \sigma$ in $\lambda \rightarrow$ à la Church.

Proof: by induction on derivations.

$$\frac{x:\sigma \in \Gamma}{\Gamma \vdash x : \sigma} \quad \frac{\Gamma \vdash M : \sigma \rightarrow \tau \quad \Gamma \vdash N : \sigma}{\Gamma \vdash MN : \tau} \quad \frac{\Gamma, x:\sigma \vdash P : \tau}{\Gamma \vdash \lambda x. P : \sigma \rightarrow \tau}$$

Example of computing a principal type

$$\lambda x. \lambda y. y (\lambda z. y x)$$

1. Assign type vars to all **variables**: $x : \alpha, y : \beta, z : \gamma$:

$$\lambda x^\alpha. \lambda y^\beta. y^\beta (\lambda z^\gamma. y^\beta x^\alpha)$$

2. Assign type vars to all **applicative subterms**: $y x$ and $y(\lambda z. y x)$:

$$\lambda x^\alpha. \lambda y^\beta. \underbrace{y^\beta (\lambda z^\gamma. \overbrace{y^\beta x^\alpha}^\delta)}_\varepsilon$$

3. Generate equations between types, necessary for the term to be typable: $\beta = \alpha \rightarrow \delta$ $\beta = (\gamma \rightarrow \delta) \rightarrow \varepsilon$
4. Find a **most general unifier** (a **substitution**) for the type vars that solves the equations: $\alpha := \gamma \rightarrow \varepsilon, \beta := (\gamma \rightarrow \varepsilon) \rightarrow \varepsilon, \delta := \varepsilon$
5. The **principal type** of $\lambda x. \lambda y. y(\lambda z. yx)$ is now

$$(\gamma \rightarrow \varepsilon) \rightarrow ((\gamma \rightarrow \varepsilon) \rightarrow \varepsilon) \rightarrow \varepsilon$$

Example of computing a principal type (II)

$$\lambda x. \lambda y. x (y x)$$

Steps in computing the most general unifier

$\lambda x. \lambda y. x y x$

Which of these terms is typable?

- ▶ $M_1 := \lambda x.x (\lambda y.y x)$
- ▶ $M_2 := \lambda x.\lambda y.x (x y)$
- ▶ $M_3 := \lambda x.\lambda y.x (\lambda z.y x)$

Poll:

- A M_1 is not typable, M_2 and M_3 are typable.
- B M_2 is not typable, M_1 and M_3 are typable.
- C M_3 is not typable, M_1 and M_2 are typable.

Principal Types: Definitions

- ▶ A **type substitution** (or just **substitution**) is a map S from type variables to types with a **finite domain** and such that variables that occur in the **range** of S are **not in the domain** of S .
- ▶ We write S as $[\alpha_1 := \sigma_1, \dots, \alpha_n := \sigma_n]$ with $\alpha_i \notin \sigma_j$ ($\forall i, j$).
- ▶ We can **compose** substitutions: $S; T$. We write τS for substitution S applied to τ . (So we have $\tau(S; T) = (\tau S)T$.)
- ▶ A **unifier** of the types σ and τ is a substitution that “makes $\sigma = \tau$ hold, i.e. an S such that $\sigma S = \tau S$ ”
- ▶ A **most general unifier** (or **mgu**) of the types σ and τ is the “simplest substitution” that makes $\sigma = \tau$ hold, i.e. an S such that
 - ▶ $\sigma S = \tau S$
 - ▶ for all substitutions T such that $\sigma T = \tau T$ there is a substitution R such that $T = S; R$.

All these notions generalize to lists of equations

$\langle \sigma_1 = \tau_1, \dots, \sigma_n = \tau_n \rangle$ instead of a single equation $\sigma = \tau$.

Computability of most general unifiers

There is an algorithm U that, given a list $\langle \sigma_1 = \tau_1, \dots, \sigma_n = \tau_n \rangle$ outputs

- ▶ A **most general unifier** of $\langle \sigma_1 = \tau_1, \dots, \sigma_n = \tau_n \rangle$ if these types can be unified.
- ▶ “Fail” if $\langle \sigma_1 = \tau_1, \dots, \sigma_n = \tau_n \rangle$ can't be unified.
- ▶ $U(\langle \alpha = \alpha, \dots, \sigma_n = \tau_n \rangle) := U(\langle \sigma_2 = \tau_2, \dots, \sigma_n = \tau_n \rangle)$.
- ▶ $U(\langle \alpha = \tau_1, \dots, \sigma_n = \tau_n \rangle) :=$ “Fail” if $\alpha \in \text{FV}(\tau_1)$, $\tau_1 \neq \alpha$.
- ▶ $U(\langle \sigma_1 = \alpha, \dots, \sigma_n = \tau_n \rangle) := U(\langle \alpha = \sigma_1, \dots, \sigma_n = \tau_n \rangle)$
- ▶ $U(\langle \alpha = \tau_1, \dots, \sigma_n = \tau_n \rangle) := [\alpha := \mathbf{V}(\tau_1), \mathbf{V}]$, if $\alpha \notin \text{FV}(\tau_1)$, where \mathbf{V} abbreviates $U(\langle \sigma_2[\alpha := \tau_1] = \tau_2[\alpha := \tau_1], \dots, \sigma_n[\alpha := \tau_1] = \tau_n[\alpha := \tau_1] \rangle)$.
- ▶ $U(\langle \mu \rightarrow \nu = \rho \rightarrow \xi, \dots, \sigma_n = \tau_n \rangle) := U(\langle \mu = \rho, \nu = \xi, \dots, \sigma_n = \tau_n \rangle)$

Principal type

Definition σ is a **principal type** for the untyped closed λ -term M if

- ▶ $\vdash M : \sigma$ in $\lambda \rightarrow$ à la Curry
- ▶ for all types τ , if $\vdash M : \tau$, then $\tau = \sigma S$ for some substitution S .

Theorem: Principal Types

There is an algorithm PT that, when given an (untyped) closed λ -term M , outputs

- ▶ A **principal type** σ such that $\vdash M : \sigma$ in $\lambda \rightarrow$ à la Curry.
- ▶ “Fail” if M is not typable in $\lambda \rightarrow$ à la Curry.

NB. The definitions and theory can be immediately extended to deal with open terms.

Typical problems one would like to have an algorithm for

$\vdash M : \sigma?$	Type Checking Problem	TCP
$\vdash M : ?$	Type Synthesis Problem	TSP
$\vdash ? : \sigma$	Type Inhabitation Problem	TIP

For $\lambda \rightarrow$, all these problems are **decidable**, both for the **Curry** style and for the **Church** style presentation (also if we ask them in a context Γ).

- ▶ TCP and TSP are (as usual) equivalent: To solve $MN : \sigma$, one has to solve $N : ?$ (and if this gives answer τ , solve $M : \tau \rightarrow \sigma$).
- ▶ For **Curry** systems, TCP and TSP soon become **undecidable** beyond $\lambda \rightarrow$.
- ▶ TIP is undecidable for most extensions of $\lambda \rightarrow$, as it corresponds to **provability** in some logic.