

# Semantics and Domain theory

## Exercises 10

Exercises from the dI-domains notes

1. Suppose that a monotonic function  $p : (\mathbb{B}_\perp \times \mathbb{B}_\perp) \rightarrow \mathbb{B}_\perp$  satisfies

- $p(\text{tt}, \perp) = \text{tt}$ ,
- $p(\perp, \text{tt}) = \text{tt}$ ,
- $p(\text{ff}, \text{ff}) = \text{ff}$ .

Show that  $p$  coincides with the parallel-or function (on Slide 72 of the notes of Pitts) in the sense that  $p(d_1, d_2) = \text{por}(d_1)(d_2)$ , for all  $d_1, d_2 \in \mathbb{B}_\perp$ .

**Answer:** .....

As  $p$  is monotonic, we have  $p(\text{tt}, \text{ff}) = p(\text{ff}, \text{tt}) = p(\text{tt}, \text{tt}) = \text{tt}$ . This leaves 3 entries in the table:  $p(\perp, \perp)$ ,  $p(\text{ff}, \perp)$  and  $p(\perp, \text{ff})$ . As  $(\text{ff}, \perp) \sqsubseteq (\text{ff}, \text{ff})$  and  $(\text{ff}, \perp) \sqsubseteq (\text{ff}, \text{tt})$ , we must have  $p(\text{ff}, \perp) \sqsubseteq p(\text{ff}, \text{ff})$  and  $p(\text{ff}, \perp) \sqsubseteq p(\text{ff}, \text{tt})$ , and therefore  $p(\text{ff}, \perp) = \perp$ . Similarly  $p(\perp, \text{ff}) = \perp$ , and therefore  $p(\perp, \perp) = \perp$ .

**End answer** .....

2. Show that the evaluation relation for PCF+por (Slide 77, where rules for **por**( $M_1, M_2$ )  $\Downarrow V$  have been added to PCF) is still deterministic: If  $M \Downarrow V$ , then for all  $V'$ , if  $M \Downarrow V'$ , then  $V = V'$ . This is again proved by induction on the derivation of  $M \Downarrow V$ ; do the case for the new **por**-rules.

**Answer:** .....

We have to prove that, if  $M \Downarrow V$ , then for all  $V'$ , if  $M \Downarrow V'$ , then  $V = V'$ . This is proved by induction on the derivation of  $M \Downarrow V$ . We do the case for the last rule being the **por**-rules:

$$\frac{M_1 \Downarrow \text{true}}{\text{por}(M_1, M_2) \Downarrow \text{true}} \Downarrow_{\text{por1}} \quad \frac{M_2 \Downarrow \text{true}}{\text{por}(M_1, M_2) \Downarrow \text{true}} \Downarrow_{\text{por2}} \quad \frac{M_1 \Downarrow \text{false} \quad M_2 \Downarrow \text{false}}{\text{por}(M_1, M_2) \Downarrow \text{false}} \Downarrow_{\text{por3}}$$

We only treat **por1** and **por3**. (The rule **por2** is similar to **por1**.) Suppose

$$\frac{M_1 \Downarrow \text{true}}{\text{por}(M_1, M_2) \Downarrow \text{true}} \Downarrow_{\text{por1}}$$

Now, if  $\text{por}(M_1, M_2) \Downarrow V'$ , this could have been obtained by  $\Downarrow_{\text{por1}}$ ,  $\Downarrow_{\text{por2}}$  or  $\Downarrow_{\text{por3}}$ .

( $\Downarrow_{\text{por1}}$ ) Then  $V' = \text{true}$  and done.

( $\Downarrow_{\text{por2}}$ ) Then also  $V' = \text{true}$  and done.

( $\Downarrow_{\text{por3}}$ ) Then  $M_1 \Downarrow \text{false}$  and by induction hypothesis: **false** = **true**, contradiction, so ( $\Downarrow_{\text{por3}}$ ) is not the last rule.

Suppose

$$\frac{M_1 \Downarrow \text{false} \quad M_2 \Downarrow \text{false}}{\text{por}(M_1, M_2) \Downarrow \text{false}} \Downarrow_{\text{por3}}$$

Now, if  $\text{por}(M_1, M_2) \Downarrow V'$ , this could have been obtained by  $\Downarrow_{\text{por1}}$ ,  $\Downarrow_{\text{por2}}$  or  $\Downarrow_{\text{por3}}$ .

( $\Downarrow_{\text{por1}}$ ) Then  $V' = \text{true}$  and by induction hypothesis: **false** = **true**, contradiction, so ( $\Downarrow_{\text{por1}}$ ) is not the last rule.

( $\Downarrow_{\text{por2}}$ ) Then  $V' = \text{true}$  and by induction hypothesis: **false** = **true**, contradiction, so ( $\Downarrow_{\text{por2}}$ ) is not the last rule.

( $\Downarrow_{\text{por3}}$ ) Then  $V' = \mathbf{false}$  and done.

**End answer** .....

3. (a) Describe the compact elements of  $\mathbb{N}_\perp \rightarrow \mathbb{N}_\perp$  and prove that these are indeed the compact elements.

**Answer:** .....

We look at the domain,  $\text{dom}(f)$  of a function  $f : \mathbb{N}_\perp \rightarrow \mathbb{N}_\perp$ , which is defined as  $\text{dom}(f) = \{x \in \mathbb{N}_\perp \mid f(x) \neq \perp\}$ . Remember that  $f \sqsubseteq g$  iff  $\text{dom}(f) \subseteq \text{dom}(g) \wedge \forall x \in \text{dom}(f) (f(x) = g(x))$ .

If  $f : \mathbb{N}_\perp \rightarrow \mathbb{N}_\perp$  has an **infinite domain**, it is not compact: the set

$$F := \{f_Y \mid \text{dom}(f_Y) = Y \subseteq \text{dom}(f), Y \text{ is finite and } \forall x \in Y (f_Y(x) = f(x))\}$$

is a directed set and  $\bigsqcup F = f$ , but there is no  $f_Y$  such that  $f \sqsubseteq f_Y$ . (NB. That  $F$  is directed can be seen as follows: if  $f_Y$  and  $f_Z$  are elements of  $F$ , then  $f_{Y \cup Z}$  is also an element of  $F$  and  $f_Y, f_Z \sqsubseteq f_{Y \cup Z}$ .)

If  $f : \mathbb{N}_\perp \rightarrow \mathbb{N}_\perp$  has a **finite domain**, it is compact: let  $\{x_1, \dots, x_n\}$  be the domain of  $f$  and let  $F$  be a directed set of functions with  $f \sqsubseteq \bigsqcup F$ . Then for every  $x_i$  there is a  $g_i \in F$  with  $x_i \in \text{dom}(g_i)$  and  $g_i(x_i) = f(x_i)$ . As  $F$  is directed, there is a  $g \in F$  with  $g_1, \dots, g_n \sqsubseteq g$ . But then  $\text{dom}(f) \subseteq \text{dom}(g)$  and so  $f \sqsubseteq g$ .

So, the *basis* of the domain  $\mathbb{N}_\perp \rightarrow \mathbb{N}_\perp$  is

$$\mathbf{B}_{\mathbb{N}_\perp \rightarrow \mathbb{N}_\perp} = \{f \mid f : \mathbb{N}_\perp \rightarrow \mathbb{N}_\perp \text{ with } \text{dom}(f) \text{ finite}\}$$

**End answer** .....

- (b) Show that  $\mathbb{N}_\perp \rightarrow \mathbb{N}_\perp$  is an algebraic dcpo.

**Answer:** .....

Given  $f : \mathbb{N}_\perp \rightarrow \mathbb{N}_\perp$ , consider:

$$F := \{g \mid g \in \mathbf{B}_{\mathbb{N}_\perp \rightarrow \mathbb{N}_\perp} \text{ and } g \sqsubseteq f\}.$$

Then the  $g$  in  $F$  are exactly the functions with a finite domain such that  $g \sqsubseteq f$ .

For every  $x \in \text{dom}(f)$  there is a  $g \in F$  with  $x \in \text{dom}(g)$  and  $g(x) = f(x)$ . So  $f \sqsubseteq \bigsqcup F$ .

**End answer** .....

4. Let  $X$  be a set and let  $\wp(X)$  be the power set of  $X$  ordered by inclusion  $\subseteq$ .

- (a) Describe the compact elements of  $\wp(X)$  and prove that these are indeed the compact elements..

**Answer:** .....

If  $Y \subseteq X$  and  $Y$  is **infinite**, it is not compact: the set

$$Y_{\text{fin}} := \{Z \mid Z \subseteq Y, Z \text{ finite}\}$$

is a directed set and  $\bigcup Y_{\text{fin}}^* = Y$ , but there is no  $Z \in Y_{\text{fin}}$  such that  $Y \subseteq Z$ .

NB1.  $Y_{\text{fin}}$  is directed because if  $Z_1$  and  $Z_2$  are elements of  $Y_{\text{fin}}$ , then

$Z_1 \cup Z_2$  is also an element of  $Y$  and  $Z_1, Z_2 \subseteq Z_1 \cup Z_2$ .

NB2. For  $\bigcup Y_{\text{fin}} =^* Y$ : If  $y \in \bigcup Y_{\text{fin}}$ , then  $y \in Z \subseteq Y$  for some  $Z$ , so  $y \in Y$ . If  $y \in Y$ , then  $y \in \{y\}$  and  $\{y\} \in Y_{\text{fin}}$ , so  $y \in \bigcup Y_{\text{fin}}$ .

If  $Y \subseteq X$  and  $Y$  is **finite**, it is compact: suppose  $Y = \{y_1, \dots, y_n\}$  and let  $W$  be a directed set of subsets with  $\bigcup W = Y$ . Then for every  $y_i$  there is a  $Z_i \in W$  with  $y_i \in Z_i$ . As  $W$  is directed, there is a  $Z \in W$  with  $Z_1 \cup \dots \cup Z_n \subseteq Z$ . But then  $Y \subseteq Z$ .

So, the *basis* of the domain  $\wp(X)$  is

$$\mathbf{B}_{\wp(X)} = \{Y \mid Y \subseteq X \text{ with } Y \text{ finite}\}$$

and note that if  $X$  is finite, then  $\mathbf{B}_{\wp(X)} = \wp(X)$  (all elements are compact).

**End answer**.....

- (b) Show that  $\wp(X)$  is an algebraic dcpo.

**Answer:** .....

Given  $Y \subseteq X$ , consider  $Y_{\text{fin}} := \{Z \mid Z \subseteq Y, Z \in \mathbf{B}_{\wp(X)}\}$ . Then

$$Y_{\text{fin}} = \{Z \mid Z \subseteq Y, Z \text{ finite}\}$$

and we need to show that  $Y = \bigcup Y_{\text{fin}}$ , which we have done in the answer to Exercise 4a: see the NB2.

**End answer**.....

5. Suppose we are in a dcpo where each pair of elements has a glb. Show that  $\forall x, y (x \sqsubseteq y \Leftrightarrow x = x \sqcap y)$ .
6. (a) Prove that  $\mathbb{N}_\perp$  satisfies (axiom d):

$$\forall x, y, z \in \mathbb{N}_\perp (y \uparrow z \Rightarrow x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)).$$

- (b) Prove that  $\mathbb{N}_\perp \rightarrow \mathbb{N}_\perp$  (the set of Scott continuous functions) satisfies (axiom d).
7. We are in a bounded complete p.o. and we consider the property  $(*)$  (used in stability)

$$\forall x, y \in D (x \uparrow y \rightarrow f(x \sqcap y) = f(x) \sqcap f(y)) \quad (*)$$

Show that, if  $f$  satisfies  $(*)$ , then it is monotone.

8. (a) Define all possible different “AND” functions as monotone functions (in  $\mathbb{B}_\perp \times \mathbb{B}_\perp \rightarrow \mathbb{B}_\perp$ ).
- (b) Show that 3 of your functions can be defined in PCF.
- (c) Now show that one of your functions cannot be defined in PCF
- by semantic means (using dI-domains).
  - by using the non-definability of **por**
9. Prove that the identity function  $I : \mathbb{N}_\perp \rightarrow \mathbb{N}_\perp$  is not very finite.
10. Prove that, in a bounded complete dcpo, every non-empty set  $X$  has a greatest lower bound,  $\bigsqcap X$ .