#### A type system for Continuation Calculus

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> > Types 2014, Paris March 12, 2014

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## Continuation calculus; motivation

- Simple model for functional computation. (Inspired by λ-calculus and term rewriting)
- But: no variable binding, no pattern matching
- Treat continuations as the fundamental object (rather than expressions or data)
- Deterministic computation
- Turing complete
- Call-by-name and Call-by-value via function definitions

#### Continuation calculus; rules

- ▶ Infinite set of names, *N*, usually indicated by a capital.
- Terms: either a name, or two terms combined by a dot.

 $T ::= N \mid (T.T)$ 

- ▶ We write *a.b.c* as shorthand for (*a.b*).*c*.
- A program *P* consists of a set of rules, of the form

$$N.x_1...x_n \rightarrow t$$

- *N* is a name and  $x_1, \ldots, x_n$  are distinct variables,
- *t* is a term over the variables  $x_1, \ldots, x_n$
- Proviso : for each name N there is at most one rule. We say that the rule defines the name N.
- ► Evalutation (reduction) of terms is defined by if  $N.x_1....x_n \rightarrow t \in P$ , then

$$N.t_1....t_n \rightarrow_P t[t_1/x_1,...,t_n/x_n]$$

## Continuation calculus, remarks

Assume we have a program rule

 $N.x_1....x_n \rightarrow t.$ 

- A term  $N.t_1...t_k$  with  $k \neq n$  does not reduce at all.
- ► There is only head reduction: M.(N.t<sub>1</sub>....t<sub>n</sub>).... does not reduce.
- Reduction is trivially confluent, because at most one step is possible.
- Reduction is not necessarily terminating, e.g.

 $\mathrm{Omega.} x \to x.x$ 

- There is no pattern matching (as one has e.g. in TRS)
- There is no variable binding (as one has in  $\lambda$ -calculus)
- CC is Turing complete

## Continuation calculus, examples

booleans

 $\begin{array}{rcl} \mathrm{True.} x.y & \to & x \\ \mathrm{False.} x.y & \to & y \end{array}$ 

natural numbers

 $\begin{array}{rcl} \operatorname{Zero}.z.s & \to & z \\ \operatorname{Succ}.x.z.s & \to & s.x \end{array}$ 

Interpretation of data as CC-terms:

 $\langle m \rangle := \operatorname{Succ}^m.\operatorname{Zero}$ 

which is Succ.(Succ.....(Succ.Zero)...) *m*-times. ► lists

$$\begin{array}{rcl} \mathrm{Nil.} n.l & \rightarrow & n \\ \mathrm{Cons.} x.y.n.l & \rightarrow & l.x.y \end{array}$$

Continuation calculus, Scott data types

Compute functions by computing a value and passing it on to the next function (continuation) Natural numbers

 $\begin{array}{rcl} \operatorname{Zero}.z.s & \to & z \\ \operatorname{Succ}.x.z.s & \to & s.x \end{array}$ 

Idea: A number takes two continuations z and s and either

- continues with z (if the number is Zero)
- continues with s.t (if the number is Succ.t)

The definition of data in CC follows the Scott data types approach in untyped  $\lambda$ -calculus (as opposed to the Church approach):

Zero :=  $\lambda z s. z$ Succ :=  $\lambda x z s. s x$ 

The Scott approach has case distinction as basic and not iteration.

## Continuation calculus, example

Natural numbers

 $\begin{array}{rcl} \operatorname{Zero}.z.s & \to & z \\ \operatorname{Succ}.x.z.s & \to & s.x \end{array}$ 

For addition we want

$$\mathrm{Add.} \langle m \rangle. \langle p \rangle. r \twoheadrightarrow r. \langle m + p \rangle$$

The algorithm for addition that we implement is basically the following term rewriting system.

$$\operatorname{plus}(0,m) \rightarrow m$$
  
 $\operatorname{plus}(S(p),m) \rightarrow \operatorname{plus}(p,S(m))$ 

## Continuation calculus, computing addition Natural numbers

 $\begin{array}{rcl} \operatorname{Zero}.z.s & \to & z \\ \operatorname{Succ}.x.z.s & \to & s.x \end{array}$ 

We want  $Add.\langle m \rangle.\langle p \rangle.r \twoheadrightarrow r.\langle m + p \rangle$ So we take as program rule for Add:

Add.*x*.*y*.*r*  $\rightarrow$  *x*.(*r*.*y*).*t* with *t* yet to be found

Because then

 $\begin{array}{rcl} \mathrm{Add.Zero.}y.r & \to & \mathrm{Zero.}(r.y).t \to r.y \\ \mathrm{Add.}(\mathrm{Succ.}x).y.r & \to & \mathrm{Succ.}x.(r.y).t \to t.x \xrightarrow{??} \mathrm{Add.}x.(\mathrm{Succ.}y).r \end{array}$ 

So take t = B.y.r with

 $B.y.r.x \rightarrow Add.x.(Succ.y).r$ 

#### Continuation calculus, call-by-value addition

Natural numbers

$$\begin{array}{rcl} \operatorname{Zero}.z.s & \to & z \\ \operatorname{Succ}.x.z.s & \to & s.x \end{array}$$

$$\begin{array}{rcl} \mathrm{Add.} x.y.r & \to & x.(r.y).(\mathrm{B.}y.r) \\ \mathrm{B.}y.r.x & \to & \mathrm{Add.}x.(\mathrm{Succ.}y).r \end{array}$$

Lemma. For all  $m, p \in \mathbb{N}$ : Add. $\langle m \rangle . \langle p \rangle . r \twoheadrightarrow r. \langle m + p \rangle$ The function is call-by-value in its first argument: Add.*x*.*y*.*r* first computes *x*, say  $x = \langle p \rangle$ , then it returns  $r.(\operatorname{Succ}^{p}.y)$ 

## Continuation calculus, call-by-name addition Natural numbers

$$\begin{array}{rcl} \operatorname{Zero}.z.s & \to & z\\ \operatorname{Succ}.x.z.s & \to & s.x \end{array}$$

Call-by-name addition function:

$$\begin{array}{rcl} \mathrm{AddCBN.}x.y.z.s & \to & x.(y.z.s).(\mathrm{C.}y.s) \\ & \mathrm{C.}y.s.x' & \to & s.(\mathrm{AddCBN.}x'.y) \end{array}$$

Then we have

which is a normal form.

We need a notion of observational equivalence  $\approx$  to prove

 $\mathrm{AddCBN.} \langle m \rangle. \langle p \rangle \approx \langle m + p \rangle.$ 

Continuation calculus, Observational equivalence

Definition: Terms *M* and *N* are observationally equivalent under program *P*, when for all extension programs  $P' \supseteq P$  and terms *X*:

$$X.M\downarrow_{P'} \Longleftrightarrow X.N\downarrow_{P'}$$

where  $T \downarrow_{P'}$  denotes that T terminates with the program rules P'. Notation:  $M \approx_P N$ , A step back: Typed  $\lambda$ -calculus for Scott data?

Scott numerals:

To type this we need  $nat = A \rightarrow (nat \rightarrow A) \rightarrow A$ . In  $\lambda 2$ , we cannot do this ... unless we extend it with (positive)

recursive types.

$$\mathtt{nat} := \mu Y. orall X. X o (Y o X) o X$$

with rule

$$\mathtt{nat} = orall X.X o (\mathtt{nat} o X) o X.$$

[Abadi, Cardelli, Plotkin 1993] NB. One does not get iteration (recursion) "for free".

# Types for Scott data in CC

In CC everything happens on the 'top level'. Call this level  $\bot.$  Types:

$$T := \bot |X| T \to T | \mu X.T$$

under the condition that X is positive in T. Type equality rule

$$\mu X.T = T[\mu X.T/X]$$

Examples:

 $\begin{array}{rcl} \operatorname{Zero.} z.s & \to & z \\ \operatorname{Succ.} x.z.s & \to & s.x \\ \operatorname{Nil.} n.l & \to & n \\ \operatorname{Cons.} x.y.n.l & \to & l.x.y \end{array}$ 

# Typing addition in CC

$$\begin{array}{rcl} \mathtt{nat} := \bot \rightarrow (\mathtt{nat} \rightarrow \bot) \rightarrow \bot \\ & & & & & \\ & & & & \\ & & & & \\ & &$$

Then

 $\mathrm{AddCBV}:\mathtt{nat} 
ightarrow \mathtt{nat} 
ightarrow \neg \neg \mathtt{nat}$ 

where  $\neg A$  is defined as  $A \rightarrow \bot$ . We can also type the call-by-name addition

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\mathrm{AddCBN}:\mathtt{nat}\to\mathtt{nat}\to\mathtt{nat}
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### Type system for CC judgments

 program signature Σ: finite list of distinct names assigned to types

$$\Sigma = n_1 : A_1, \ldots, n_p : A_p$$

 typing context Γ: finite list of distinct variables assigned to types

$$\Gamma = x_1 : A_1, \ldots, x_n : A_n$$

- Σ gives the types of the names (specific for a program P). The context is just a "temporary" set of variables, to define program rules.
- Two kinds of judgment:
  - 1.  $\Sigma \vdash P$  to express that, given a program signature  $\Sigma$ , P is a well-typed program. (So P will consist of program rules.)
  - Γ ⊢<sub>Σ</sub> M : A to express that the term M with free variables in Γ has type A, given signature Σ and context Γ.

### Derivation rules

The derivation rules for typing judgments:

$$\frac{x:A\in\Gamma}{\Gamma\vdash_{\Sigma} x:A} \qquad \qquad \frac{n:A\in\Sigma}{\Gamma\vdash_{\Sigma} n:A}$$

$$\frac{\Gamma \vdash_{\Sigma} M : A \to B \quad \Gamma \vdash_{\Sigma} N : A}{\Gamma \vdash_{\Sigma} M . N : B} \quad \frac{\Gamma \vdash_{A} M : A \quad A = B}{\Gamma \vdash_{\Sigma} M : B}$$

The derivation rules for program judgments:

$$\begin{array}{l} \overline{\Sigma \vdash \emptyset} \\ \\ \underline{\Sigma \vdash P} \quad \vec{x} : \vec{A} \vdash_{\Sigma} q : \bot \quad n : A_1 \to \ldots \to A_k \to \bot \in \Sigma \\ \hline \\ \overline{\Sigma \vdash P \cup \{n.x_1.\ldots.x_k \longrightarrow q\}} \\ \\ \\ \text{if } n \text{ not defined in } P \end{array}$$

(NB. 
$$\vec{x}$$
 :  $\vec{A}$  denotes  $x_1 : A_1, \ldots, x_k : A_k$ )

#### Call-by-name and call-by-value iteration

To be able to define functions in CC in a generic and safe way, we add for every data-type two program rules for iteration:

- ▶ ItCBN<sub> $D \rightarrow B$ </sub> for call-by-name iteration from data-type *D* to *B*, Idea: compute first constructor of the output of type *B* and pass to the appropriate continuation for type *B*.
- ItCBV<sub>D→B</sub> for call-by-value iteration from data-type D to B. Idea: compute input value completely and then pass on to the continuation of type B→⊥.

Call-by-name and call-by-value iteration for nat

We show the case for D = B = nat. Call-by-name:

 $\frac{f_1: \texttt{nat} \quad f_2: \texttt{nat} \to \texttt{nat} \quad x: \texttt{nat} \quad c_1: \bot \quad c_2: \texttt{nat} \to \bot}{\texttt{I}: \texttt{CDN}}$ 

$$ItCBN_{nat \rightarrow nat}.f_1.f_2.x.c_1.c_2: \bot$$

with associated reduction rules (program rules).

$$\operatorname{ItCBN}_{\texttt{nat} \rightarrow \texttt{nat}}.f_1.f_2:\texttt{nat} \rightarrow \texttt{nat}$$

Call-by-value:

$$\frac{f_1:\neg\neg\texttt{nat}\quad f_2:\texttt{nat}\to\neg\neg\texttt{nat}\quad x:\texttt{nat}\quad c:\neg\texttt{nat}}{\text{ItCBV}_{\texttt{nat}\to\texttt{nat}}.f_1.f_2.x.c:\bot}$$

with associated reduction rules (program rules).

$$ItCBV_{\texttt{nat} \rightarrow \texttt{nat}}.f_1.f_2:\texttt{nat} \rightarrow \neg \neg\texttt{nat}$$

Call-by-name and call-by-value iteration: program rules

Rules for  $ItCBN_{nat \rightarrow nat}$ :

$$\begin{split} & \text{ItCBN.} f_1.f_2.x.c_1.c_2 \longrightarrow x.(f_1.c_1.c_2).(\text{ItCBN}^{\text{Succ}}.f_1.f_2.c_1.c_2) \\ & \text{ItCBN}^{\text{Succ}}.f_1.f_2.c_1.c_2.x_1 \longrightarrow f_2.(\text{ItCBN.} f_1.f_2.x_1).c_1.c_2 \end{split}$$

Rules for  $ItCBV_{nat \rightarrow nat}$ :

$$\begin{split} & \text{ItCBV.} f_1.f_2.x.c \longrightarrow x.(f_1.c).(\text{ItCBV}^{\mathsf{Succ},1}.f_1.f_2.c) \\ & \text{ItCBV}^{\mathsf{Succ},1}.f_1.f_2.c.x_1 \longrightarrow \text{ItCBV}.f_1.f_2.x_1.(\text{ItCBV}^{\mathsf{Succ},2}.f_1.f_2.c) \\ & \text{ItCBV}^{\mathsf{Succ},2}.f_1.f_2.c.r_1 \longrightarrow f_2.r_1.c \end{split}$$

## Combining call-by-value and call-by-name A storage operator in CC

 $\begin{array}{rcl} \mathrm{StoreNat.}n.r & \longrightarrow & n.(r.\mathrm{Zero}).(\mathrm{A.}r) \\ & \mathrm{A.}r.m & \longrightarrow & \mathrm{StoreNat.}m.(\mathrm{B.}r) \\ & \mathrm{B.}r.m' & \longrightarrow & r.(\mathrm{Succ.}m') \end{array}$ 

Then StoreNat : nat  $\rightarrow \neg \neg$ nat. If  $t \approx \langle p \rangle$ , then for any r:

StoreNat.  $t.r \rightarrow r.(Succ^{p}.Zero)$ 

StoreNat always first evaluates t, before using it. (Mimicking CBV by CBN.) In the reverse direction: UnstoreNat :  $\neg\neg$ nat  $\rightarrow$  nat defined by: given  $f : \neg\neg$ nat,  $z : \bot$ , s : nat  $\rightarrow \bot$ ,

 $\begin{array}{rcl} \text{UnstoreNat.} f.z.s & \longrightarrow & f.(\text{UseNat.} z.s)\\ & \text{UseNat.} z.s.n & \longrightarrow & n.z.s \end{array}$ 

**Lemma** For all  $n \in \mathbf{N}$ , UnstoreNat.(StoreNat.  $\langle n \rangle$ )  $\approx \langle n \rangle$ .

### Results

- Confluence is trivial, because (untyped) CC is deterministic
- Subject reduction holds
- Strong Normalization holds for all CC programs written using
  - constructors of data types and
  - iterators (cbn and cbv) and
  - non-circular well-typed rules
- The SN proof is by
  - translation to a typed λ-calculus with simple and pos.rec. types and CBN and CBV iterator combinators;
  - proving that this typed  $\lambda$ -calculus is SN