

# Coercive Subtyping in Lambda-free Logical Frameworks

## LFMTP'09

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2 August 2009

# Introduction

A **lambda-free logical framework**:

- uses only *canonical forms*

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- does not use:

*application, framework-level reduction, substitution*

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## **Coercive subtyping**:

- To treat  $A$  as if it were a subtype of  $B$ ,
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## The Problem

How to add coercive subtyping to a lambda-free logical framework?  
Lambda-free frameworks do not have meta-functions, kinds  $(A)B$ ,  
application, ...

- 1 Lambda-free Logical Frameworks
  - Problems with Logical Frameworks
  - The Modular Hierarchy
  
- 2 Coercive Subtyping in Lambda-free Logical Frameworks
  - Coercive Subtyping
  - Coercive Application and Typecasting
  - Typecasting in TF
  - Coherence and Decidability

# Logical Frameworks

A **logical framework**  $F$  is a *metalanguage* in which we represent an *object theory*  $T$ .

We have **objects**  $x, c, [x : K]k, kk', \dots$

and **kinds**  $\mathbf{Type}, \text{El}(k), (x : K)K', \dots$

We declare *constants* and *computation rules* such that

- the objects in  $\mathbf{Type}$  behave like the types in  $T$ ;
- the objects in  $\text{El}(A)$  behave like the terms of type  $A$  in  $T$ .

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**Example:**

$\Pi : (A : \mathbf{Type})((A)\mathbf{Type})\mathbf{Type}$

$$\frac{\Gamma \vdash A \text{ type} \quad \Gamma, x : A \vdash B \text{ type}}{\Gamma \vdash \Pi x : A.B \text{ type}}$$

$$\frac{\Gamma \vdash A = A' \quad \Gamma, x : A \vdash B = B'}{\Gamma \vdash \Pi x : A.B = \Pi x : A'.B'}$$

# Problems with Logical Frameworks

Typically:

- Each entity of the object theory is represented by a  *$\beta\eta$ -equivalence class* of objects.
- There are objects that do not represent entities (partial application).
- Framework-level reduction and object theory reduction often interact.

## Example

The object  $\Pi A$  does not correspond to any object theory entity. Instead, it represents the *meta-function* that maps  $B$  to  $\Pi x : A.B$ .



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These features are desirable in practice (e.g. abbreviation mechanisms) but awkward for theoretical work.

**Idea:**

- construct a logical framework  $L$  which deals with only  $\beta$ -short,  $\eta$ -long forms.
  - Each object theory is represented by a *unique* object.
  - Partial application is disallowed.
  - There is no framework-level reduction.

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- construct a logical framework  $L$  which deals with only  $\beta$ -short,  $\eta$ -long forms.
- **Embed**  $L$  in  $F$  — show that  $L$  is isomorphic to a conservative subsystem  $F$ .

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- Embed  $L$  in  $F$  — show that  $L$  is isomorphic to a conservative subsystem  $F$ .
- **Lift** results — prove results in  $L$ , deduce they hold for  $F$ .

# The Framework TF

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Each variable and constant  $z$  has an *order*,  $o(z)$ .

An **object**  $M$  has the form

$$z[[x_{11}, \dots, x_{1r_1}]M_1, \dots, [x_{n1}, \dots, x_{nr_n}]M_n]$$

where  $o(x_{ij}) \leq o(z) - 2$ .

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In place of substitution, use **instantiation** (*hereditary substitution*):

$\{F/x\}M$  is the normal form of  $[F/x]M$ .

## Definition

$$\begin{aligned} \{F/x\}z[\vec{G}] &\equiv z[\{F/x\}\vec{G}] & (x \neq z) \\ \{[\vec{y}]M/x\}x[\vec{G}] &\equiv \{ \{[\vec{y}]M/x\}\vec{G}/\vec{y} \}M \end{aligned}$$

Termination is guaranteed by the orders.



# Judgements of TF

The *judgements* of TF have the forms

$$\Gamma \text{ valid}$$
$$\Gamma \vdash A : \mathbf{Type}$$
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Declaring the constant  $\Pi : (A : \mathbf{Type})(B : (A)\mathbf{Type})\mathbf{Type}$  introduces the rule of deduction

$$\frac{\Gamma \vdash A : \mathbf{Type} \quad \Gamma, x : A \vdash B : \mathbf{Type}}{\Gamma \vdash \Pi[A, [x]B] : \mathbf{Type}}$$

# The Modular Hierarchy of Logical Frameworks

A set of subsystems of TF that extend one another conservatively.

	No equation declarations	Equation declarations
Parameters of small type only	<b>SPar</b> $(n)^-$	<b>SPar</b> $(n)$
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If we declare  $c : (x_1 : (\Delta_1)T_1) \cdots (x_m : (\Delta_m)T_m)T$ , then:

- the *parameters* of  $c$  are  $x_1, \dots, x_n$ ;
- $x_i$  is of *small type* if  $T_i \equiv \text{El}(A_i)$ ;
- $x_i$  is of *large kind* if  $T_i \equiv \mathbf{Type}$ .

The number  $n$  is the largest order of constant that may appear.

# Frameworks in the Modular Hierarchy

Lambda-free frameworks can be *embedded* in traditional frameworks:

- $\mathbf{SPar}(\omega)^- \hookrightarrow \mathit{ELF}$
- $\mathbf{LPar}(\omega) \hookrightarrow$  Martin-Löf Logical Framework
- $\mathbf{LPar}(\omega) \hookrightarrow \mathit{PAL}^+$
- $\mathbf{LPar}(1)^- \hookrightarrow \mathit{PAL}$ ,       $\mathbf{SPar}(\omega)^- \hookrightarrow \mathit{AUT} - 68$ ,      ...

▸ Section II

# History of Lambda-free Frameworks

- 1996 — Linear Logical Framework (Cervesato)
- 2000 — PAL<sup>+</sup> (Luo)  
Does not allow partial application  
*Does* have abstractions, framework-level reduction
- 2001 — Type Framework, TF (Aczel)
- 2003 — Concurrent Logical Framework (Watkins, Cervesato, Pfenning, Walker)
- 2004 — Modular Hierarchy of Logical Frameworks (Adams [Ada04, Ada09])
- 2006 — DMBEL (Plotkin [Plo06])
- 2007 — Canonical LF (Harper, Licata [HL07])

## Part II — Coercive Subtyping

We wish to regard  $A$  as a *subtype* of  $B$ ,  $A < B$ :

*Every object of  $A$  is an object of  $B$*

- Construct a meta-function  $c : (A)B$ ;
- Declare  $c$  to be a *coercion*

$$A <_c B$$

We wish to *identify* every object  $a : A$  with the object  $c(a) : B$ .

### Examples

- 1  $Nat <_c Int$ , where  $c$  maps  $n$  to  $+n$ .
- 2  $Bool <_d Prop$ , where  $d(b)$  is  $b =_{Bool} tt$ .
- 3  $Vec(A, n) < List(A)$

Theory studied by Luo, Luo and Soloviev.  
Implemented in Plastic, Coq, LEGOwcs.

# Coercive Application

We can extend a logical framework with  $\lambda$  (such as LF) with coercive subtyping and *coercive application*:

$$\frac{\Gamma \vdash f : (x : B)K \quad \Gamma \vdash a : A \quad \Gamma \vdash A <_c B}{\Gamma \vdash fa : [ca/x]K \quad \Gamma \vdash fa = f(ca) : [ca/x]K}$$



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$A <_c B$  means:

‘Whenever the machine wants an object of type  $B$ , the user may enter an object  $a : A$ ; the machine is to proceed as if he had entered  $c(a)$ ’

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$A <_c B$  means:

For any meta-function  $f$  that takes arguments of type  $B$  and  $a : A$ ,  $fa$  is well-typed and definitionally equal to  $f(ca)$ .

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## Problem

How can we add coercive subtyping to a lambda-free logical framework, that does not have:

meta-functions, application, kinds  $(x : B)K$ ?

# Typecasting

Another way to add coercive subtyping to a logical framework:  
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If  $a : A$  and  $A < B$ , introduce the object

$$a_B$$

‘ $a$  considered as an object of type  $B$ ’

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or 'the object of type  $B$  that we are identifying with  $a$ .'

- If  $a : A$  then  $a_A : A$  and  $a_A = a : A$ .
- If  $a : A$  and  $A <_c B$  then  $a_B : B$  and  $a_B = ca : B$ .



# Coercive Application and Typecasting

Coercive application and typecasting are *equivalent* — given one, the other can be defined.

Coercive Application  $\rightarrow$  Typecasting

$$a_B \equiv ([x : B]x)a$$

Typecasting  $\rightarrow$  Coercive Application

If  $f$  takes arguments of type  $B$ , set

$$fa \equiv f(a_B)$$

(Requires inference of kinds.)

# Typecasting in TF

Extend TF with:

- new judgements of the form  $A <_{[x]N} B$ :  
 'A is a subtype of B,  
 identify  $M : A$  with  $\{M/x\}N : B$ .
- new objects of the form  $M_A$ ,  
 'M typecast to be of type A'
- new rules of deduction:

$$\frac{\Gamma \vdash M : \text{El}(A)}{\Gamma \vdash M_A : \text{El}(A)}$$

$$\Gamma \vdash M_A = M : \text{El}(A)$$

$$\frac{\Gamma \vdash M : \text{El}(A) \quad \Gamma \vdash A <_{[x]N} B}{\Gamma \vdash M_B : \text{El}(B)}$$

$$\Gamma \vdash M_B = \{M/x\}N : \text{El}(B)$$

# Embedding $\text{TF}_{<}$ in $\text{LF}_{<}$

Let

- LF be Martin-Löf's Logical Framework
- TF be the lambda-free logical framework
- $\text{LF}_{<}$  be LF + coercive subtyping + coercive application
- $\text{TF}_{<}$  be TF + coercive subtyping + typecasting

Typecasting and coercive application are equivalent.

We can make use of this equivalence to embed  $\text{TF}_{<}$  in  $\text{LF}_{<}$ .

$$\text{LF}_{<} \quad \Leftrightarrow \quad \text{TF}_{<}$$

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$$\begin{array}{ccc} \text{LF}_{<} & \Leftrightarrow & \text{TF}_{<} \\ FA & \rightarrow & NF(F) \bullet NF(A)_{NF(B)} \end{array}$$

where  $F$  takes arguments of type  $B$ .

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$$\begin{array}{ccc}
 LF_{<} & \rightleftharpoons & TF_{<} \\
 FA & \rightarrow & NF(F) \bullet NF(A)_{NF(B)} \\
 ([x : A]x)M & \leftarrow & M_A
 \end{array}$$

where  $F$  takes arguments of type  $B$ . These mappings are sound and mutually inverse.

# Coherence

A type theory declared in  $\text{TF}_{<}$  (or  $\text{LF}_{<}$ ) is *coherent* iff:

- whenever  $\Gamma \vdash A <_{[x]M} B$  and  $\Gamma \vdash A <_{[x]N} B$ ,  
then  $\Gamma, x : A \vdash M = N : B$ .
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## Theorem (Insertion of Coercions)

If  $T[\mathcal{C}]$  is coherent, and  $\mathcal{J} \equiv \Gamma \vdash M : T$  is derivable in  $T[\mathcal{C}]$ , then there is a judgement  $\overline{\mathcal{J}} \equiv \overline{\Gamma} \vdash \overline{M} : \overline{T}$  derivable in  $T$  such that

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The proof consists of replacing  $a_B$  with  $\{a/x\}M$ , where  $A <_{[x]M} B$ . The derivation of  $\mathcal{J}$  tells us which coercion  $[x]M$  to use.

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The theorem holds in  $\text{TF}_{<}$ .

$\therefore$  The theorem holds in  $\text{LF}_{<}$ .

## Other Results

Let us say that the set of subtyping judgements in  $T[\mathcal{C}]$  is *computable* iff there exists an algorithm that, given  $\Gamma, A, B$ , decides if there exists  $c$  such that  $\Gamma \vdash A <_c B$  and, if so, returns such a  $c$ .

### Theorem

*If the subtyping judgements of  $T[\mathcal{C}]$  are computable, then  $\overline{\mathcal{J}}$  depends only on the judgement  $\mathcal{J}$ , and not on the derivation of  $\mathcal{J}$ .*

### Corollary

*If typechecking in  $T$  is decidable, and the coercion judgements of  $T[\mathcal{C}]$  are computable, then typechecking in  $T[\mathcal{C}]$  is decidable.*

These theorems can be proved for all the systems in the modular hierarchy by exactly the same proofs.

# Other Results

Using our embeddings, we therefore have:

## Corollary

*The previous three theorems all hold in  $\text{LF}_{<}$ ,  
ELF extended with coercive subtyping,  
 $\text{PAL}^+$  extended with coercive subtyping,  
DMBEL extended with coercive subtyping, ...*

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- Many results become easier to prove in this setting: insertion of coercions and decidability of typechecking.
- They can be proved for a family of frameworks simultaneously.
- The results can then be 'lifted' to traditional frameworks.

# References



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