

# Semantics and Domain theory

## Exercises 4

1. Let  $(D, \sqsubseteq)$  be the domain of finite and infinite sequences over  $\Sigma := \{a, b\}$  with  $\sqsubseteq$  the prefix ordering. (So  $D = \Sigma^* \cup \Sigma^\omega$ .)

(a) Which of the following functions  $f : D \rightarrow D$  is monotonic / continuous?

i.  $f(s) = s$  with all  $a$ 's removed.

**Answer:** .....

We use the following observations: (1) for  $v$  a finite word,  $v \sqsubseteq vw$  for all  $w \in D$ ; (2) for  $v$  an infinite word, we only have  $v \sqsubseteq v$ . Furthermore, if  $(v)_{i \in \mathbb{N}}$  is a chain we have, for all  $i \in \mathbb{N}$ ,  $v_{i+1} = v_i w_{i+1}$  for some  $w_{i+1}$ , and we can write  $v_{i+1}$  as  $v_0 w_1 w_2 \dots w_{i+1}$  and  $\sqcup_{i \in \mathbb{N}} v_i = v_0 w_1 w_2 w_3 \dots$ .

For this  $f$ , we have  $f(vw) = f(v)f(w)$ . It is monotonic (using (1) and (2) above): if  $v \sqsubseteq vw$ , then  $f(v) \sqsubseteq f(v)f(w) = f(vw)$ . It is also continuous: if  $(v)_{i \in \mathbb{N}}$  is a chain, (using (3) above)  $f(\sqcup_{i \in \mathbb{N}} v_i) = f(v_0 w_1 w_2 w_3 \dots) = f(v_0)f(w_1)f(w_2)f(w_3) \dots = \sqcup_{i \in \mathbb{N}} f(v_i)$ .

The lfp of  $f$  is  $\lambda$ , the empty word, as this is  $\sqcup_{n \in \mathbb{N}} f^n(\lambda)$ .

**End answer** .....

ii.  $f(s) = abba$  if  $s$  is finite;  $f(s) = s$  if  $s$  is infinite.

**Answer:** .....

This  $f$  is not monotonic:  $f(a) = abba$  and  $a \sqsubseteq a^\omega$ , whereas  $f(a^\omega) = a^\omega$  and  $abba \not\sqsubseteq a^\omega$ .

NB.  $f$  doesn't preserve lubs either:  $f(\sqcup_{n \in \mathbb{N}} a^n) = f(a^\omega) = a^\omega$ , whereas  $\sqcup_{n \in \mathbb{N}} f(a^n) = abba$ .

**End answer** .....

iii.  $f(s) = abbas$ .

**Answer:** .....

This  $f$  is monotonic: if  $v \sqsubseteq w$ , then obviously  $abbav \sqsubseteq abbaw$ . It is also continuous: for  $(v_i)_{i \in \mathbb{N}}$  a chain,  $f(\sqcup_{i \in \mathbb{N}} v_i) = abba(\sqcup_{i \in \mathbb{N}} v_i) \stackrel{*}{=} \sqcup_{i \in \mathbb{N}} (abbav_i)_{i \in \mathbb{N}} = \sqcup_{i \in \mathbb{N}} f(v_i)$ , where the equality  $\stackrel{*}{=}$  can be seen by using (3) above.

The lfp of  $f$  is  $(abba)^\omega$ , infinitely often  $abba$ , as this is  $\sqcup_{n \in \mathbb{N}} f^n(\lambda)$ .

**End answer** .....

iv.  $f(s) = a$  if  $s$  contains finitely many  $b$ 's;  $f(s) = b$  if  $s$  contains infinitely many  $b$ 's

**Answer:** .....

This  $f$  is not monotonic:  $f(b) = a$  and  $b \sqsubseteq b^\omega$ , whereas  $f(b^\omega) = b$  and  $a \not\sqsubseteq b$ .

NB.  $f$  doesn't preserve lubs either:  $f(\sqcup_{n \in \mathbb{N}} b^n) = f(b^\omega) = b$ , whereas  $\sqcup_{n \in \mathbb{N}} f(b^n) = a$ .

**End answer** .....

(b) For each of the functions  $f$  in (a) that is continuous, compute the least fixed point of  $f$ .

**Answer:** .....

See above

**End answer** .....

2. Let  $(D, \sqsubseteq)$  be a domain with some element  $d_0$  and let  $f : D \rightarrow D$  be continuous. Suppose  $d_0 \sqsubseteq f(d_0)$ . Prove that  $\sqcup_{i \in \mathbb{N}} f^i(d_0)$  is a fixed point of  $f$ .

**Answer:** .....  
 Basically, we redo the proof of Tarski's theorem. First note that, due to the fact that  $d_0 \sqsubseteq f(d_0)$ , we know that  $(f^i(d_0))_{i \in \mathbb{N}}$  is a chain and we can talk about  $\sqcup_{i \in \mathbb{N}} f^i(d_0)$  at all!!

Now,  $f(\sqcup_{i \in \mathbb{N}} f^i(d_0)) = \sqcup_{i \in \mathbb{N}} f^{i+1}(d_0) \sqsubseteq \sqcup_{i \in \mathbb{N}} f^i(d_0)$ , where  $\sqsubseteq$  follows from the fact that the second chain differs from the first only by adding an extra first element.

**End answer** .....

3. Let  $f, g : (D, \sqsubseteq) \rightarrow (D, \sqsubseteq)$  be continuous functions on domain  $(D, \sqsubseteq)$ . Prove

$$\text{fix}(f \circ g) = f(\text{fix}(g \circ f))$$

(a) by unfolding the *definition* of *fix* (slide 29)

**Answer:** .....

$$\text{fix}(f \circ g) = \sqcup_{n \in \mathbb{N}} (f \circ g)^n(\perp) = \sqcup_{n \in \mathbb{N}} f(g \circ f)^n(g(\perp)) \sqsupseteq \sqcup_{n \in \mathbb{N}} f(g \circ f)^n(\perp) = f(\sqcup_{n \in \mathbb{N}} (g \circ f)^n(\perp)) = f(\text{fix}(g \circ f)).$$

$$\text{The other way round: } f(\text{fix}(g \circ f)) = f(\sqcup_{n \in \mathbb{N}} (g \circ f)^n(\perp)) = \sqcup_{n \in \mathbb{N}} f(g \circ f)^n(\perp) = \sqcup_{n \in \mathbb{N}} (f \circ g)^n(f(\perp)) \sqsupseteq \sqcup_{n \in \mathbb{N}} (f \circ g)^n(\perp) = \text{fix}(f \circ g).$$

$$\text{So } \text{fix}(f \circ g) = f(\text{fix}(g \circ f)).$$

**End answer** .....

(b) by using the *properties* of pre-fixed point (slide 20) and fixed point (slide 29) and proving

$$\text{i. } \text{fix}(f \circ g) \sqsubseteq f(\text{fix}(g \circ f))$$

**Answer:** .....

We use the pre-fixed point properties of *fix*: for  $h : D \rightarrow D$  a continuous function we have (fix1):  $\text{fix}(h)$  is a pre-fixed point of  $h$ , and (fix2): if  $d$  is a pre-fixed point of  $h$ , then  $\text{fix}(h) \sqsubseteq d$ .

$$\frac{}{h(\text{fix}(h)) \sqsubseteq \text{fix}(h)} \text{ (fix1)} \qquad \frac{h(d) \sqsubseteq d}{\text{fix}(h) \sqsubseteq d} \text{ (fix2)}$$

We prove  $\text{fix}(f \circ g) \sqsubseteq f(\text{fix}(g \circ f))$  by proving that  $f(\text{fix}(g \circ f))$  is a pre-fixed point of  $f \circ g$ :

$$(f \circ g)(f(\text{fix}(g \circ f))) = f(g \circ f)(\text{fix}(g \circ f)) \sqsubseteq f(\text{fix}(g \circ f))$$

where the  $\sqsubseteq$  is by monotonicity and because  $\text{fix}(g \circ f)$  is a pre-fixed point of  $g \circ f$ . Done.

**End answer** .....

$$\text{ii. } f(\text{fix}(g \circ f)) \sqsubseteq \text{fix}(f \circ g)$$

**Answer:** .....

From (i) we reuse the result  $\text{fix}(g \circ f) \sqsubseteq g(\text{fix}(f \circ g))$ , which follows by replacing  $f$  with  $g$  in the statement of (i). We also borrow the pre-fixed point reasoning rules (fix1) and (fix2). We derive

$$\frac{\frac{\text{fix}(g \circ f) \sqsubseteq g(\text{fix}(f \circ g))}{f(\text{fix}(g \circ f)) \sqsubseteq f(g(\text{fix}(f \circ g)))} \text{ (mon)} \quad \frac{}{f(g(\text{fix}(f \circ g))) \sqsubseteq \text{fix}(f \circ g)} \text{ (fix1 for } f \circ g)}{f(\text{fix}(g \circ f)) \sqsubseteq \text{fix}(f \circ g)}$$

**End answer** .....

4. For the *disjoint union* of two domains (also called the *binary sum* of domains), there are two choices: the *coalesced sum* (or *smashed sum*)  $D +_c E$ , or the *separated sum*  $D +_s E$ .

For the coalesced sum, the set  $D +_c E$  is defined as

$$\{\perp\} \cup \{(0, d) \mid d \in D, d \neq \perp_D\} \cup \{(1, e) \mid e \in E, e \neq \perp_E\}$$

For the separated sum, the set  $D +_s E$  is defined as

$$\{\perp\} \cup \{(0, d) \mid d \in D\} \cup \{(1, e) \mid e \in E\}$$

So, the separated sum introduces a new  $\perp$  element, whereas the coalesced sum “coalesces (or smashes) them together”.

(NB. The 0 and 1 in the pairs have no special significance, apart from being able to distinguish the “elements coming from  $D$ ” from the “elements coming from  $E$ ”; we want to define the *disjoint union*, which should also work, for example, for  $\mathbb{N}_\perp + \mathbb{N}_\perp$ .)

Let two domains  $(D, \sqsubseteq_D)$  and  $(E, \sqsubseteq_E)$  be given.

- (a) Define the partial ordering  $\sqsubseteq$  on  $D +_s E$  and give the  $\perp$ -element.

**Answer:** .....  
 On  $D +_s E$ ,  $x \sqsubseteq y$  if  $x = \perp$  or  $(x = (0, d)$  and  $y = (0, d')$  and  $d \sqsubseteq_D d'$ ) or  $(x = (1, e)$  and  $y = (1, e')$  and  $e \sqsubseteq_E e')$ . The bottom element is  $\perp$  (the newly added  $\perp$ -element).

**End answer** .....

- (b) Define the partial ordering  $\sqsubseteq$  on  $D +_c E$  and give the  $\perp$ -element.

**Answer:** .....  
 On  $D +_c E$ ,  $x \sqsubseteq y$  if  $x = \perp$  or  $(x = (0, d)$  and  $y = (0, d')$  and  $d \sqsubseteq_D d'$ ) or  $(x = (1, e)$  and  $y = (1, e')$  and  $e \sqsubseteq_E e')$ . The bottom element is  $\perp$  (the newly added  $\perp$ -element).

**End answer** .....

- (c) For  $(f_i)_{i \in \mathbb{N}}$  a chain in  $D +_s E$  define  $\sqcup_{i \in \mathbb{N}} f_i$  and prove that it is the least upperbound.

**Answer:** .....

Let  $(f_i)_{i \in \mathbb{N}}$  be a chain in  $D +_s E$ . There are three possibilities:

- i.  $\forall i \in \mathbb{N}. f_i = \perp$ . Then  $\sqcup_{i \in \mathbb{N}} f_i = \perp$ , which is obviously the lub.
- ii.  $\exists j \in \mathbb{N} \exists d \in D. f_j = (0, d)$ . Then  $\forall n \in \mathbb{N} \exists d_n \in D. f_{j+n} = d_n$ , that is: the chain  $(f_i)_{i \in \mathbb{N}}$  “remains in  $D$ ” from  $j$  onwards. Then define  $\sqcup_{i \in \mathbb{N}} f_i := (0, \sqcup_{n \in \mathbb{N}} d_n)$ , which is obviously the lub.
- iii.  $\exists j \in \mathbb{N} \exists e \in E. f_j = (1, e)$ . The case is the same as the previous, with  $D$  replaced by  $E$ . Now  $\forall n \in \mathbb{N} \exists e_n \in E. f_{j+n} = e_n$  and define  $\sqcup_{i \in \mathbb{N}} f_i := (1, \sqcup_{n \in \mathbb{N}} e_n)$ , which is obviously the lub.

**End answer** .....

- (d) For  $(f_i)_{i \in \mathbb{N}}$  a chain in  $D +_c E$  define  $\sqcup_{i \in \mathbb{N}} f_i$  and prove that it is the least upperbound.

**Answer:** .....

Let  $(f_i)_{i \in \mathbb{N}}$  be a chain in  $D +_c E$ . We can make the same three case

distinctions as for  $D +_s E$ , and the definitions and proofs are exactly the same.

**End answer** .....

- (e) Define injections  $\text{inl} : D \rightarrow D +_s E$  and  $\text{inr} : E \rightarrow D +_s E$  that are continuous. (You don't have to prove that they are continuous.)

**Answer:** .....

For the separated sum, there are actually two obvious choices for  $\text{inl}$  and  $\text{inr}$  (and they are both continuous): we can map  $\perp_D$  to  $(0, \perp_D)$  in  $D +_s E$  or to  $\perp$  (the "new"  $\perp$ -element) in  $D +_s E$ .

- Option 1. Define, for  $d \in D$ ,  $\text{inl}(d) := (0, d)$  (so now  $\text{inl}(\perp_D) := (0, \perp_D)$ ). Similarly, for  $e \in E$ ,  $\text{inr}(e) := (1, e)$ .
- Option 2. Define, for  $d \in D$ ,  $\text{inl}'(d) := (0, d)$  if  $d \neq \perp_D$  and  $\text{inl}'(\perp_D) := \perp$ . Similarly, for  $e \in E$ ,  $\text{inr}'(e) := (1, e)$  if  $e \neq \perp_E$  and  $\text{inr}'(\perp_E) := \perp$ .

**End answer** .....

- (f) Define injections  $\text{inl} : D \rightarrow D +_c E$  and  $\text{inr} : E \rightarrow D +_c E$  that are continuous. (You don't have to prove that they are continuous.)

**Answer:** .....

Define, for  $d \in D$ ,  $\text{inl}(d) := (0, d)$  if  $d \neq \perp_D$  and  $\text{inl}(\perp_D) := \perp$ . Similarly, for  $e \in E$ ,  $\text{inr}(e) := (1, e)$  if  $e \neq \perp_E$  and  $\text{inr}(\perp_E) := \perp$ .

**End answer** .....

- (g) (\*) For  $F$  a domain and  $f : D \rightarrow F$ ,  $g : E \rightarrow F$  we want to define a continuous function  $[f, g] : D + E \rightarrow F$  such that  $[f, g](\text{inl}(x)) = f(x)$  and  $[f, g](\text{inr}(x)) = g(x)$ .

Show how to define  $[f, g]$  for the case of  $D +_c E$  and for the case of  $D +_s E$ . For one of these cases, we can only define  $[f, g]$  if we place additional requirements on  $f$  and  $g$ . Which?

**Answer:** .....

For the coalesced sum,  $D +_c E$ , given (continuous)  $f : D \rightarrow F$ ,  $g : E \rightarrow F$ , we define  $[f, g] : D +_c E \rightarrow F$  by

$$\begin{aligned} [f, g](\perp) &:= \perp_F \\ [f, g](0, d) &:= f(d) \\ [f, g](1, e) &:= g(e) \end{aligned}$$

Then, for  $d \neq \perp_D$ , we have  $[f, g](\text{inl}(d)) = [f, g](0, d) = f(d)$ , and similar for  $e \neq \perp_E$  and  $\text{inr}(e)$ . For  $\perp_D$  we have  $[f, g](\text{inl}(\perp_D)) = [f, g](\perp) = \perp_F$ , and this is equal to  $f(\perp_D)$  only if  $f$  is strict. We have a similar situation for  $\perp_E$ , so the required equations are only (fully) satisfied if we limit to strict functions.

For the separated sum,  $D +_s E$ , given (continuous)  $f : D \rightarrow F$ ,  $g : E \rightarrow F$ , we define  $[f, g] : D +_s E \rightarrow F$  by

$$\begin{aligned} [f, g](\perp) &:= \perp_F \\ [f, g](0, d) &:= f(d) \\ [f, g](1, e) &:= g(e) \end{aligned}$$

NB. For  $\perp$ , one could propose to put  $[f, g](\perp) := f(\perp_D)$  (or  $g(\perp_E)$ ). However, that doesn't work in general, as it violates monotonicity for non-strict  $f$  and  $g$ :  $\perp \sqsubseteq (1, \perp_E)$ , so we need  $[f, g](\perp) \sqsubseteq g(\perp_E)$ .

Above, we have two choices for the injections. We analyze them both.

First  $\text{inl}$  and  $\text{inr}$ :

We have  $[f, g](\text{inl}(d)) = [f, g](0, d) = f(d)$ , and similarly  $[f, g](\text{inr}(e)) = [f, g](1, e) = g(e)$ , so the equations are satisfied.

Now for  $\text{inl}'$  and  $\text{inr}'$ :

For  $d \neq \perp_D$ , the situation for  $\text{inl}'$  is the same as for  $\text{inl}$ :  $[f, g](\text{inl}'(d)) = [f, g](0, d) = f(d)$ . Similarly for  $e \neq \perp_E$ . For  $\perp_D$  we have  $[f, g](\text{inl}'(\perp_d)) = [f, g](\perp) = \perp_F$ , and this is equal to  $f(\perp_D)$  only if  $f$  is strict. We have a similar situation for  $\perp_E$ , so the required equations are only (fully) satisfied if we limit to strict functions.

**End answer** .....