## Privacy in Databases

Improving privacy in statistical disclosures

| Maurice | Arlin | Max | Sebastiaan | Patrick |
| :---: | :---: | :---: | :---: | :---: |
| Dibbets | Kokkelmans | Pathuis | Wortelboer | Lodeweegs |
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Privacy Seminar

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## Introduction

## Introduction

Attacks on databases

## AOL search data leak

# AOL Proudly Releases Massive Amounts of Private Data 

Michael Arrington @arrington?lang=en / 3:17 AM GMT+2•August 7, 2006

Search Q
Startups
TechCrunch+
Audio
Newsletters
Videos
Advertise
Events
More
Yet Another Update: AOL: "This was a screw up"
Further Update: Sometime after 7 pm the download link went down as well, but there is at least one mirror site. AOL is in damage control mode - the fact that they took the data down shows that someone there had the sense to realize how destructive this was, but it is also an admission of wrongdoing of sorts. Either way, the data is now out there for anyone that wants to use (or abuse) it.

Update: Sometime around 7 pm PST on Sunday, the AOL site referred to below was taken down. The direct link to the data is still live. A cached copy of the page is here.

AOL must have missed the uproar over the DOJ's demand for "anonymized" search data last year that caused all sorts of pain for Microsoft and Google. That's the only way to explain their release of data that includes 20 million web queries from $650,000 \mathrm{AOL}$ users.

[^0]
## Another example

## FBI watchlist exposed by misconfigured Elasticsearch cluster

A terrorist watchlist was found in an exposed database, and security researcher Bob Diachenko says there is no way of knowing just how long it was open to the public.

By Shaun Nichols
Published: 16 Aug 2021

An apparent U.S. government terrorism watchlist was found exposed to the open internet.

[^1]Any more examples?

## More data breaches



[^2]
## Common attack vectors

- Lack of authentication
- Weak passwords
- SQL injection
- Command execution using malicious shared libraries
- CVEs
- Statistical inference


## So what is the problem?

- Privacy-sensitive information used for statistical research.
- Statistical research published for scientific purposes. It should not be possible to obtain private information.
- Privacy-preserving research allows participants to be honest in their responses.


## Introduction

Legal regulation

## CBS

CBS is one of the largest organisations that collects statistical information in the Netherlands.


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They also published a paper about privacy preserving techniques [5].

## GDPR

## Art. 4

Processing means any operation or set of operations which is performed on personal data or on sets of personal data, whether or not by automated means, such as collection, recording, organisation, structuring, storage ...

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Database owner is processor. Hence, needs to comply with GDPR!

## GDPR - other relevant principles

Storage limitation


#### Abstract

Art. 5.1e Personal data shall be kept in a form which permits identification of data subjects for no longer than is necessary for the purposes for which the personal data are processed; personal data may be stored for longer periods insofar as the personal data will be processed solely for archiving purposes in the public interest, scientific or historical research purposes or statistical purposes ...


## Integrity and confidentiality

## Art. 5.1f <br> Personal data shall be processed in a manner that ensures appropriate security of the personal data, ...

## Impossibility result

- Information about an individual in a database could be combined with auxiliary information to infer new private information that is not available in the database.


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Can you think of an example?

- This makes semantic security for databases difficult to guarantee!


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Can you think of an example?

- Sometimes, even information about an individual that is not in the database could be combined with auxiliary information to infer new private information that is not available in the database.

Can you think of an example?

- This makes semantic security for databases difficult to guarantee!
- In practice, semantic security for databases is impossible


## Privacy enhancement techniques

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- Statistical Disclosure Control
- Differential privacy
- k-anonymization


## Privacy enhancement techniques

## Statistical Disclosure Control

## Statistical Disclosure Control

- Approaches to mitigate risk of disclosing sensitive data
- Statistical queries should not identify individual subjects in the database
- Many different controls are available
- Picking a suitable control depends on the data:
(1) Analyse sensitivity of data.
(2) Analyse use cases of data.
(3) Analyse disclosure risk.


## Statistical Disclosure Control

- Sampling: Only part of a table is released.
- Cell suppression: Sensitive table cells are strategically removed.
- Table redesign: Re-code data to reduce sensitivity. For instance: merging several rows.
- Swapping: Table units are swapped.
- Rounding: All table cells are rounded to an integer multiple of a rounding base b.
- Simulation: Generation of synthetic data.


## Sampling

Game title
Number of players
Assassin's creed
Watch dogs
Star Wars: Battlefront
Harry Potter and the Order of the Phoenix

100,500
200,000
50,123
144,122

## Sampling

Game title
Number of players
Assassin's creed Watch dogs
Star Wars: Battlefront
Harry Potter and the Order of the Phoenix

Game title
Watch dogs
200,000
Harry Potter and the Order of the Phoenix
Number of players

| Watch dogs | 200,000 |
| :---: | :--- |
| Harry Potter and the Order of the Phoenix | 144,122 |

## Cell suppression

| Course | Grade |
| :--- | :---: |
| Privacy Seminar | 7 |
| Privacy Seminar | 8 |
| Privacy Seminar | 2 |
| Average | $52 / 3$ |

Figure 1: Example primary suppression

## Cell suppression

| Course | Grade |
| :--- | :---: |
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| Average | $52 / 3$ |

Figure 1: Example primary suppression

Do you know how such a suppression might still leak information?

## Cell suppression

| row | A | B | C | D |
| :---: | :---: | :---: | :---: | :---: |
| 1 | $x_{1}$ | 4 | 2 | $x_{2}$ |
| 2 | $x_{3}$ | 0 | 6 | 2 |
| 3 | 6 | 2 | $x_{4}$ | 8 |
| 4 | 1 | 7 | 9 | 5 |


| Category | Average |
| :---: | :---: |
| A | $53 / 4$ |
| B | $31 / 4$ |
| C | 6 |
| D | $53 / 4$ |


| Row | Average |
| :---: | :---: |
| 1 | $51 / 4$ |
| 2 | $41 / 4$ |
| 3 | $53 / 4$ |
| 4 | $51 / 2$ |

## Cell suppression

| row | $A$ | $B$ | $C$ | $D$ |
| :---: | :---: | :---: | :---: | :---: |
| 1 | 7 | 4 | 2 | 8 |
| 2 | 9 | 0 | 6 | 2 |
| 3 | 6 | 2 | 7 | 8 |
| 4 | 1 | 7 | 9 | 5 |


| Category | Average |
| :---: | :---: |
| A | $53 / 4$ |
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| Row | Average |
| :---: | :---: |
| 1 | $51 / 4$ |
| 2 | $41 / 4$ |
| 3 | $53 / 4$ |
| 4 | $51 / 2$ |

$$
\begin{aligned}
x_{1} & =21-4-2-x_{2} \\
& =15-(23-2-8-5) \\
& =7
\end{aligned}
$$

$$
\begin{aligned}
x_{2} & =4 \cdot 53 / 4-2-8-5 \\
& =23-2-8-5 \\
& =8
\end{aligned}
$$

$$
\begin{aligned}
x_{3} & =4 \cdot 4^{1} / 4-0-6-2 \\
& =17-8 \\
& =9
\end{aligned}
$$

$$
\begin{aligned}
x_{4} & =4 \cdot 6-2-6-9 \\
& =24-17 \\
& =7
\end{aligned}
$$

## Table redesign

Game title
Number of players
Assassin's creed
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100,500
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## Table redesign

Game title
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| Assassin's creed | 100,500 |
| :---: | :---: |
| Watch dogs | 200,000 |
| Star Wars: Battlefront | 50,123 |
| Harry Potter and the Order of the Phoenix | $\mathbf{1 4 4 , 1 2 2}$ |


| Game publisher | Number of players |
| :---: | :---: |
| Ubisoft | 300,500 |
| EA | 194,245 |

## Swapping

| Student | Length |
| :---: | :---: |
| Arlin | 176 |
| Maurice | 196 |
| Sebastiaan | 195 |
| Patrick | 182 |

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| Arlin | 195 |
| Maurice | 196 |
| Sebastiaan | 176 |
| Patrick | 182 |

## Rounding

| Student | Length |
| :---: | :---: |
| Arlin | 176 |
| Maurice | 196 |
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| Student | Length |
| :---: | :---: |
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| Sebastiaan | 195 |
| Patrick | 182 |


| Student | Length |
| :---: | :---: |
| Arlin | 180 |
| Maurice | 200 |
| Sebastiaan | 200 |
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## Rounding

| Student | Length |
| :---: | :---: |
| Arlin | 176 |
| Maurice | 196 |
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| Student | Length |
| :---: | :---: |
| Arlin | 180 |
| Maurice | 200 |
| Sebastiaan | 200 |
| Patrick | 180 |

The entropy depends on the rounding base $b$ as every original value is in an interval of the rounded value $\left(n_{i}\right)$ :

$$
\begin{equation*}
I_{i}=\left[n_{i}-1 / 2 b, n_{i}+1 / 2 b\right] \tag{1}
\end{equation*}
$$

## Simulation



Figure 2: Simulating points based on a standard distribution

## Evaluating information utility

- Depends on variable type.
- Categorical variables: check equivalence
- Continuous variables: measure correlation


## Statistical Disclosure Control



Data utility: Quantitative measure on the statistical quality

## Privacy enhancement techniques

Differential privacy

## Differential privacy

- Algorithm $\mathcal{A}$ analyses a dataset $D$ and computes statistics (mean, variance, mode, median, etc)


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## Differential Privacy

An algorithm $\mathcal{A}$ is differentially private if by looking at the output, it is impossible to determine whether any individual's data is included in the dataset or not.

## Statistical inference - an example

- Consider a dataset $D_{2}$ that differs from $D_{1}$ in only one row
- Statistical differences between $D_{2}$ and $D_{1}$ can leak information about this row. How?


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| $D_{1}$ | Name | Age |
| :---: | :---: | :---: |
| Arlin | 23 |  |
| Maurice | 25 |  |
| Max | 24 |  |
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|  |  |
| $\mathcal{A}\left(D_{1}\right)$ |  |
| Average: | 25.8 |
| Median : | 24 |
| Variance : | 34.16 |

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| :---: | :---: | :---: | :---: | :---: | :---: |
| Arlin | 23 |  | Age |  |  |
|  |  |  | Arlin | 23 |  |
| Maurice | 25 |  | Maurice | 25 |  |
| Max | 24 |  | Max | 24 |  |
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| $D_{2}$ | Name | Age |
| :---: | :---: | :---: |
| Arlin | 23 |  |
| Maurice | 25 |  |
| Max | 24 |  |
| Sebastiaan | 37 |  |


| $\left(D_{1}\right)$ | $\mathcal{A}\left(D_{2}\right)$ |  |  |
| :---: | ---: | :---: | ---: |
| Average : | 25.8 | Average : | 27.25 |
| Median : | 24 | Median : | 24.5 |
| Variance : | 34.16 | Variance : | 42.917 |

## Query set control

What if we introduce lower and upper bounds to the query size?

- Consider a threshold $t$ such that any query must involve at least a set of $\geq t$ rows.
- For a database of $N$ entries, only allow queries on a subset size between $t$ and $N-t$
- Don't allow successive queries of sets $K$ and $L$ if $K \subseteq L$ and $|L|-|K|<t$


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Does this solve the problem?
An attacker could make many, many more queries to eventually circumvent this limitation. Query set control might not be the right approach.

## Introducing randomness

What if we add random noise to $\mathcal{A}$ to (slightly) distort results.
A simple protocol to determine if a row has a certain property:
(1) Flip a coin.
(2) If tails, respond truthfully.
(3) If heads, flip a second coin.
(a) If heads again, respond 'Yes'.
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(a) If heads again, respond 'Yes'.
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## Does this solve the problem?

- Random noise allows for refutability.
- The accuracy is not always ideal, but if $\rho$ rows contain the attribute, we can expect $\left(\frac{1}{4}\right)(1-\rho)+\left(\frac{3}{4}\right) \rho=\frac{1}{4}+\frac{\rho}{2}$ positive responses.
- Since $\rho$ can be estimated, sufficiently large datasets can have significant statistics.


## Calibrating noise to sensitivity

- A transcript is the interaction between a user and a privacy mechanism

$$
\begin{equation*}
t=[Q 1, a 1, Q 2, a 2 \ldots, Q d, a d] \tag{2}
\end{equation*}
$$

- Ideally, noise should be optimised to acceptable margin of error
- Use random noise function with a carefully chosen distribution
- Sensitivity - the maximum amount that any single argument to a function can change its output

$$
\begin{equation*}
\Delta \mathcal{A}=\max _{D_{i}, D_{j} \in \mathcal{S}}\left(\left\|\mathcal{A}\left(D_{i}\right), \mathcal{A}\left(D_{j}\right)\right\|_{1}\right) \tag{3}
\end{equation*}
$$

Here, $\mathcal{S}$ denotes the set of all pairs of databases that differ from each other in at most one row, and $\|\cdot\|_{1}$ denotes the $\ell_{1}$ norm (Manhattan distance)

## Additive noise mechanisms

- For each query, the server either refuses to answer, or answers $f_{i}(x)$ + the desired amount of noise, where $f_{i}(x)$ is the requested information.
- Ideally, these have a low sensitivity ( $\leq 1$ )
- Controlled noise based on a carefully chosen probability distribution


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- Ideally, these have a low sensitivity ( $\leq 1$ )
- Controlled noise based on a carefully chosen probability distribution

(a) LaPlace

(b) Gaussian


## Exponential mechanism

- Adding random noise doesn't work for some types of data

Can you think of some examples?

## Exponential mechanism

- Adding random noise doesn't work for some types of data


## Can you think of some examples?

- Consider a set $\mathcal{R}$ of possible outputs we are interested in
- Design a scoring function $u: D \times \mathcal{R} \rightarrow \mathbb{R}$ with sensitivity $\Delta u$
- Output $r \in \mathcal{R}$ will have a probability proportional to:

$$
\begin{equation*}
\operatorname{Pr}[r]=\exp \left(\frac{\epsilon \cdot u(d, r)}{2 \cdot \Delta u}\right) \tag{4}
\end{equation*}
$$

- This is the probability defined in $r$, which is the possibility for a single $r$ to be selected.


## A mathematical notion of differential privacy

- Ideally, $\mathcal{A}\left(D_{1}\right)$ should be hard to distinguish from $\mathcal{A}\left(D_{2}\right)$
- Consider $\epsilon$ the maximum distance between a query on $D_{1}$ and the same query on $D_{2}$.
- Then, $\exp (\epsilon)$ provides us with the dilation of the probability.

$$
\begin{equation*}
\operatorname{Pr}\left[\mathcal{A}\left(D_{1}\right) \in S\right] \leq \exp (\epsilon) \cdot \operatorname{Pr}\left[\mathcal{A}\left(D_{2}\right) \in S\right] \tag{5}
\end{equation*}
$$

Extended for group privacy: instead of difference in one row, consider difference of c rows

$$
\begin{equation*}
\operatorname{Pr}\left[\mathcal{A}\left(D_{1}\right) \in S\right] \leq \exp (\epsilon \cdot \mathrm{c}) \cdot \operatorname{Pr}\left[\mathcal{A}\left(D_{2}\right) \in S\right] \tag{6}
\end{equation*}
$$

## Privacy enhancement techniques

k-anonymization

## k-anonymization

- Each data point is indistinguishable from $k-1$ other data points
- Trade-off between equivalence class size and minimal loss of data utility
- Three main steps:
(1) Partition data into clusters

2 Re-assign attributes to ensure each cluster has at least $k$ points
(3) Anonymization of the original data values to something useful:

- Numerical values: centeroids
- Categorical values: common ancestor
- An optimal solution is NP-hard


## Example dataset: Public transport

## Departure station Distance

| Nijmegen | 8 km |  |
| :--- | :---: | :---: |
| Nijmegen Goffert | 5 km |  |
| Nijmegen Heyendaal | 73 km |  |
| Nijmegen Lent | 9 km |  |
| Arnhem | 6 km |  |
| Arnhem zuid | 14 km |  |
| Arnhem Presikhaaf | 24 km |  |

## Example dataset: Public transport



## Example dataset: Public transport



In total: $2^{c}-c-1=2^{8}-8-1=247$ options
What are limitations of k -anonimity?

## Attacks on k-anonimity

- Background knowledge attack: use demographics and public records to increase probability of identifying records.
- Homogeneity attack: attack reveals private information when all values of sensitive attributes are the same in a equivalence class.


## l-diversity: distinctness

Any generalized attribute should consist of sufficiently many different sensitive values.

- Distinctness can be ensured with well-represented groups
- An attacker needs information about l-1 data points to infer a specific data point

| Departure station | Distance |
| :--- | :---: |
| Nijmegen | 27 |
| Nijmegen Goffert | 6 km |
| Nijmegen Heyendaal | 73 km |
| Nijmegen Lent | 9 km |
| Arnhem | $142 / 3$ |
| Arnhem Zuid | 6 km |
| Arnhem Zuid | 14 km |
| Arnhem Zuid | 24 km |

## Entropy l-diversity

A measure to determine if there is sufficient distinctness

- q a generalized nonsensitive value ("Arnhem" as departure station instead of "Arnhem Zuid")
- s a possible value of a sensitive attribute $S$
- $p(q, s)$ fraction of data points white nonsensitive value $q$ and sensitive value s.
- I the protection against l data points of background knowledge

$$
\begin{equation*}
-\sum_{s \in S} p(q, s) \ln \left(p_{\left(q, s^{\prime}\right)}\right) \geq \ln (l) \tag{7}
\end{equation*}
$$

## Entropy l-diversity

| Q | S |
| :---: | :---: |
| Arnhem | $\mathcal{F}$ |
| Arnhem | $\mathcal{T}$ |
| Arnhem | $\mathcal{F}$ |
| Arnhem | $\mathcal{F}$ |
| Arnhem | $\mathcal{F}$ |

## Entropy l-diversity

$$
\begin{aligned}
& p(A, \mathcal{F})=\frac{4}{5} \\
& p(A, \mathcal{T})=\frac{1}{5} \\
& \text { Arnhem } \mathcal{F} \\
& \begin{array}{ll}
\text { Arnhem } & \mathcal{T} \\
\text { Arnhem } & \mathcal{F}
\end{array}-\sum_{s \in S} p_{(q, s)} \ln \left(p_{\left(q, s^{\prime}\right)}\right)=-\left(p_{(A, \mathcal{F})} \ln p_{(A, \mathcal{T})}+p_{(A, \mathcal{F})} \ln p_{(A, \mathcal{T})}\right) \\
& =-\left(\frac{4}{5} \cdot \ln \frac{1}{5}+\frac{1}{5} \cdot \ln \frac{4}{5}\right) \\
& \approx 0.18+0.32 \\
& \approx 0.5 \\
& -\sum_{s \in S} p(q, s) \ln \left(p_{\left(q, s^{\prime}\right)}\right) \geq \ln (l)
\end{aligned}
$$

## Entropy l-diversity

$$
\begin{aligned}
& p(A, \mathcal{F})=\frac{4}{5} \\
& p(A, \mathcal{T})=\frac{1}{5} \\
& \begin{array}{ll}
\text { Arnhem } & \mathcal{T} \\
\text { Arnhem } & \mathcal{F}
\end{array}-\sum_{s \in S} p_{(q, S)} \ln \left(p_{\left(q, S^{\prime}\right)}\right)=-\left(p_{(A, \mathcal{F})} \ln p_{(A, \mathcal{T})}+p_{(A, \mathcal{F})} \ln p_{(A, \mathcal{T})}\right) \\
& =-\left(\frac{4}{5} \cdot \ln \frac{1}{5}+\frac{1}{5} \cdot \ln \frac{4}{5}\right) \\
& \approx 0.18+0.32 \\
& \approx 0.5 \\
& -\sum_{s \in S} p(q, s) \ln \left(p_{\left(q, s^{\prime}\right)}\right) \geq \ln (l) \\
& 0.5 \nsupseteq 0.69
\end{aligned}
$$

This does not hold for $l=2$ !

## Summary

## Summary

Statistical Disclosure Control

Easy to implement
Prerequisite for
other approaches
Protection only for accounted attacks

Differential Privacy K-anonymity

Some privacy guar- Prerequisite for antees

Refutability
Too much noise reduces utility
privacy protection
k -anonymity is NPhard
Too large $k$ reduces utility

## Summary

- Statistical disclosure methods can help.
- Disclosure risk vs data utility.
- Combination of methods provides most protection.


## Questions?

## HEY, LOOK, WE HAVE A BUNCH OF DATA! I'M GONNA ANALYZE IT.

NO, YOU FOOL! THAT WILL ONLY CREATE MORE DATA!

It's important to make sure your analysis destroys as much information as it produces.

[^3]
## References

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## Idiversity: recursiveness

## Recursive definition:

$q^{*}$ as defined earlier, l being the number of sensitive values A $q^{*}$-block is ( $c, 2$ )-diverse if $r_{1}<c\left(r_{2}+\cdots+r_{m}\right)$ for chosen constant c
For $1>2$ :
(c, l)-diversity if we can eliminate one sensitive value and (c,l-1)-diversity still holds


[^0]:    ${ }^{1}$ M. Arrington, AOL Proudly Releases Massive Amounts of Private Data, https://techcrunch.com/2006/08/06/aol-proudly-releases-massive-amounts-of-user-search-data/, Aug. 2006.

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[^2]:    ${ }^{3}$ D. McCandless and T. Evans, World's Biggest Data Breaches \& Hacks, https://www.informationisbeautiful.net/visualizations/worlds-biggest-data-breaches-hacks/, 2021.

[^3]:    ${ }^{4}$ https://xkcd.com/2582/

